



Sequence Models

Natural Language Processing: Jordan
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RNNS

Slides adapted from Richard Socher

Language models

- **Language models** answer the question: How likely is a string of English words good English?
- Autocomplete on phones and websearch
- Creating English-looking documents
- Very common in machine translation systems
 - Help with reordering / style

$$p_{lm}(\text{the house is small}) > p_{lm}(\text{small the is house})$$

- Help with word choice

$$p_{lm}(\text{I am going home}) > p_{lm}(\text{I am going house})$$

N-Gram Language Models

- Given: a string of English words $W = w_1, w_2, w_3, \dots, w_n$
 - Question: what is $p(W)$?
 - Sparse data: Many good English sentences will not have been seen before
- Decomposing $p(W)$ using the chain rule:

$$p(w_1, w_2, w_3, \dots, w_n) = p(w_1) p(w_2|w_1) p(w_3|w_1, w_2) \dots p(w_n|w_1, w_2, \dots, w_{n-1})$$

(not much gained yet, $p(w_n|w_1, w_2, \dots, w_{n-1})$ is equally sparse)

Markov Chain

- **Markov independence assumption:**

- only previous history matters
- limited memory: only last k words are included in history (older words less relevant)

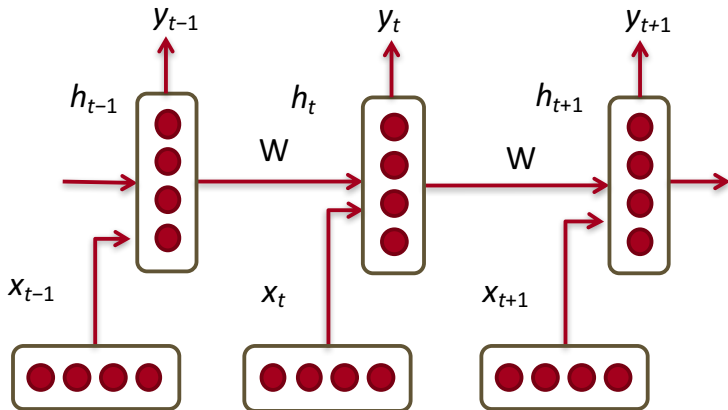
→ **k th order Markov model**

- For instance 2-gram language model:

$$p(w_1, w_2, w_3, \dots, w_n) \simeq p(w_1) p(w_2|w_1) p(w_3|w_2) \dots p(w_n|w_{n-1})$$

- What is conditioned on, here w_{i-1} is called the **history**. Estimated from counts.

Recurrent Neural Networks



- Condition on all previous words
- Hidden state at each time step

RNN parameters

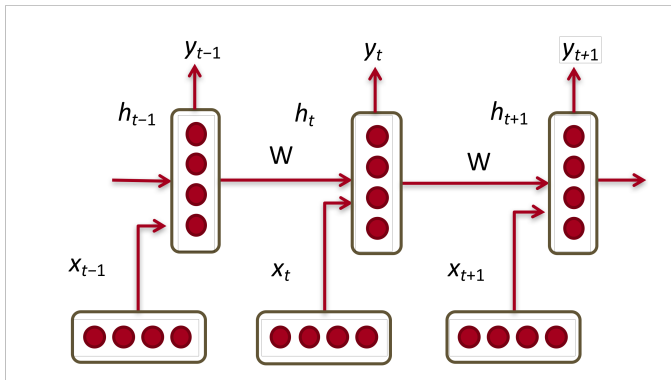
$$h_t = f(W^{(hh)}h_{t-1} + W^{(hx)}x_t) \quad (1)$$

$$\hat{y}_t = \text{softmax}(W^{(S)}h_t) \quad (2)$$

$$P(x_{t+1} = v_j | x_t, \dots, x_1) = \hat{y}_{t,j} \quad (3)$$

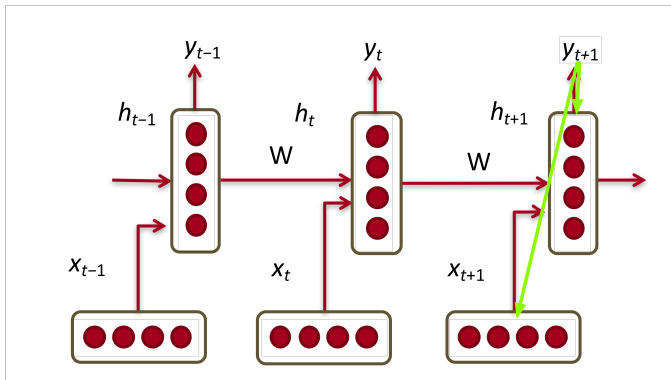
- Learn parameter h_0 to initialize hidden layer
- x_t is representation of input (e.g., word embedding)
- \hat{y} is probability distribution over vocabulary

Training Woes



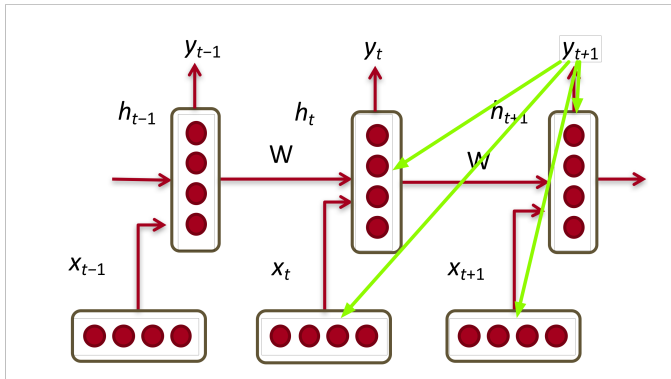
Multiplying same matrix over and over

Training Woes



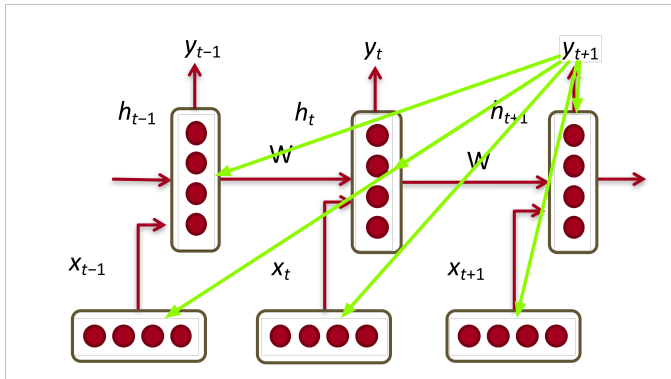
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Training Woes



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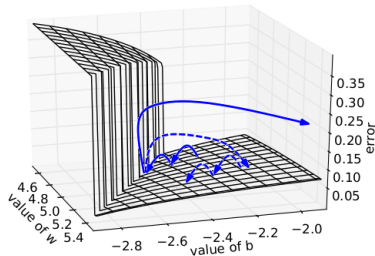
Vanishing / Exploding Gradient

- Work out the math:
 - Define β_W / β_h as upper bound of norms of W, h
 - Bengio et al 1994: Partial derivative is $(\beta_W \beta_h)^{t-k}$
 - This can be very small or very big
- If it's big, SGD jumps too far
- If it's small, we don't learn what we need: “Jane walked into the room. John walked in too. It was late in the day. Jane said hi to _____”

Gradient Clipping

Algorithm 1 Pseudo-code for norm clipping the gradients whenever they explode

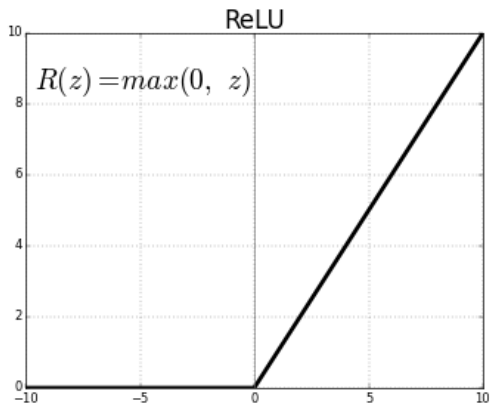
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 $\hat{\mathbf{g}} \leftarrow \frac{\partial \mathcal{E}}{\partial \theta}$   
if  $\|\hat{\mathbf{g}}\| \geq \text{threshold}$  then  
   $\hat{\mathbf{g}} \leftarrow \frac{\text{threshold}}{\|\hat{\mathbf{g}}\|} \hat{\mathbf{g}}$   
end if
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From Pascanu et al. 2013

- If they get too big, stop at boundary
- Prevents (dashed) values from jumping around (solid)

Fixing Vanishing Gradients



- ReLU activation
- Initialize W to identity matrix

RNN Recap

- Simple model
- Complicated training (but good toolkits available)
- Do we need to remember everything?