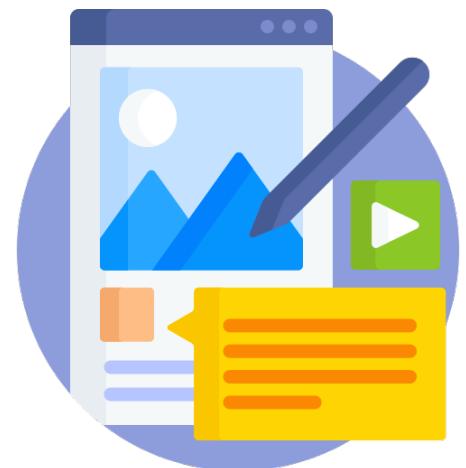


Image Captioning

Models Introduction

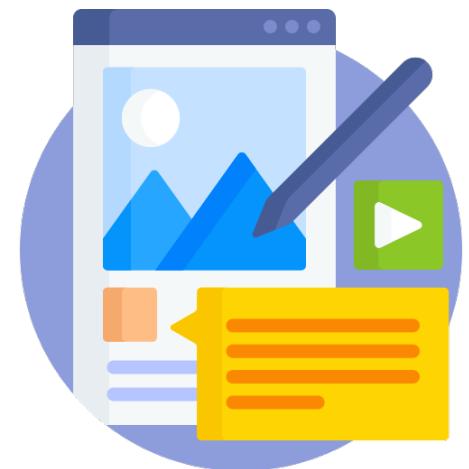
Date: Oct 27, 2021

Presenter: Everley Tseng



Outline

- Show Attend and Tell
 - Introduction to attention
 - NLP backgrounds
 - Model structure
 - Soft attention & hard attention
 - Loss function
 - Experimental results
- What's new in Image Captioning?



Why Attention?

Please write the caption for this image



Image source: <https://www.sandiegouniontribune.com/business/real-estate/story/2020-08-21/will-san-diego-stay-at-home-workers-leave-if-given-the-opportunity>

Why Attention?

- Important Components
 - Objects
 - Environments
- Relationships
 - Spatial
 - Interactive
- Details
 - Adjectives
 - Adverbs



Why Attention?

- Important Components
 - Objects
 - Environments
- Relationships
 - Spatial
 - Interactive
- Details
 - Adjectives
 - Adverbs



Why Attention?

- Important Components
 - Objects **Dog Dog**
 - Environments
- Relationships
 - Spatial
 - Interactive
- Details
 - Adjectives
 - Adverbs



Why Attention?

- Important Components
 - Objects **Dog Dog**
 - Environments
- Relationships
 - Spatial
 - Interactive
- Details
 - Adjectives
 - Adverbs

**Brown
Colorful Collar
Happy**



Why Attention?

- Important Components
 - Objects **Dog Dog**
 - Environments
- Relationships
 - Spatial
 - Interactive
- Details
 - Adjectives
 - Adverbs

Next to...
Looking at...
Walking toward...

Brown
Colorful Collar
Happy



Why Attention?

- Important Components
 - Objects **Dog Dog**
 - Environments
- Relationships
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 - Adjectives
 - Adverbs

Next to...
Looking at...
Walking toward...

Brown
Colorful Collar
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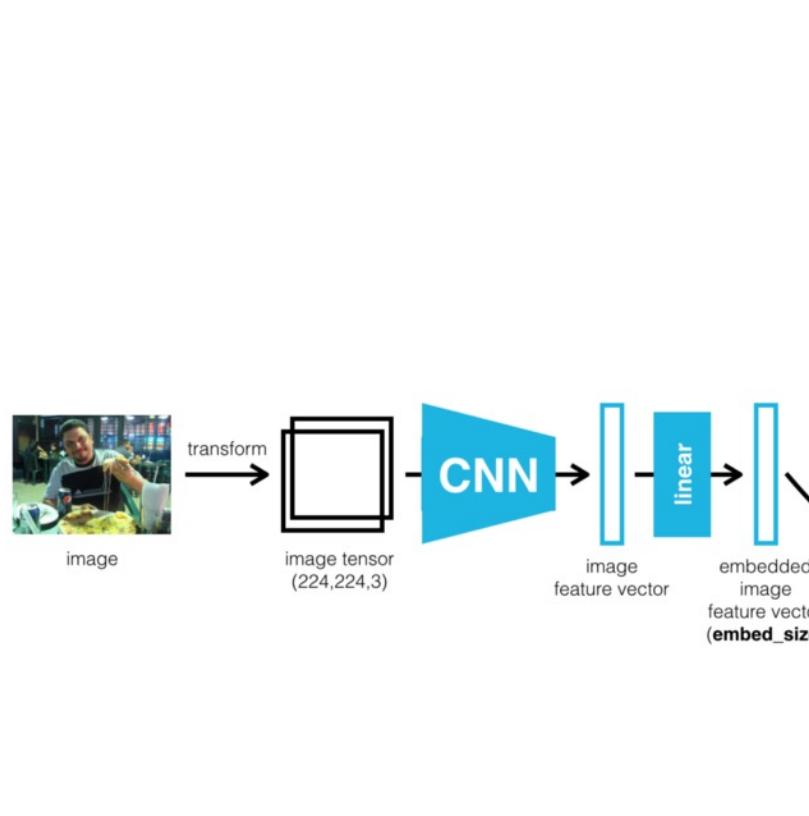


As we write down words, our attention moves across the image.

Show and Tell

O. Vinyals, A. Toshev, S. Bengio and D. Erhan, "Show and tell: A neural image caption generator," 2015 IEEE Conference on Computer Vision and Pattern Recognition (CVPR), 2015

Encoder



Decoder

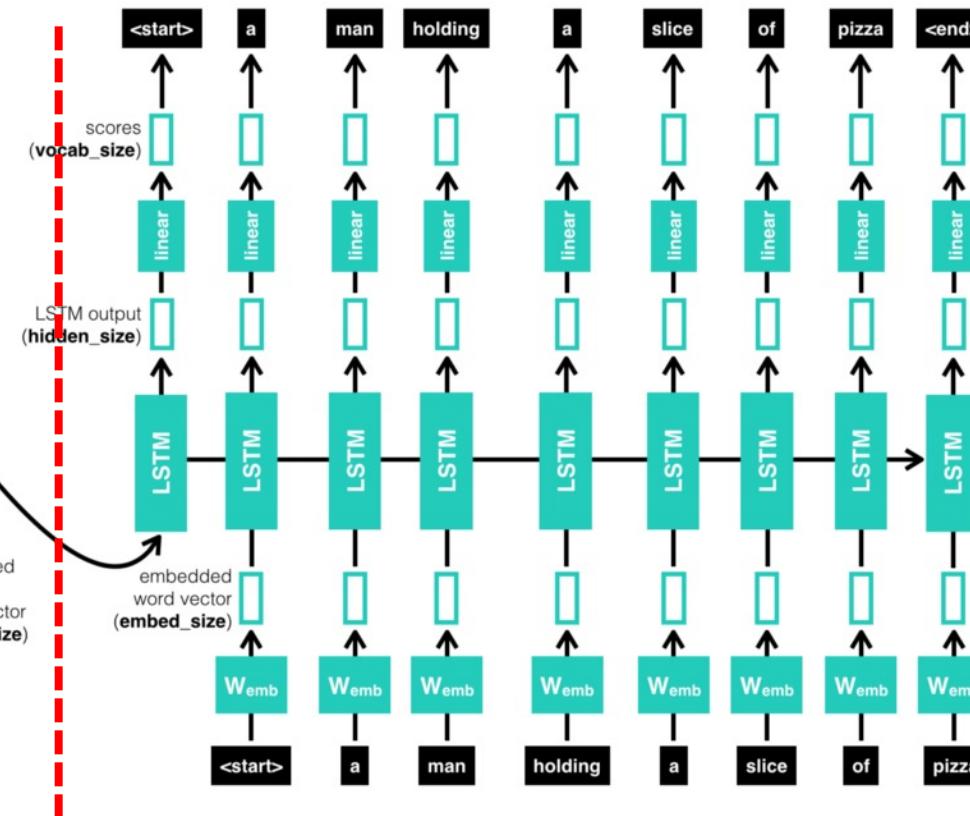
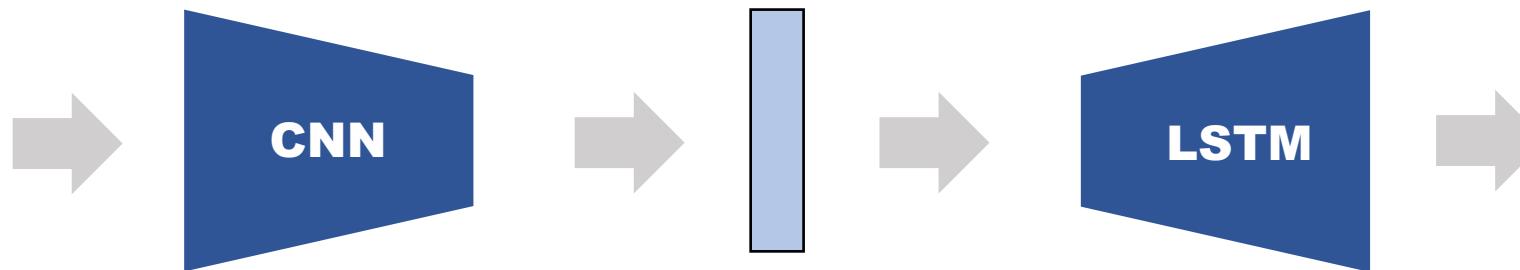


Image source: <https://medium.com/swlh/image-caption-generation-with-visual-attention-c782dfc0634b>

Show and Tell

O. Vinyals, A. Toshev, S. Bengio and D. Erhan, "Show and tell: A neural image caption generator," *2015 IEEE Conference on Computer Vision and Pattern Recognition (CVPR)*, 2015

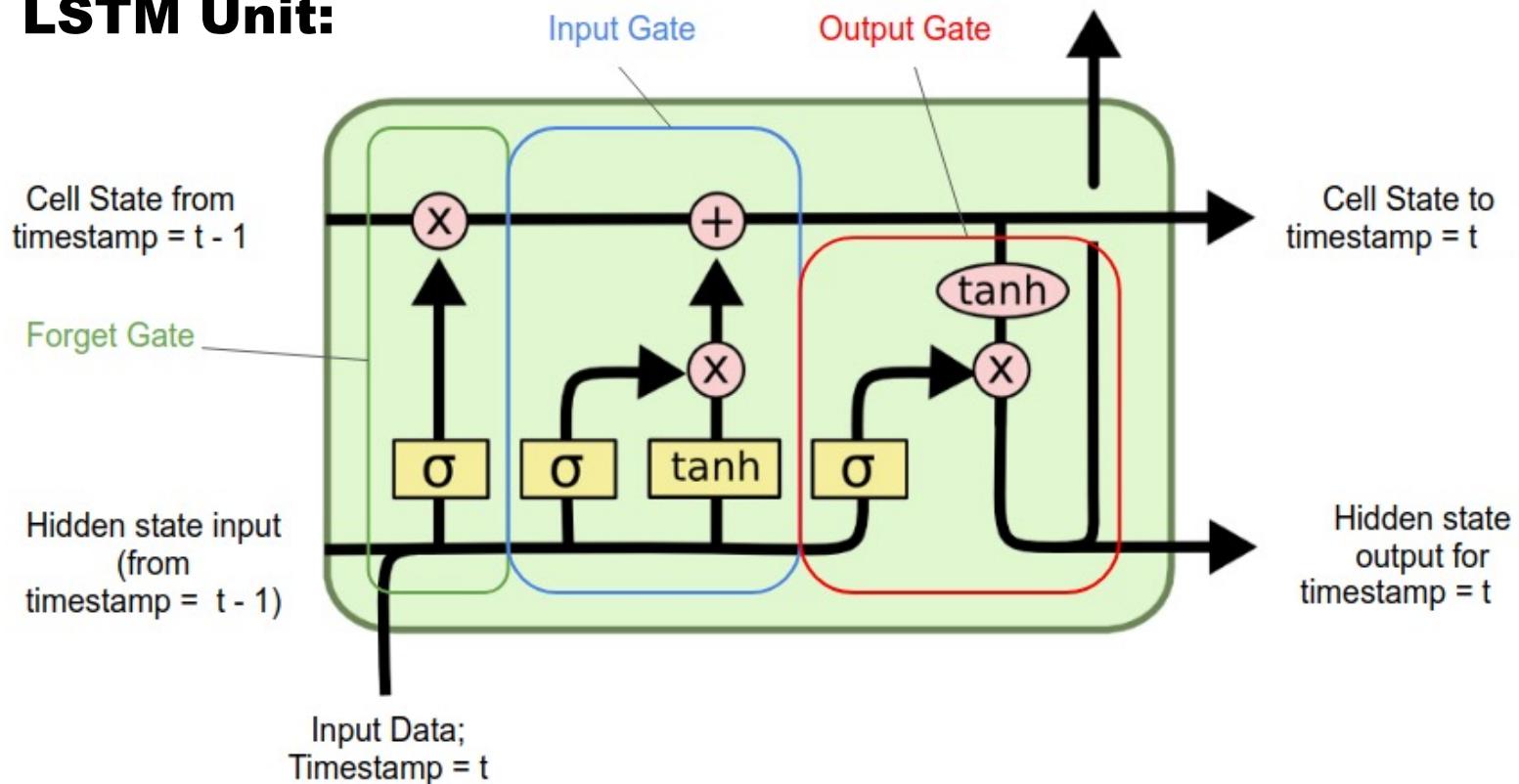
Encoder



Decoder

Recall – LSTM

LSTM Unit:



LSTM – Encoder-Decoder in NLP

E.g., language translation

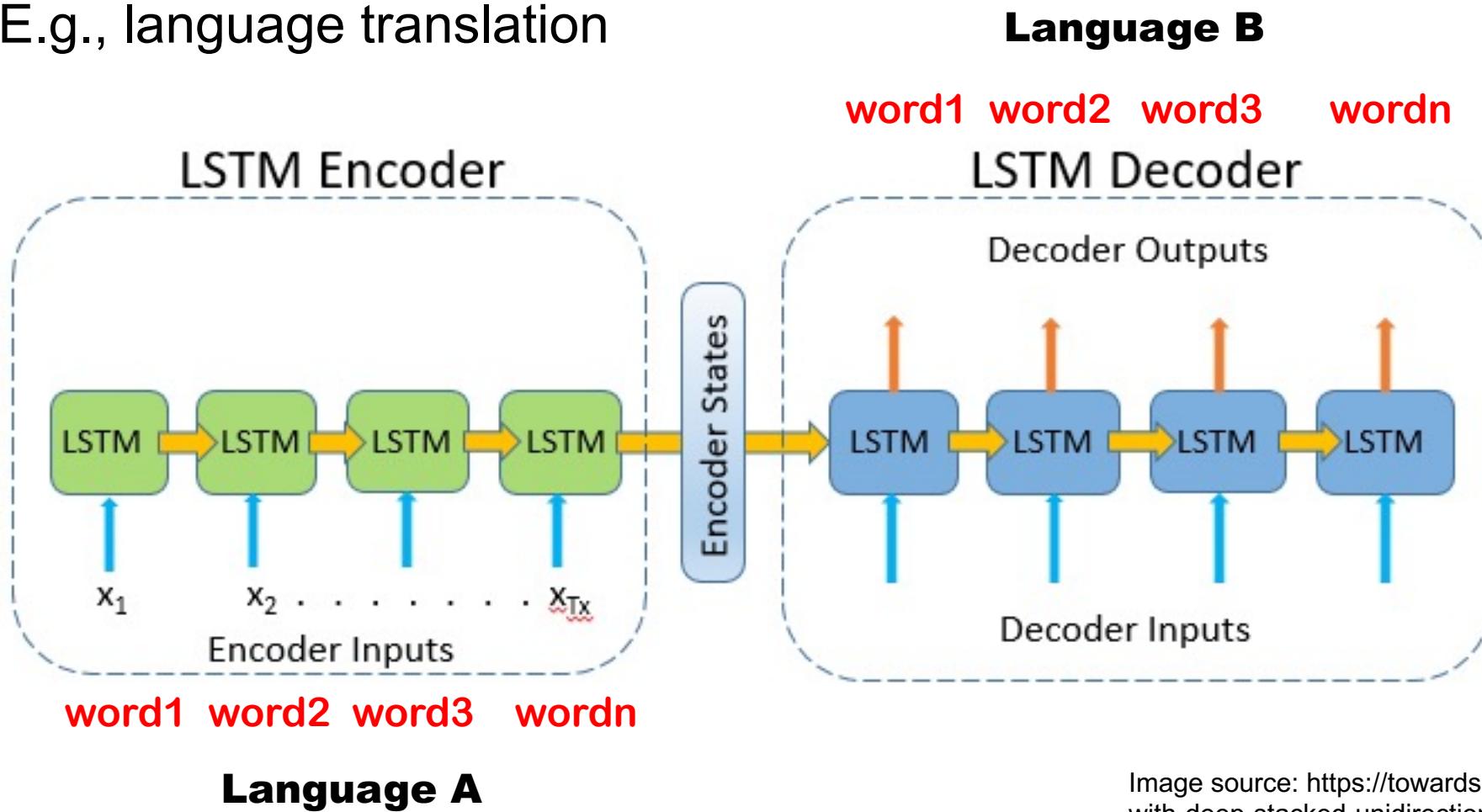
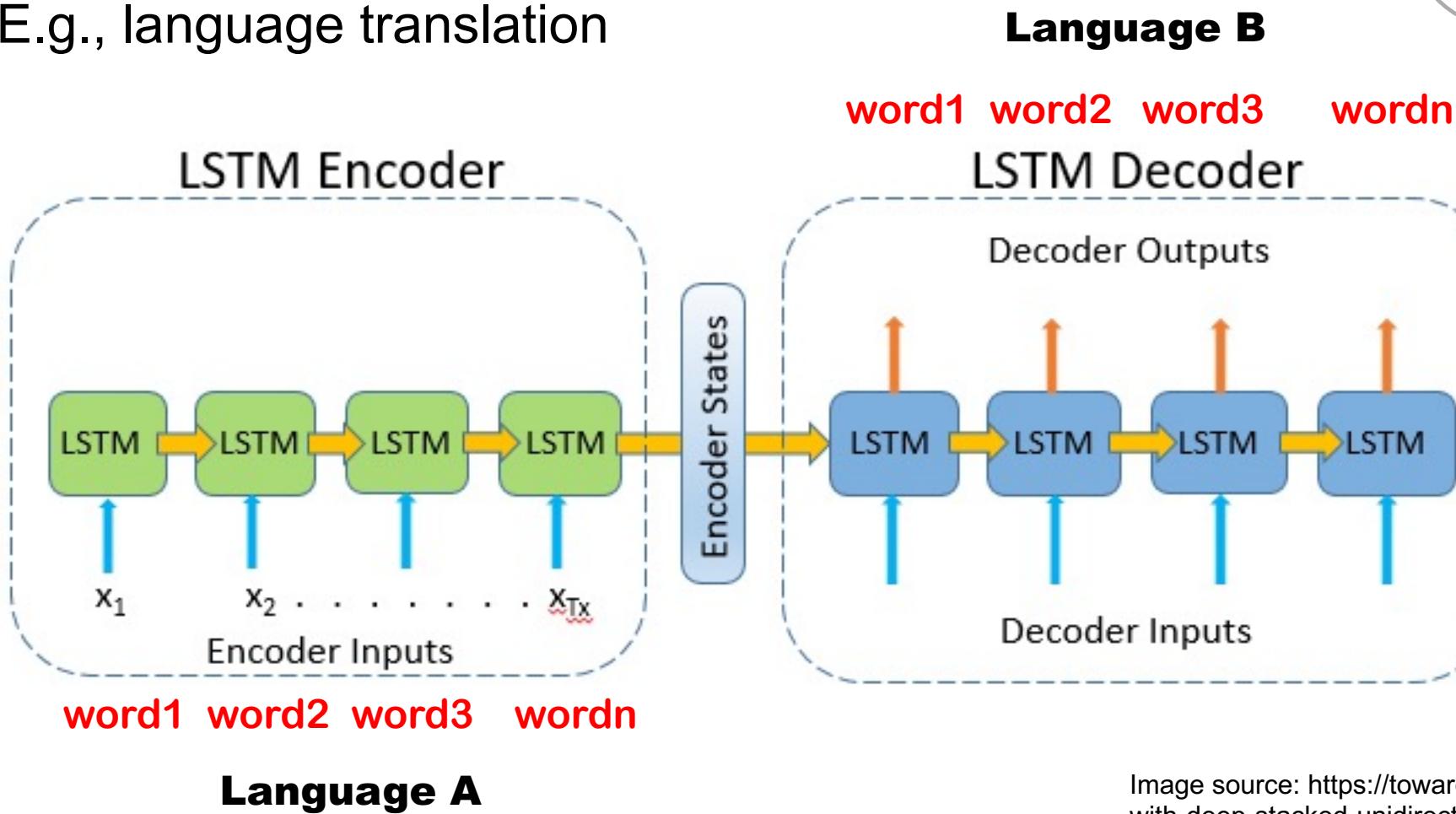


Image source: <https://towardsdatascience.com/time-series-forecasting-with-deep-stacked-unidirectional-and-bidirectional-lstms-de7c099bd918>

LSTM – Encoder-Decoder in NLP

E.g., language translation

How do we actually
input/output words?



NLP – Word Representation

- One-hot encoding
- Word embedding

The diagram illustrates word embeddings using one-hot encoding. It shows five words: Rome, Paris, Italy, France, and a general word V. Arrows point from each word to its corresponding one-hot vector representation. The vectors are 8-dimensional arrays with zeros at most positions and a single 1 at the index corresponding to the word.

Rome	=	[1, 0, 0, 0, 0, 0, ..., 0]
Paris	=	[0, 1, 0, 0, 0, 0, ..., 0]
Italy	=	[0, 0, 1, 0, 0, 0, ..., 0]
France	=	[0, 0, 0, 1, 0, 0, ..., 0]
word V	→	[0, 0, 0, 0, 0, 0, ..., 1]

NLP – Word Representation

- One-hot encoding
- Word embedding

My Corpus:

1. I live in Rome
2. I live in Paris
3. I live in Italy
4. I live in France

The diagram illustrates the construction of word vectors. It shows five words: Rome, Paris, Italy, France, and a general word labeled 'word V'. Arrows point from each word to its corresponding vector representation in brackets. The vectors are binary strings of length 8, with a '1' at the position corresponding to the word and '0's elsewhere. For example, 'Rome' is represented as [1, 0, 0, 0, 0, 0, 0, 0], 'Paris' as [0, 1, 0, 0, 0, 0, 0, 0], 'Italy' as [0, 0, 1, 0, 0, 0, 0, 0], and 'France' as [0, 0, 0, 1, 0, 0, 0, 0]. The word 'word V' is shown with an arrow pointing to the final zero in the vector, indicating that the vector length is determined by the vocabulary size.

Rome	=	[1, 0, 0, 0, 0, 0, 0, 0]
Paris	=	[0, 1, 0, 0, 0, 0, 0, 0]
Italy	=	[0, 0, 1, 0, 0, 0, 0, 0]
France	=	[0, 0, 0, 1, 0, 0, 0, 0]
word V		[0, 0, 0, 0, 0, 0, 0, 1]

What is the length of each word vector?

NLP – Word Representation

- One-hot encoding
- Word embedding

My Corpus:

1. I live in Rome
2. I live in Paris
3. I live in Italy
4. I live in France

The diagram illustrates the construction of word vectors. It shows five words: Rome, Paris, Italy, France, and word V. Arrows point from each word to its corresponding vector representation in brackets. The vector for Rome is [1, 0, 0, 0, 0, 0, ..., 0], where the first element is 1 and all others are 0. The vector for Paris is [0, 1, 0, 0, 0, 0, ..., 0], where the second element is 1 and all others are 0. The vector for Italy is [0, 0, 1, 0, 0, 0, ..., 0], where the third element is 1 and all others are 0. The vector for France is [0, 0, 0, 1, 0, 0, ..., 0], where the fourth element is 1 and all others are 0. An arrow also points from word V to its vector representation, which is identical to the vector for France.

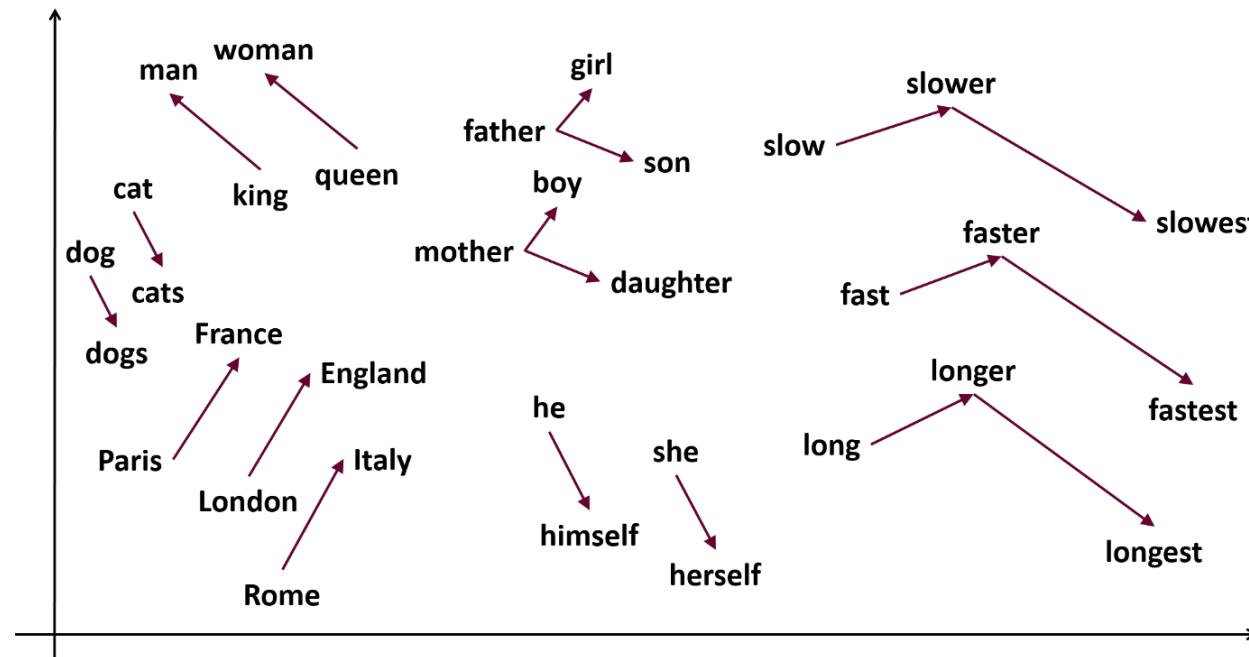
Rome	=	[1, 0, 0, 0, 0, 0, ..., 0]
Paris	=	[0, 1, 0, 0, 0, 0, ..., 0]
Italy	=	[0, 0, 1, 0, 0, 0, ..., 0]
France	=	[0, 0, 0, 1, 0, 0, ..., 0]

What is the length of each word vector? **Length = 7**

Dictionary: ['I', 'live', 'in', 'Rome', 'Paris', 'Italy', 'France']

NLP – Word Representation

- One-hot encoding
- Word embedding
 - word2vec
 - BERT
 - GLoVe

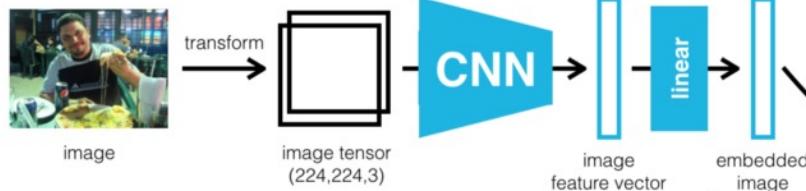


The semantic meaning of the words are embedded in the latent space.

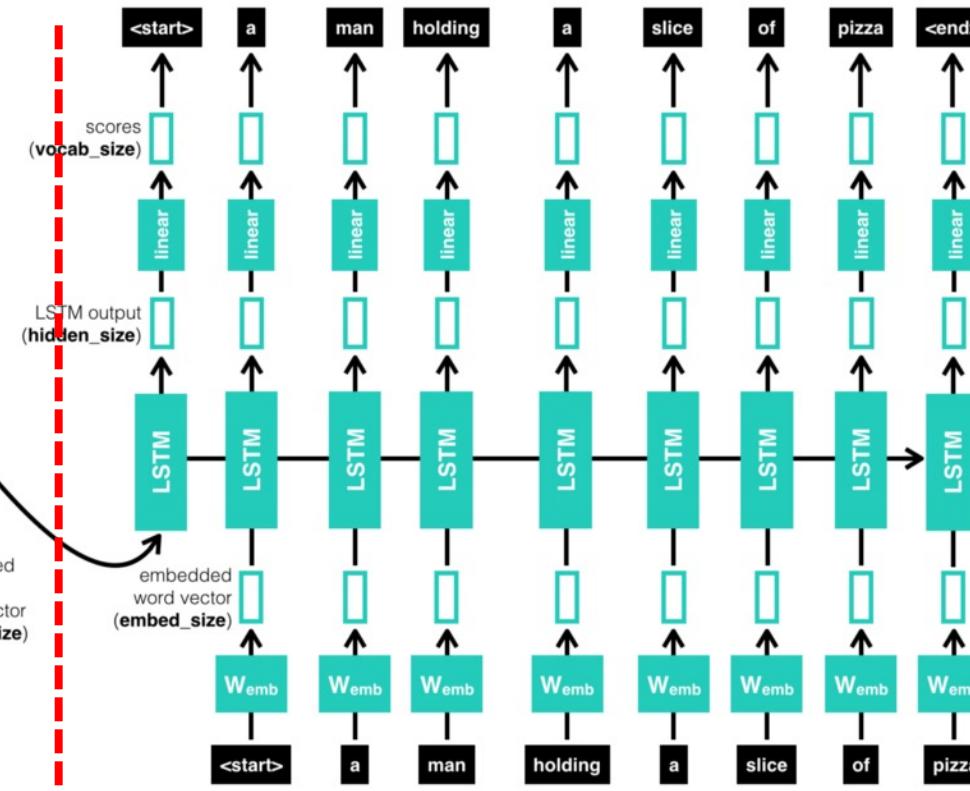
Show and Tell

O. Vinyals, A. Toshev, S. Bengio and D. Erhan, "Show and tell: A neural image caption generator," 2015 IEEE Conference on Computer Vision and Pattern Recognition (CVPR), 2015

Encoder



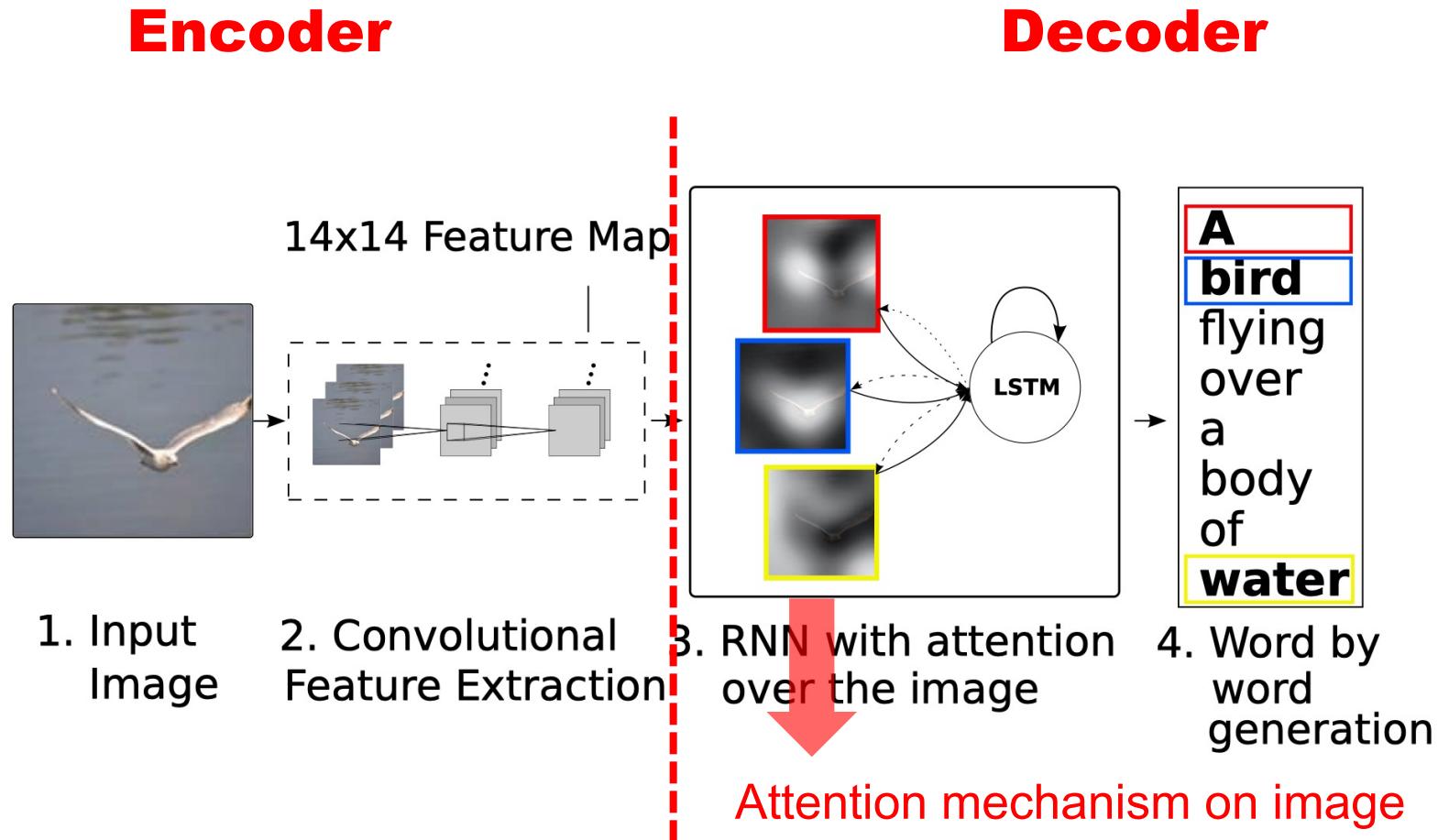
Decoder



What are the issues of this model?

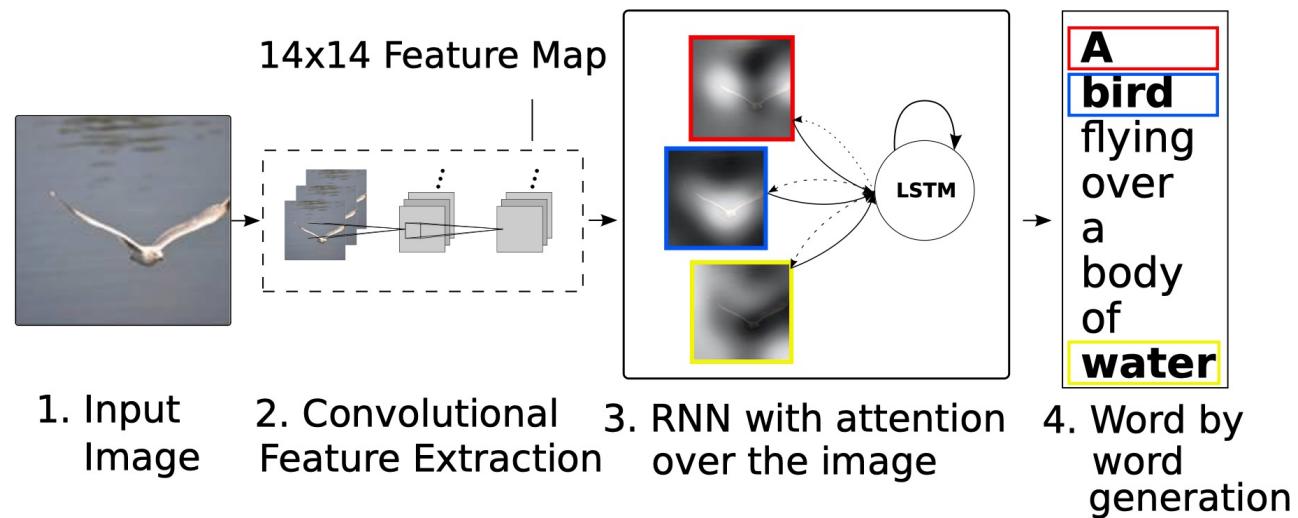
Image source:
<https://medium.com/swlh/image-caption-generation-with-visual-attention-c782dfc0634b>

Show, **Attend** and Tell

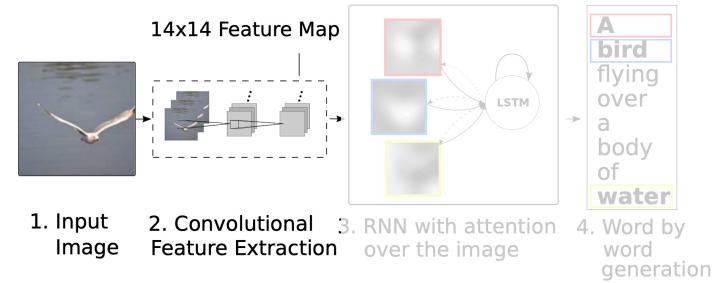


Show Attend and Tell

Model Structure



CNN Encoder



VGG-16

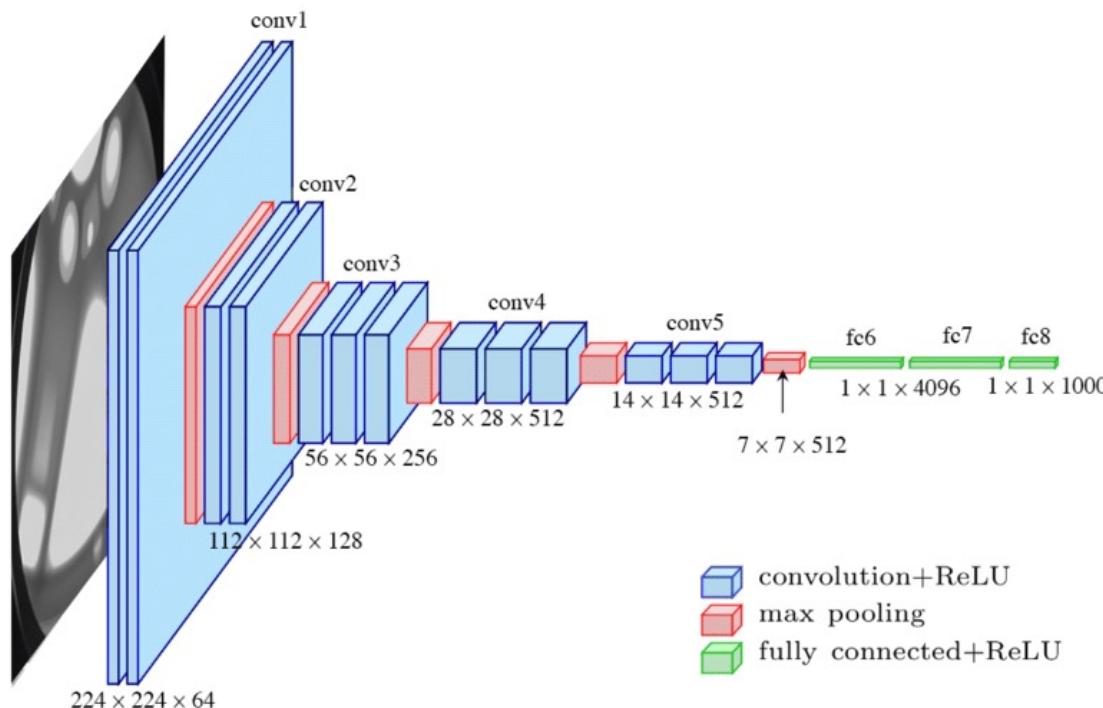
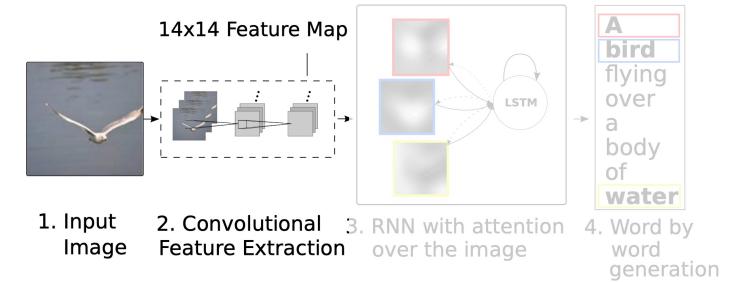
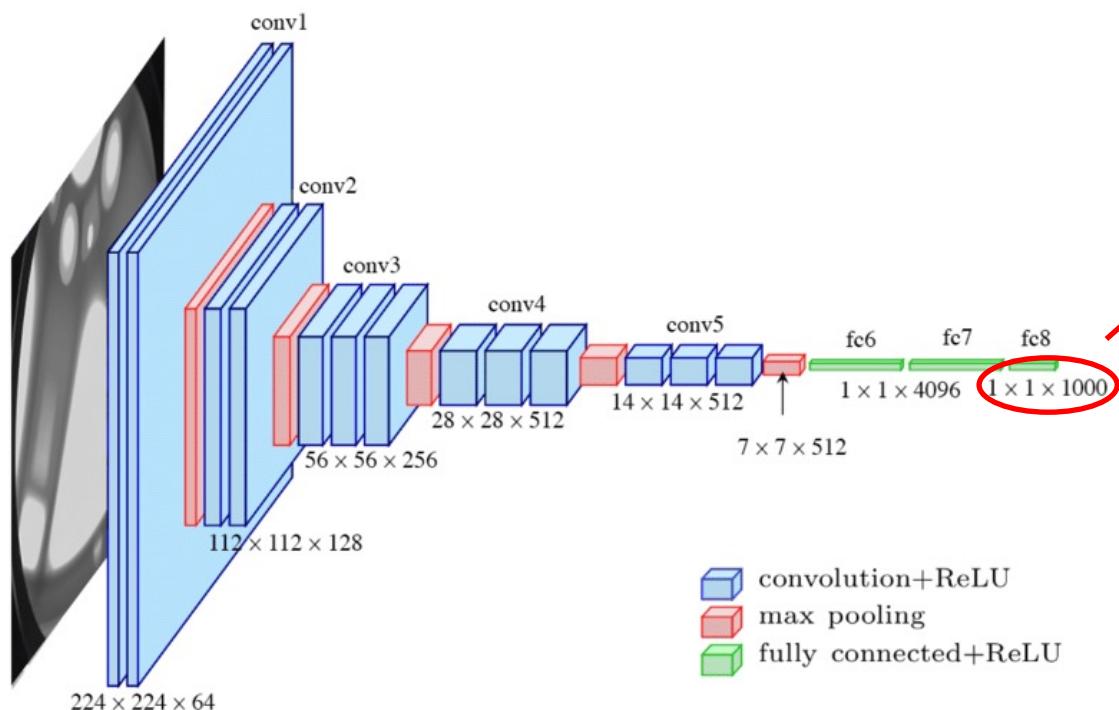


Image source: https://www.researchgate.net/figure/Fig-A1-The-standard-VGG-16-network-architecture-as-proposed-in-32-Note-that-only_fig3_322512435

CNN Encoder



VGG-16



Show and Tell

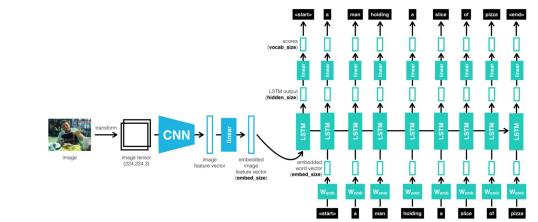
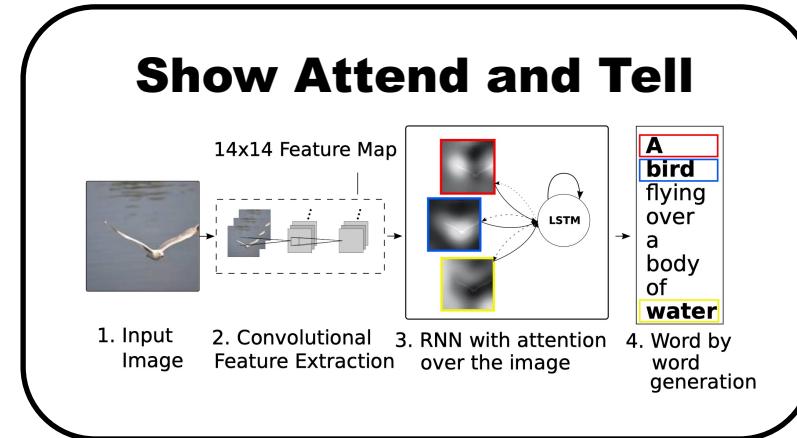
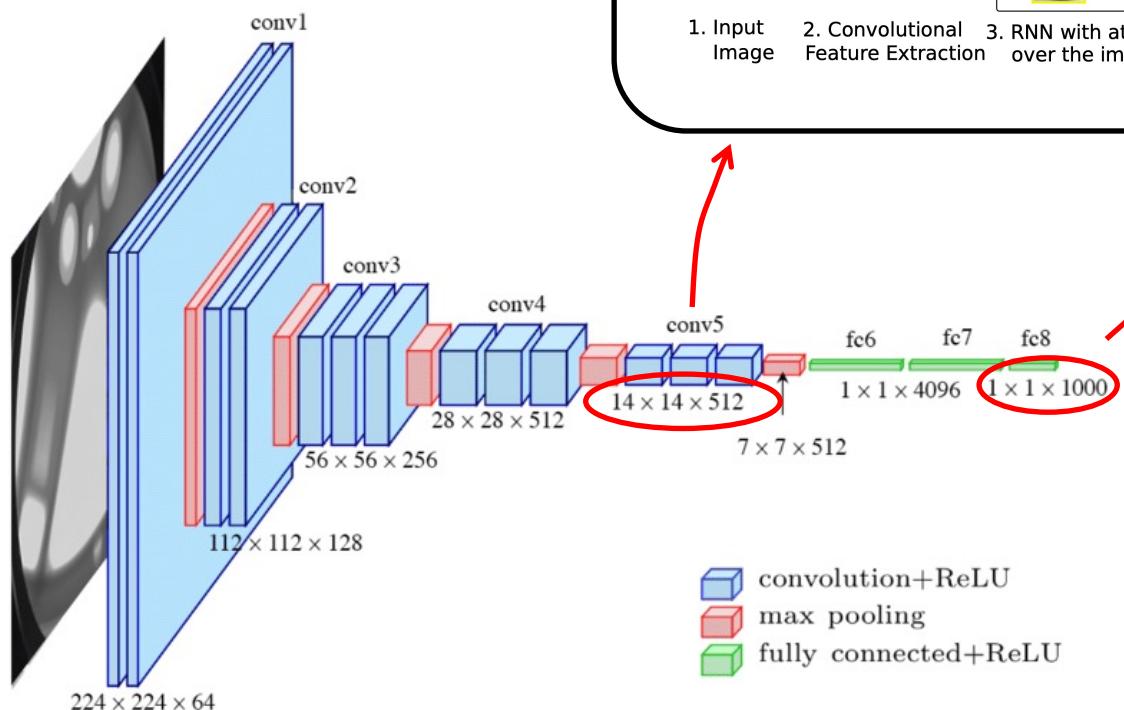


Image source: https://www.researchgate.net/figure/Fig-A1-The-standard-VGG-16-network-architecture-as-proposed-in-32-Note-that-only_fig3_322512435

CNN Encoder

VGG-16



Spatial information is preserved for spatial attention

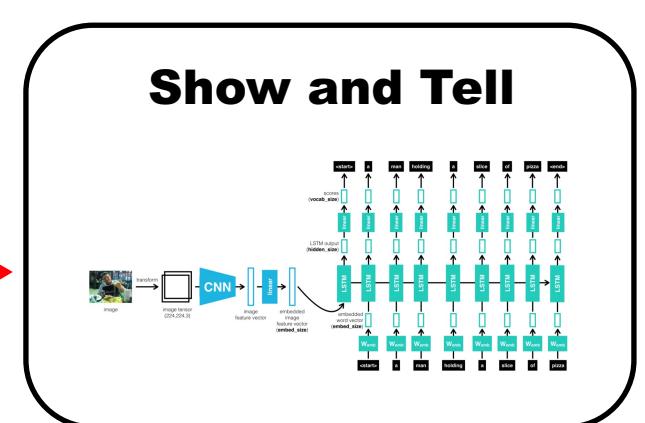
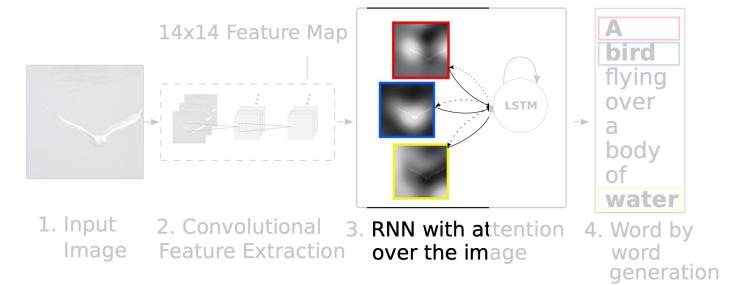


Image source: https://www.researchgate.net/figure/Fig-A1-The-standard-VGG-16-network-architecture-as-proposed-in-32-Note-that-only_fig3_322512435

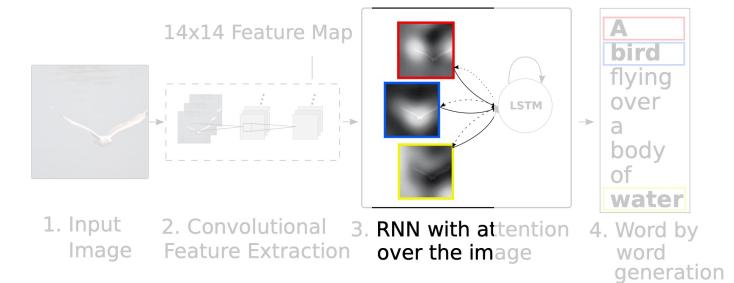
Attention

- Soft attention
- Hard attention

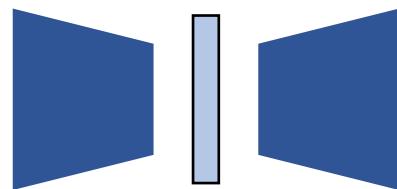


Soft Attention

- Attention Is All You Need, 2017
 - Natural Language Processing (NLP)
 - Language translation



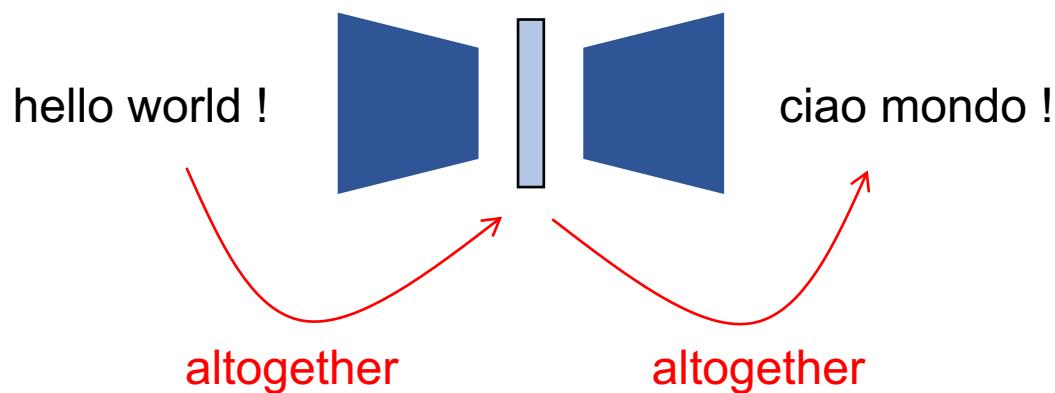
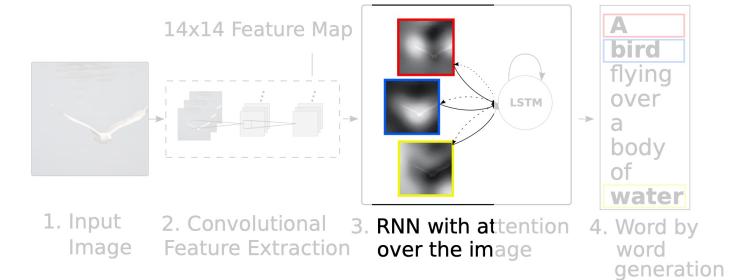
hello world !



ciao mondo !

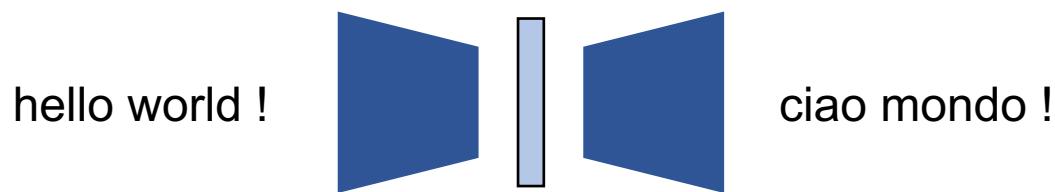
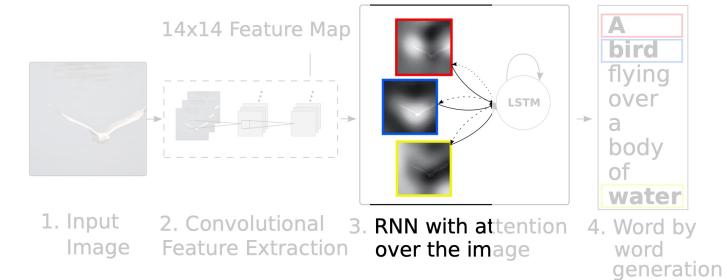
Soft Attention

- Attention Is All You Need, 2017
 - Natural Language Processing (NLP)
 - Language translation



Soft Attention

- Attention Is All You Need, 2017
 - Natural Language Processing (NLP)
 - Language translation

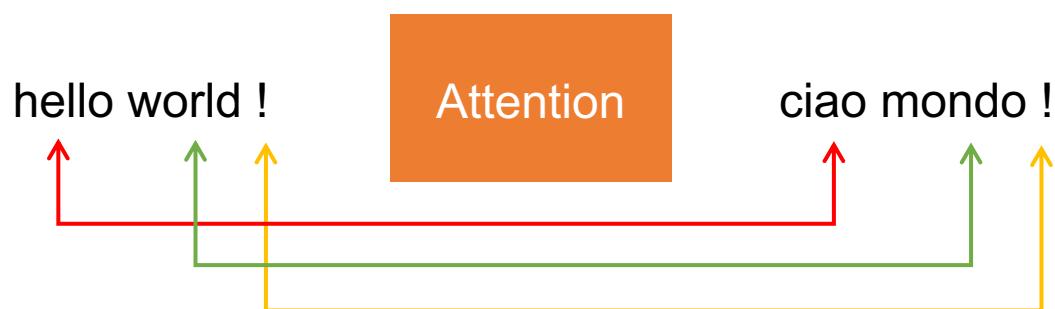
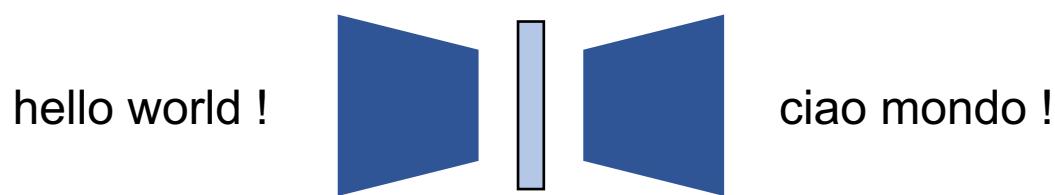
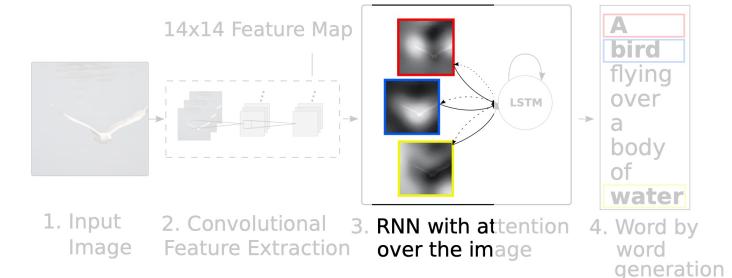


Should the contribution be equal?

Which word do you think should contribute to "ciao" more?

Soft Attention

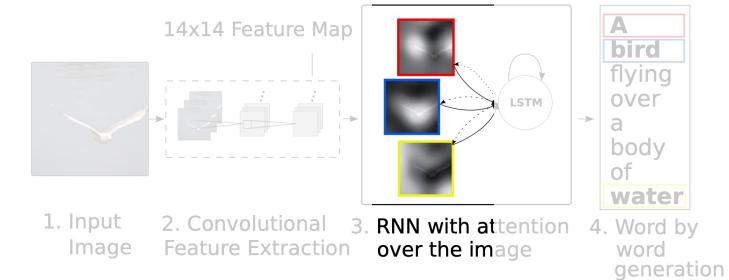
- Attention Is All You Need, 2017
 - Natural Language Processing (NLP)
 - Language translation



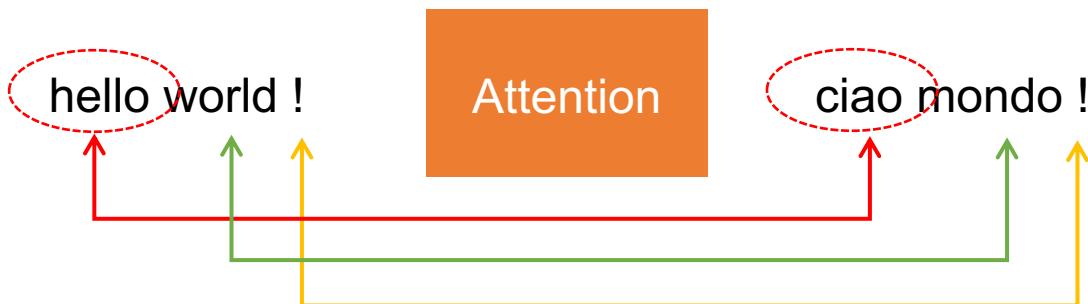
Attention helps the model to understand these relationships

Soft Attention

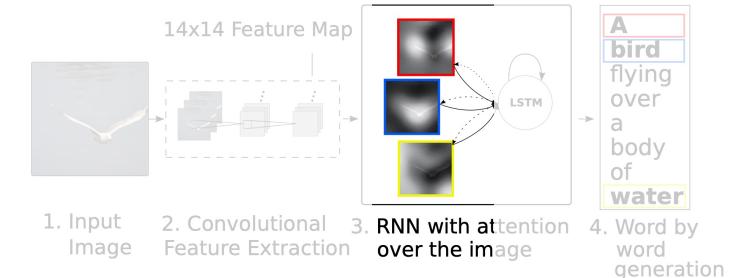
- Attention Is All You Need, 2017
 - Natural Language Processing (NLP)
 - Language translation



Attention mechanism:
How to calculate the influence on **ciao** from **hello**?



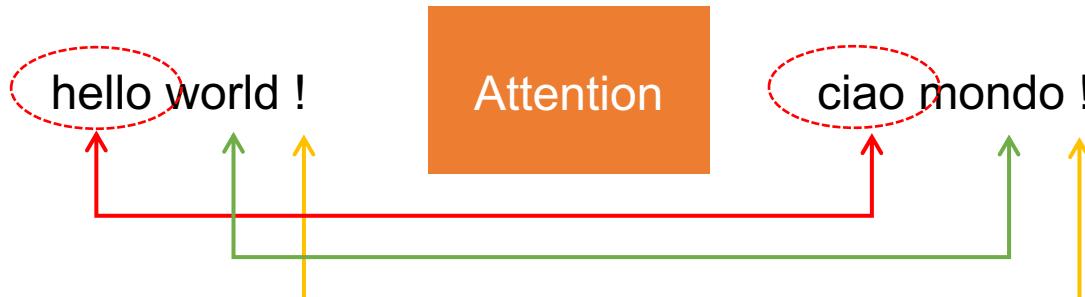
Soft Attention



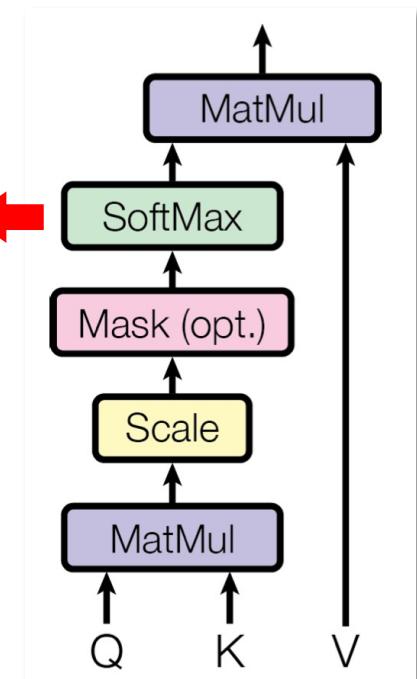
- Transformer: Attention Is All You Need, 2017
 - Natural Language Processing (NLP)
 - Language translation

Attention mechanism:

How to calculate the influence on **ciao** from **hello**?



Attention values

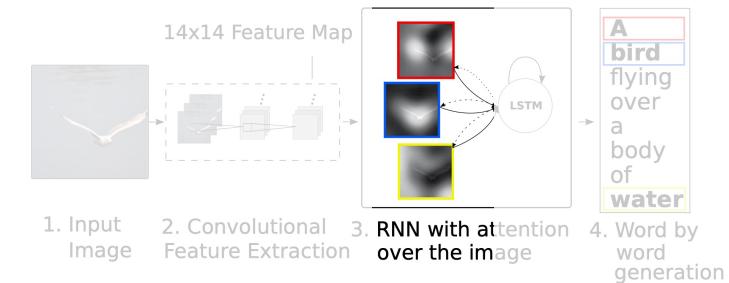


Query: information about **hello** as a feature candidate

Key: information about **ciao** as the output

Value: original feature vector of **hello**

Soft Attention



- Image attention

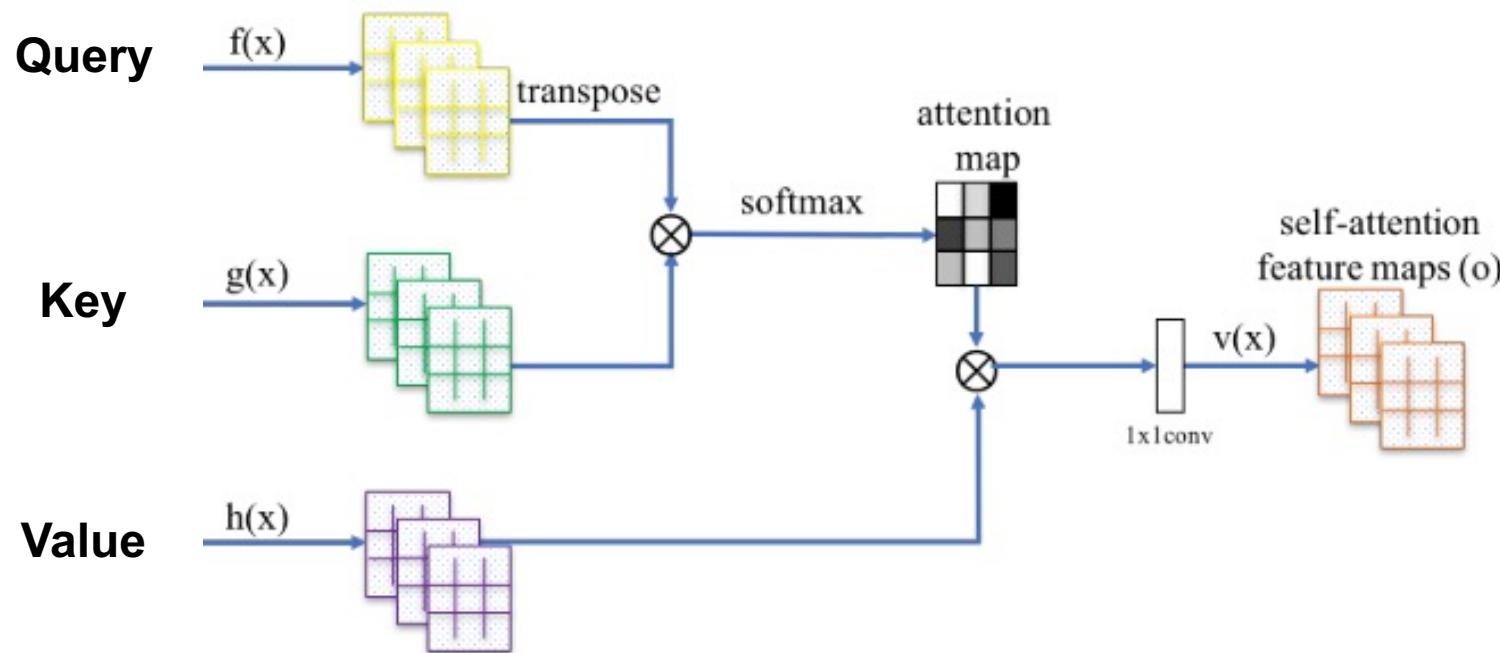


Image source:
<https://medium.com/mlearning-ai/self-attention-in-convolutional-neural-networks-172d947afc00>

Soft Attention

- Image attention

Attention function: $e_{ti} = f_{att}(Q, K)$

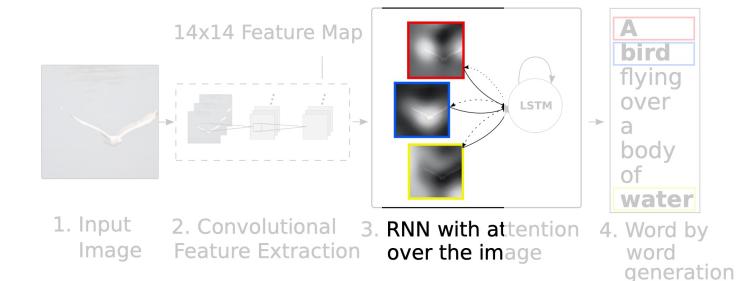
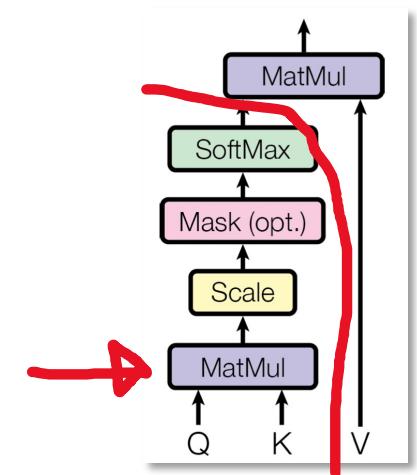
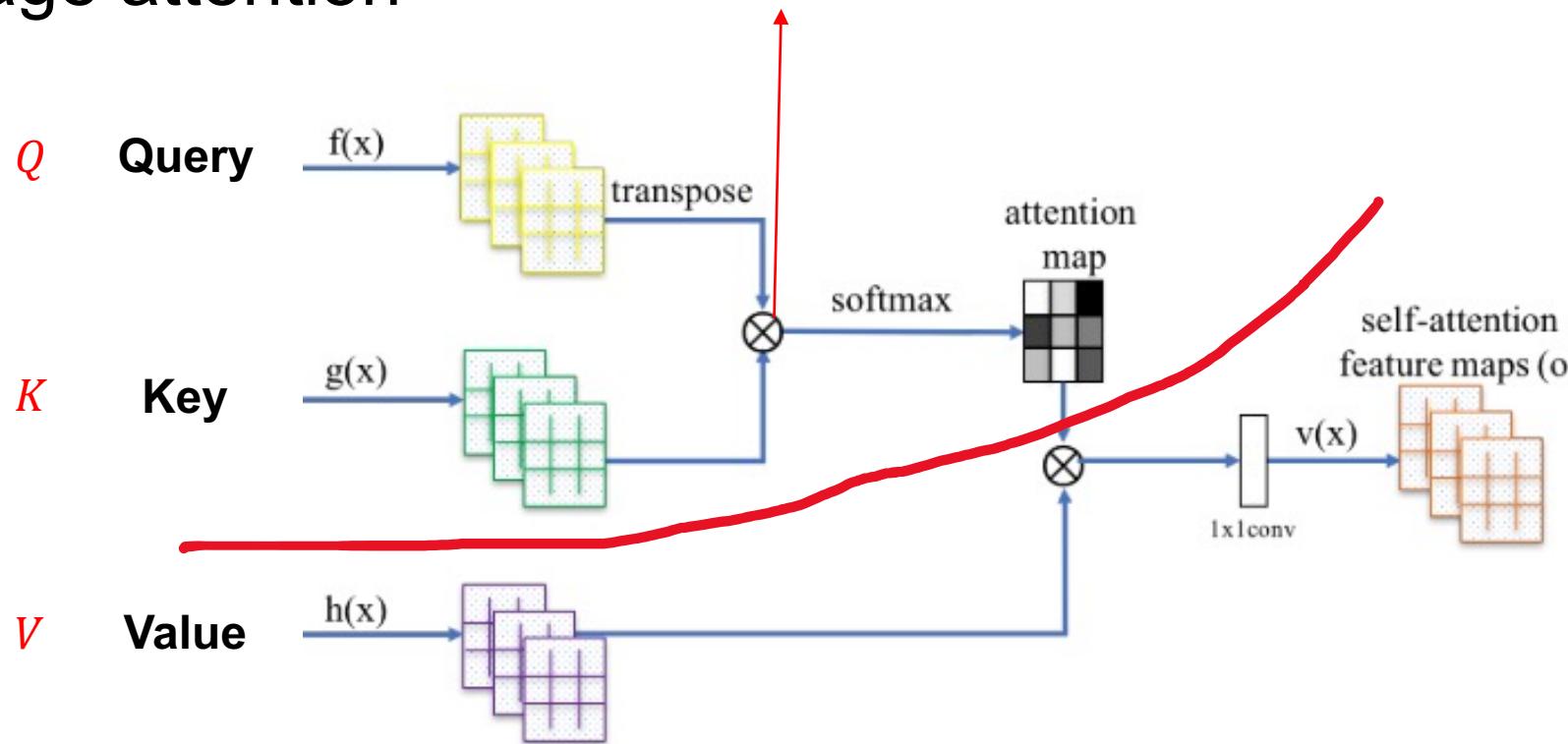


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Soft Attention

- Image attention

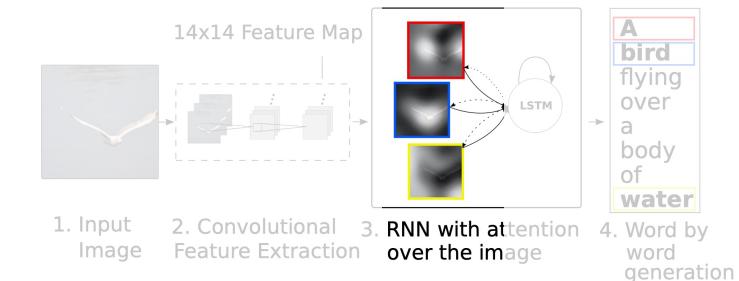
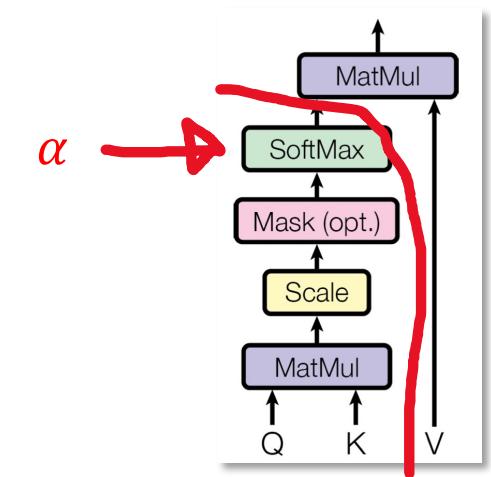
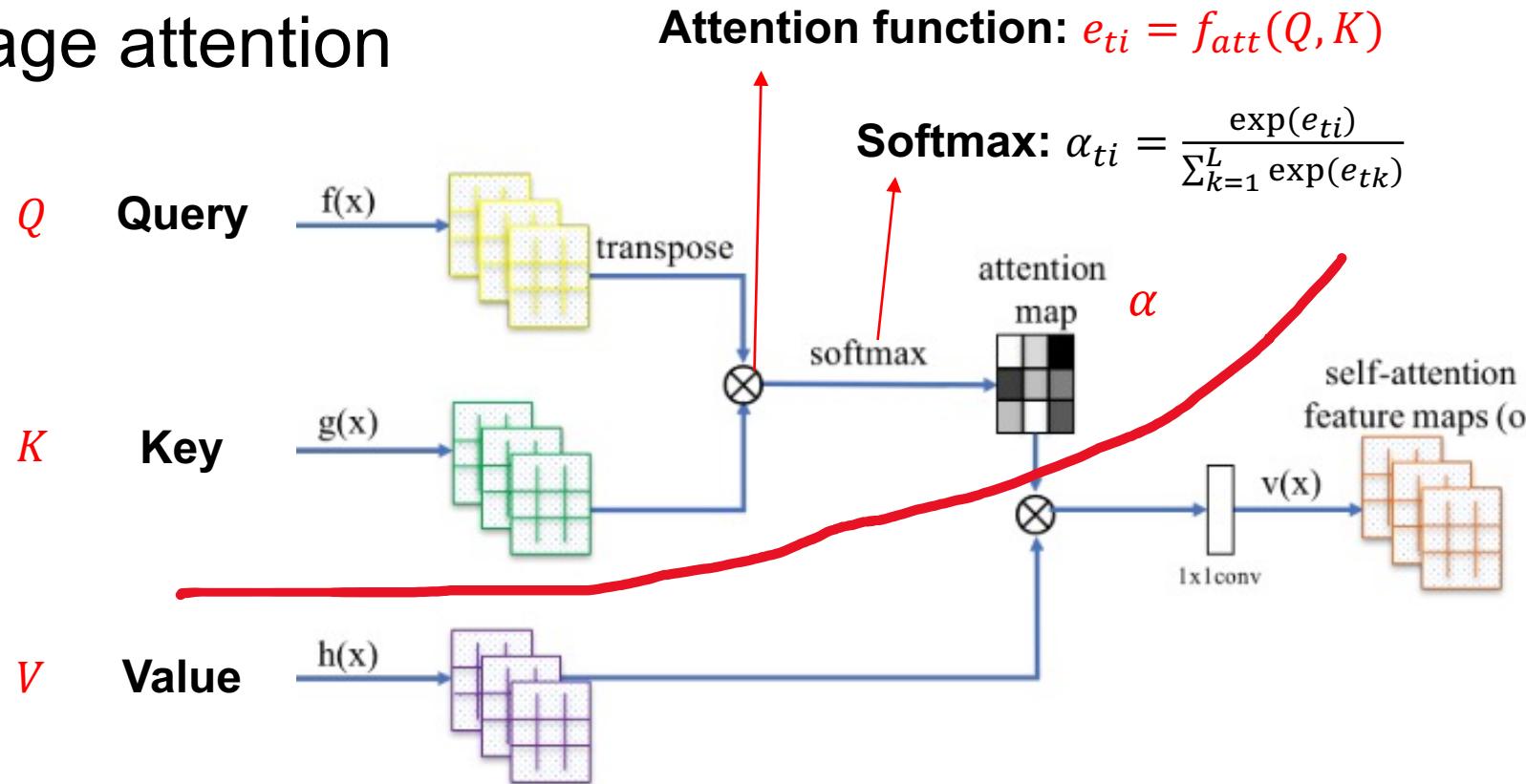


Image source:
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Soft Attention

- Image attention

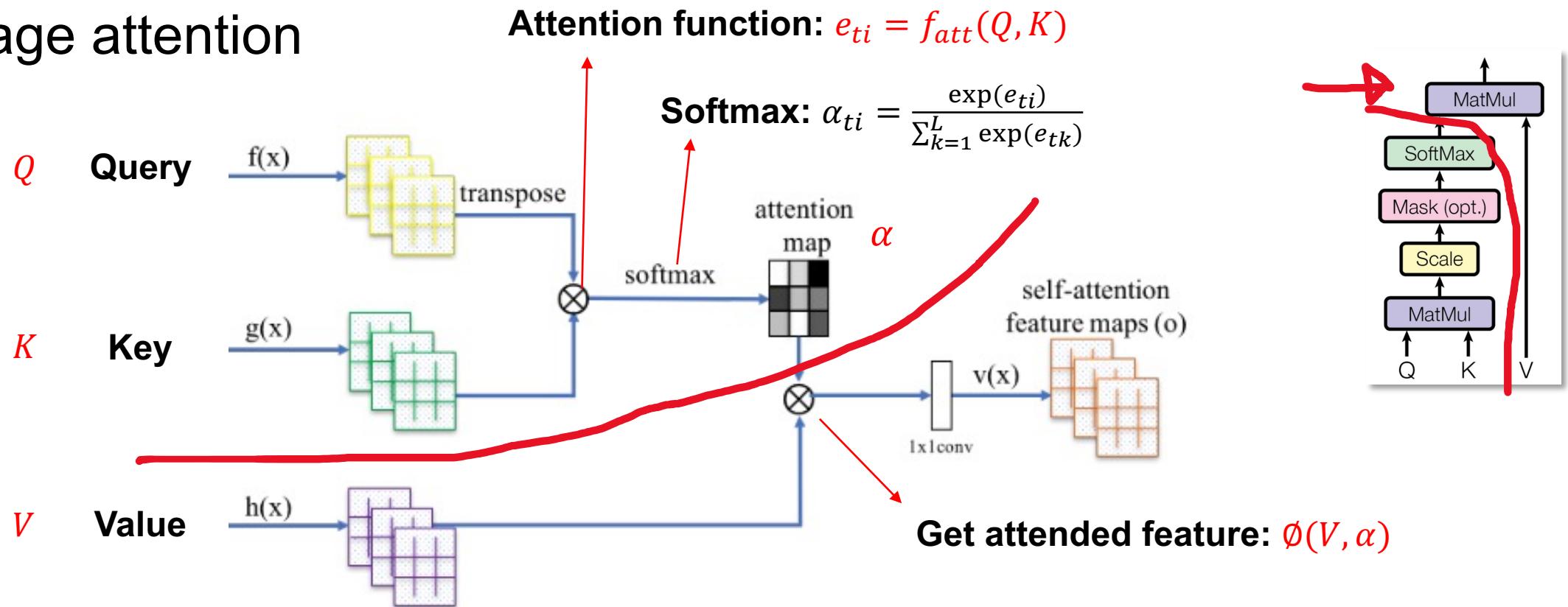
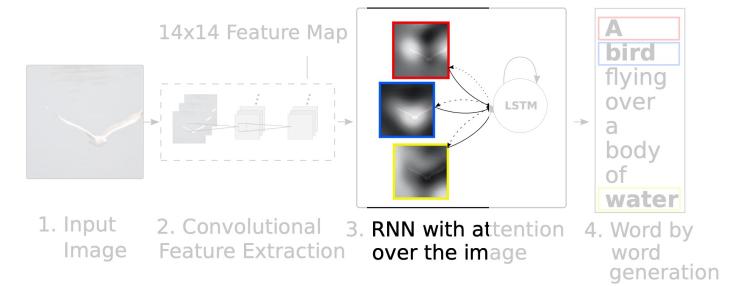


Image source:
<https://medium.com/mlearning-ai/self-attention-in-convolutional-neural-networks-172d947afc00>

Hard Attention

- Binary, One-hot



Hard Attention

- Binary, One-hot

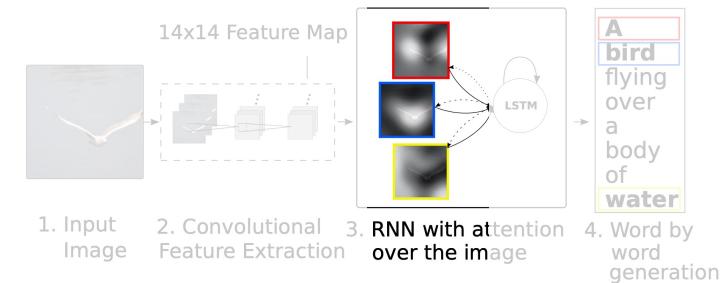
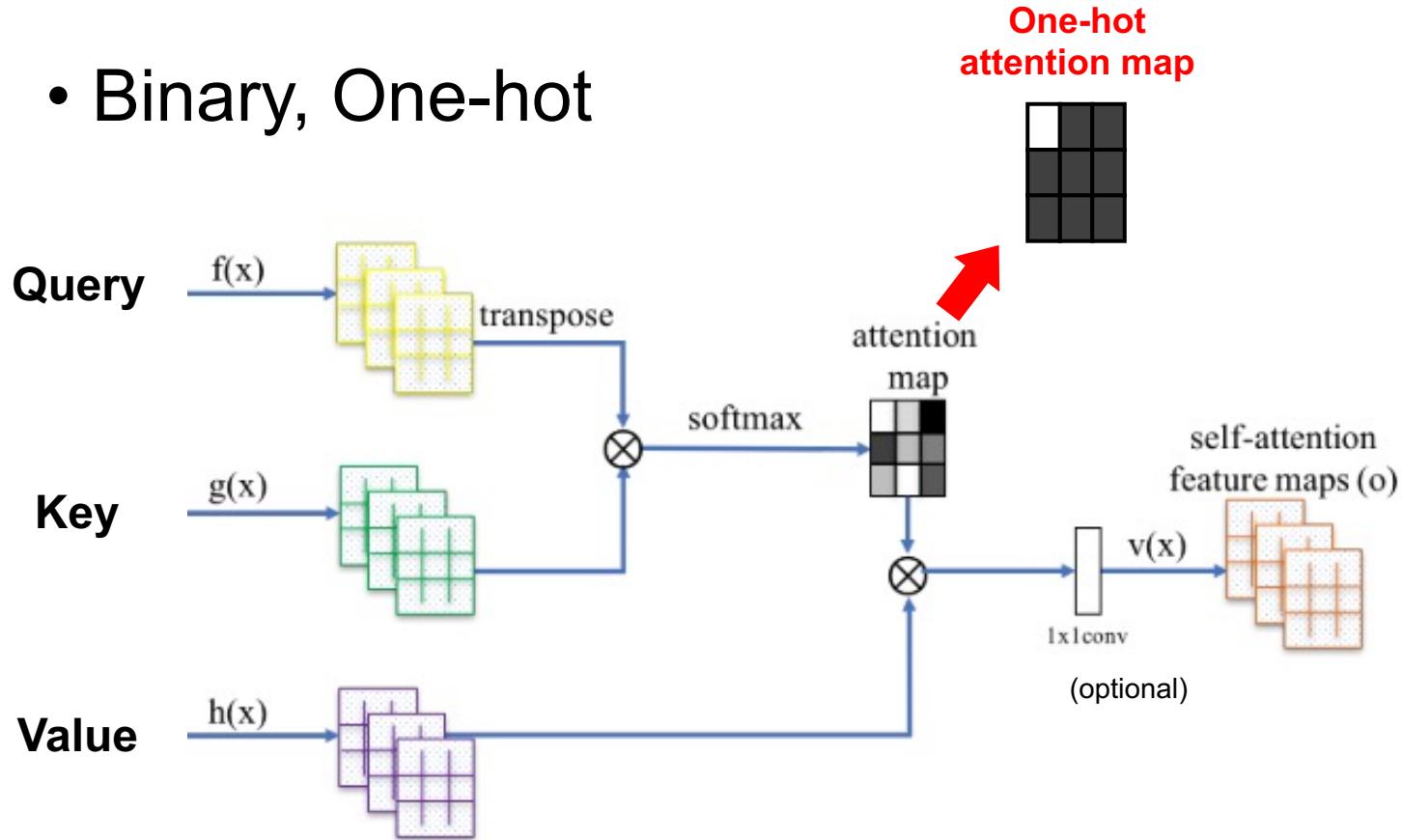
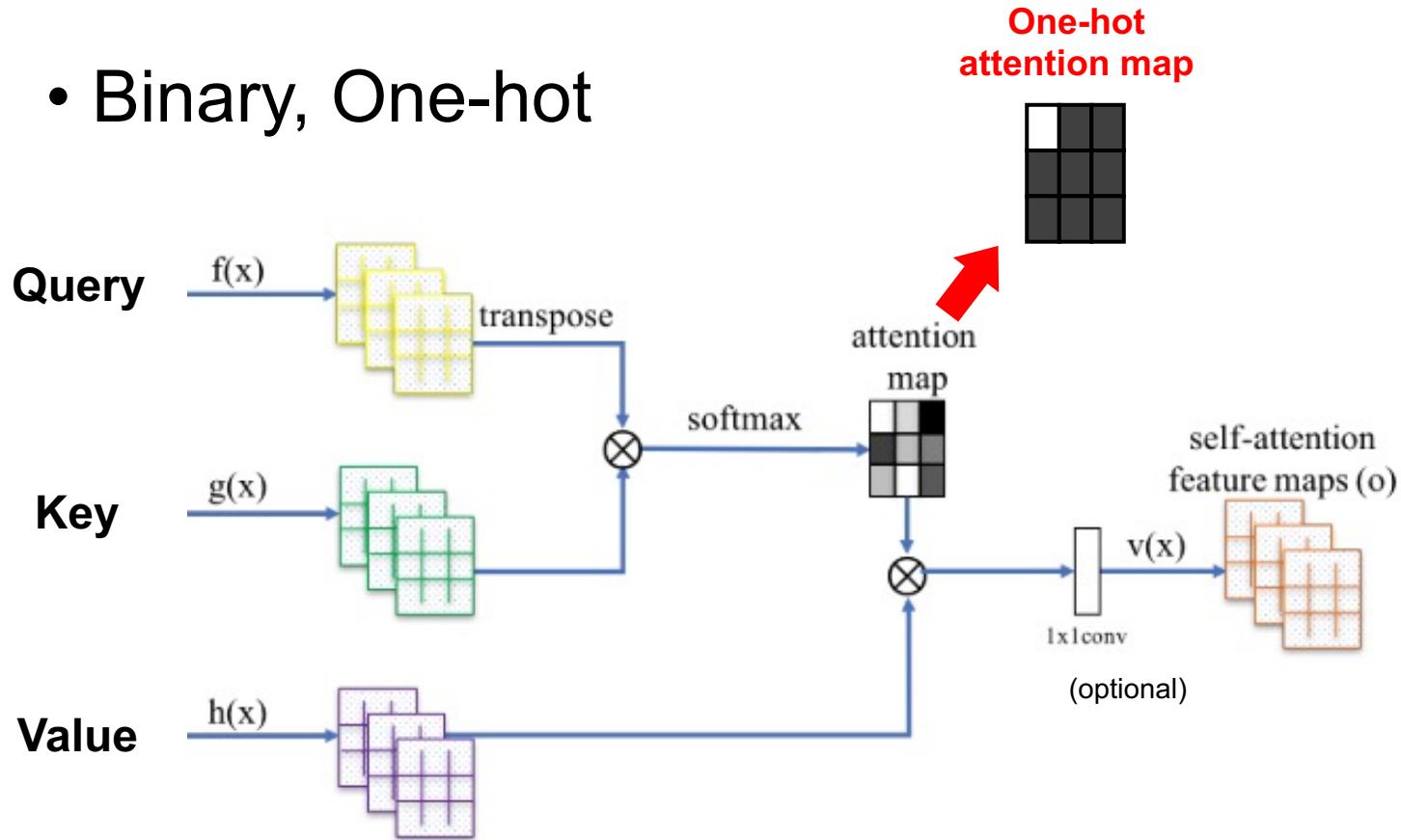


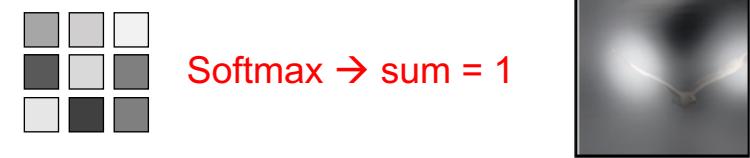
Image source:
<https://medium.com/mlearning-ai/self-attention-in-convolutional-neural-networks-172d947afc00>

Hard Attention

- Binary, One-hot



Soft Attention:



Hard Attention:

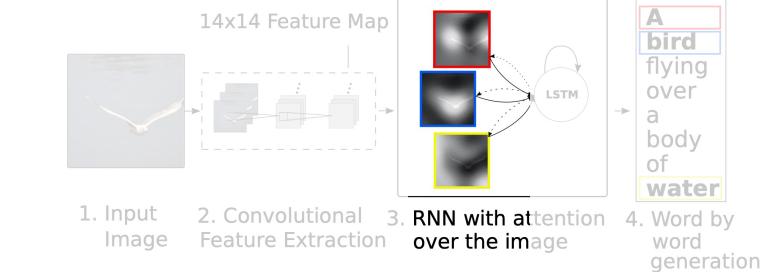
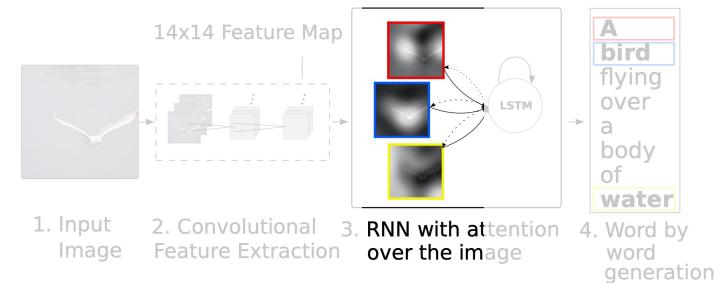
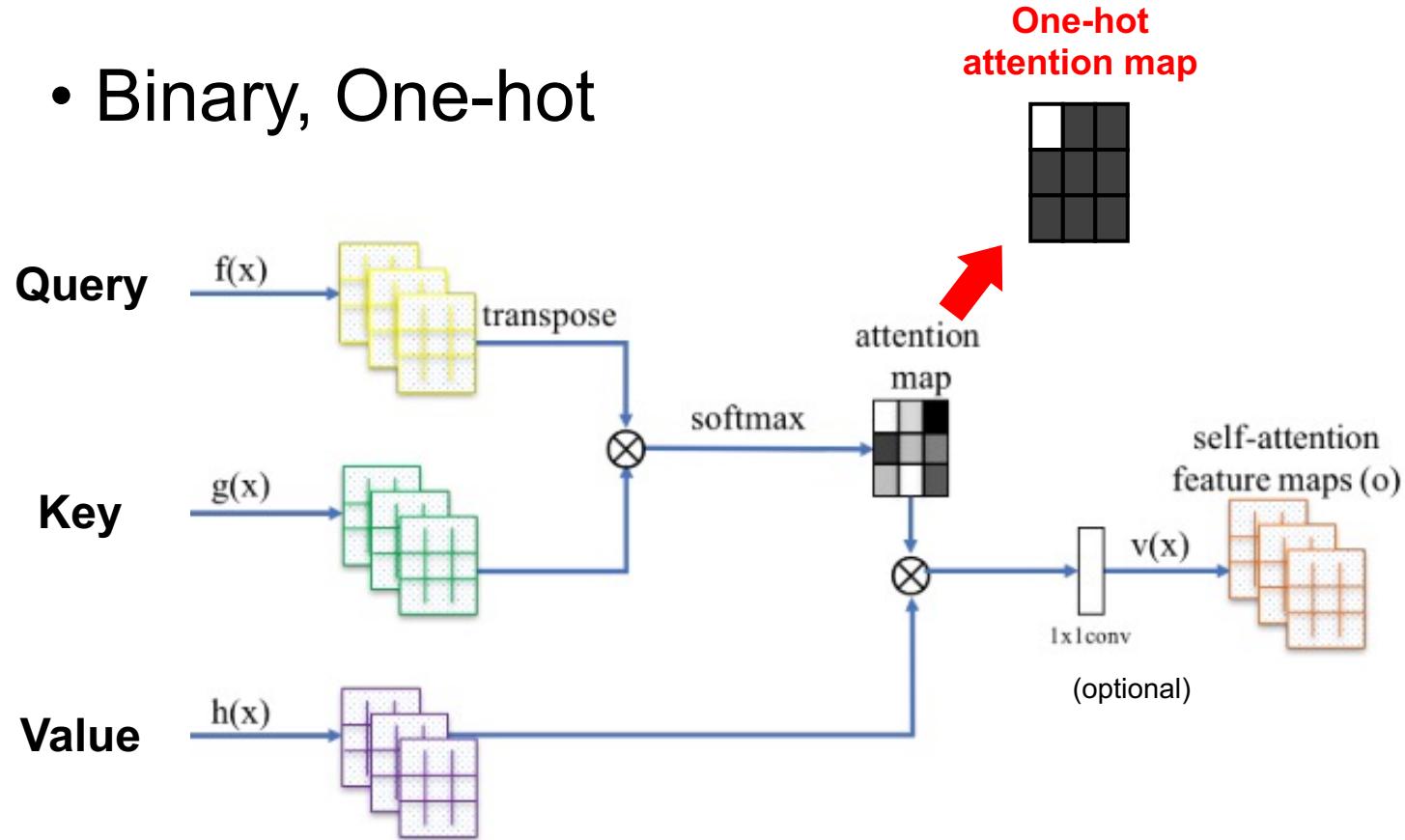


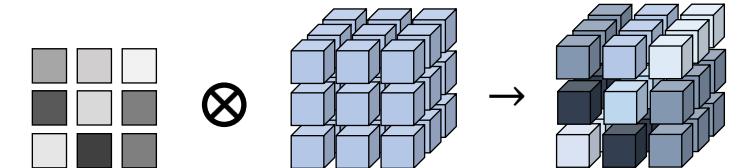
Image source:
<https://medium.com/mlearning-ai/self-attention-in-convolutional-neural-networks-172d947afc00>

Hard Attention

- Binary, One-hot



Soft Attention:



Hard Attention:

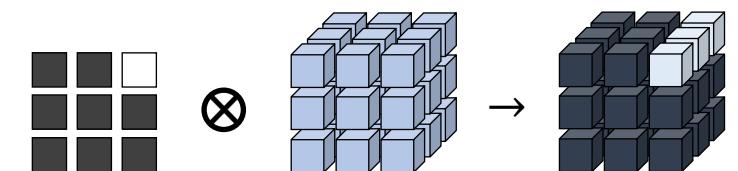
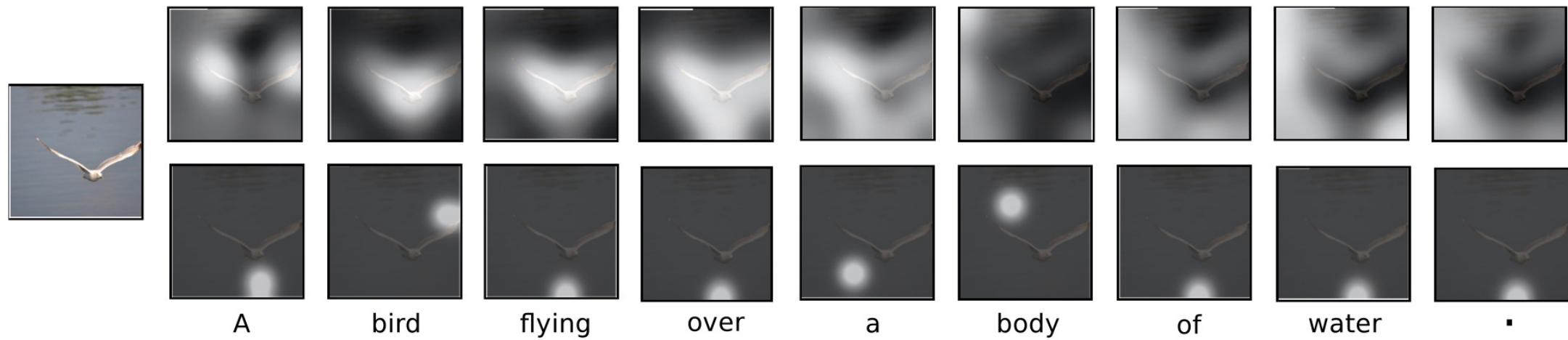


Image source:
<https://medium.com/mlearning-ai/self-attention-in-convolutional-neural-networks-172d947afc00>

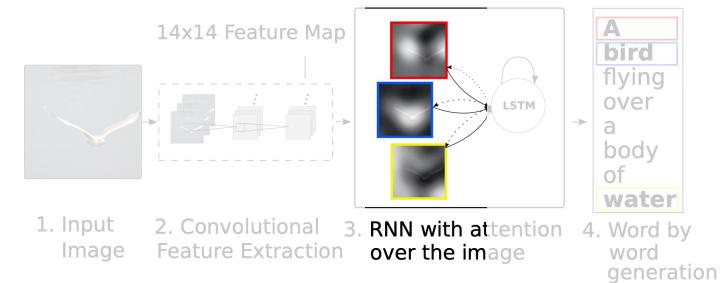
Attention

- Soft attention
- Hard attention

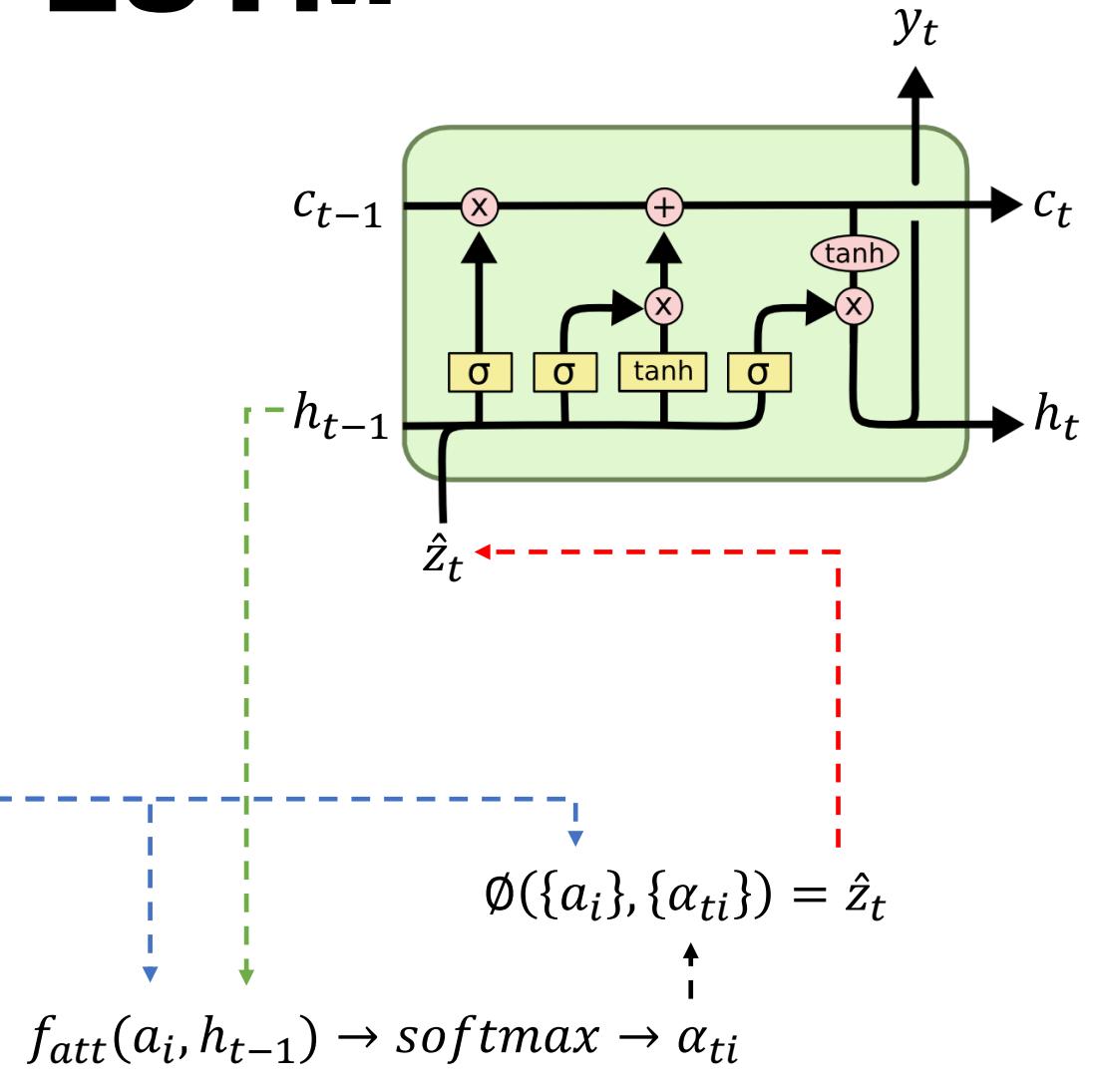
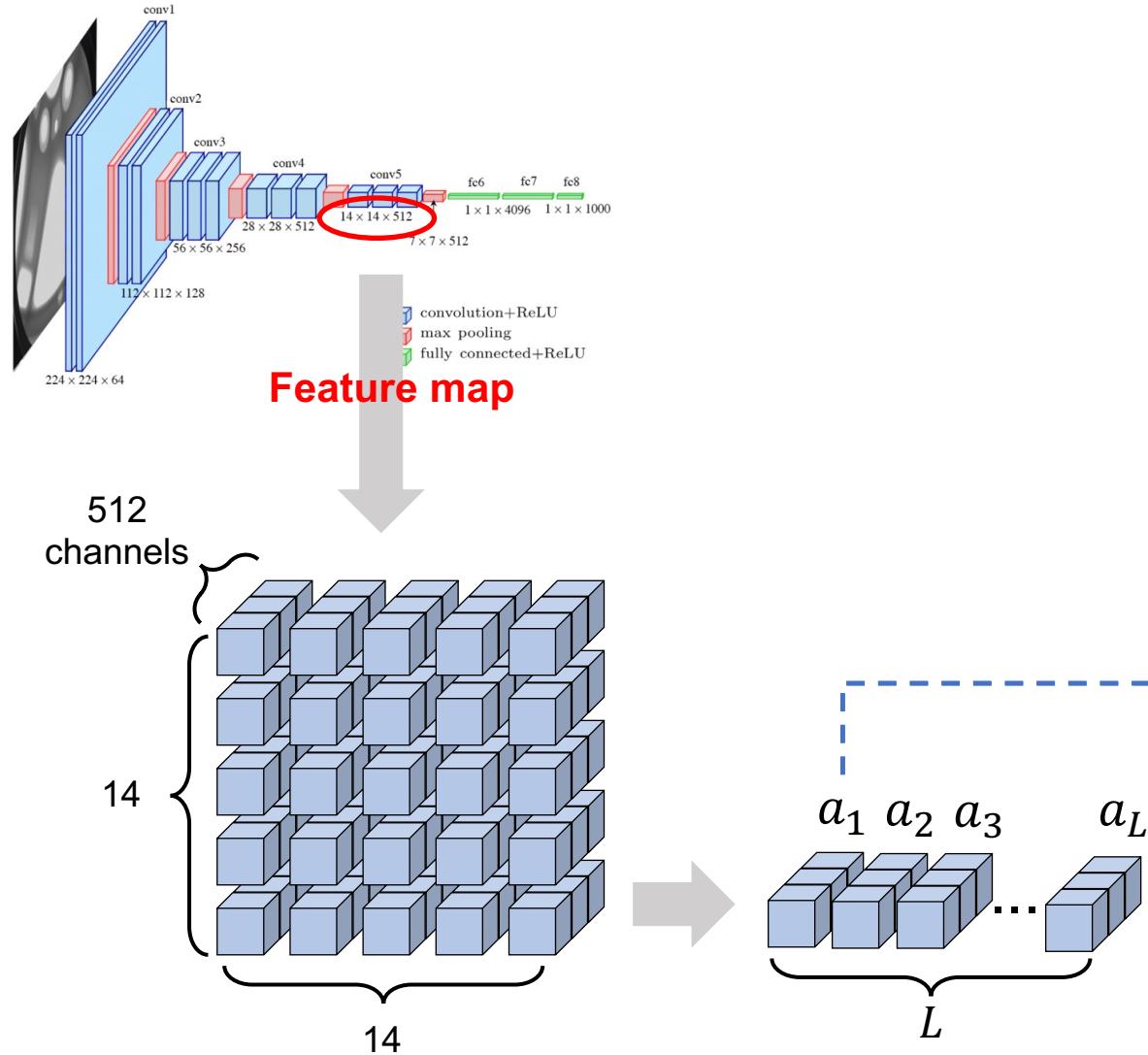
Soft attention: the attention map is a heatmap-like image



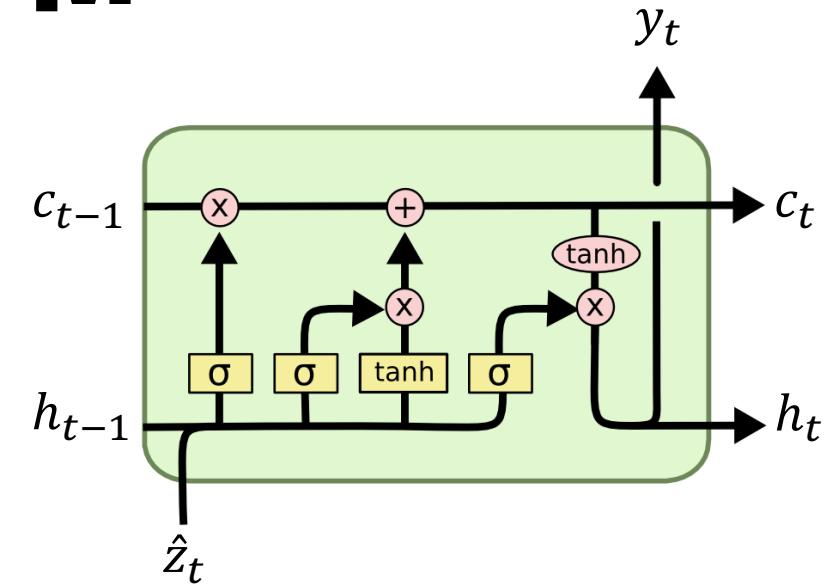
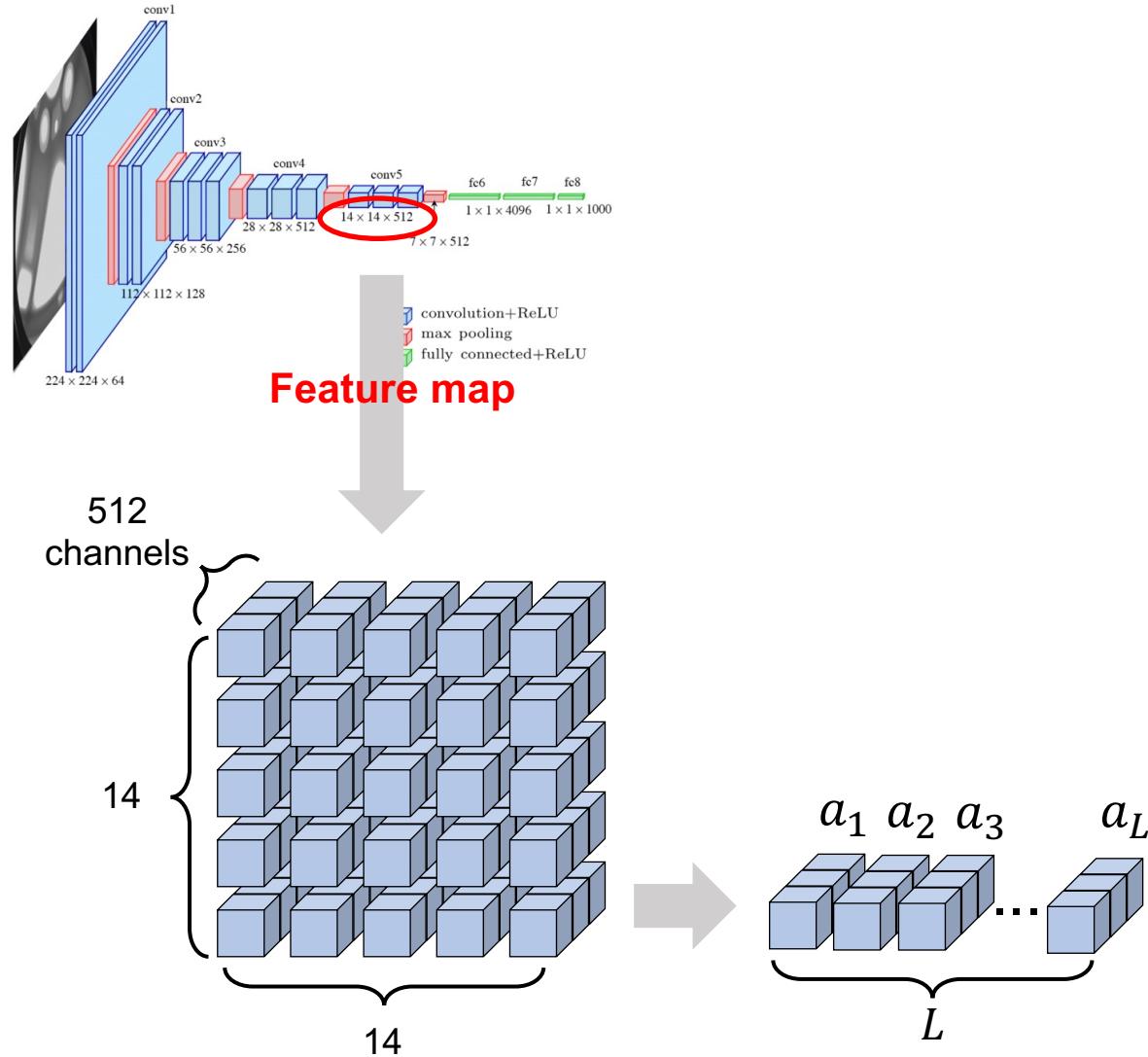
Hard attention: a single attention spot is selected on the map



CNN + Attention + LSTM



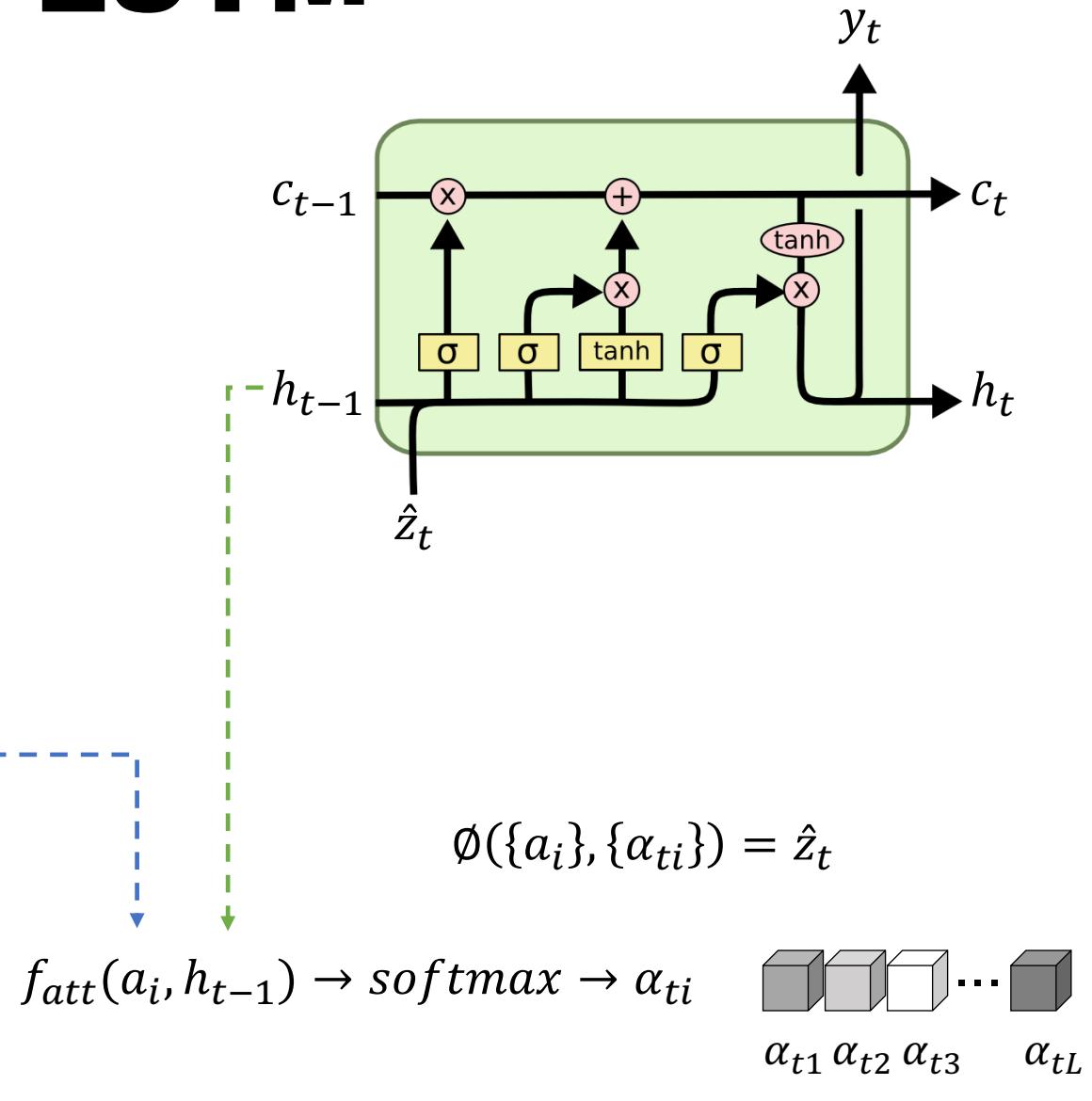
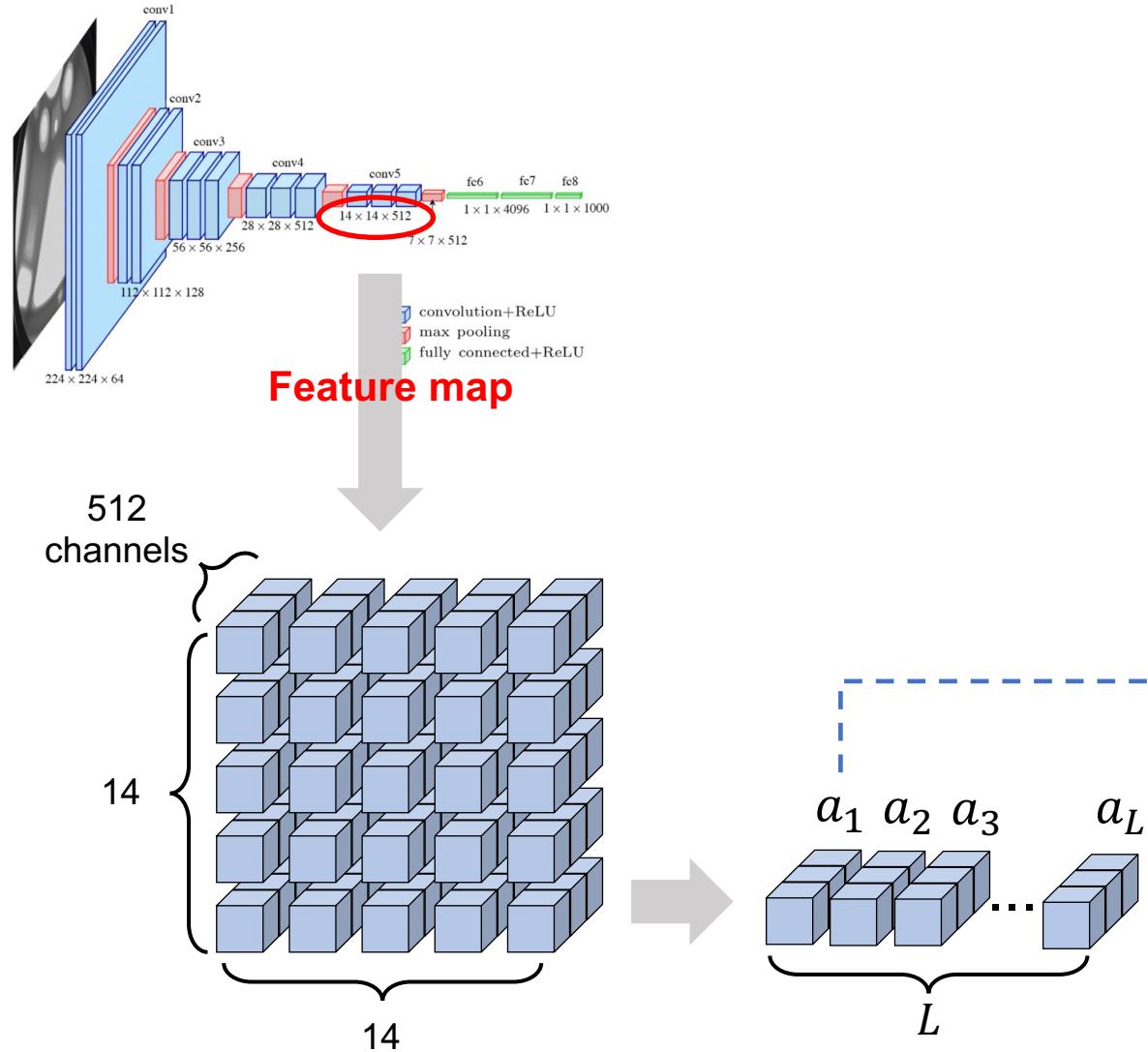
CNN + Attention + LSTM



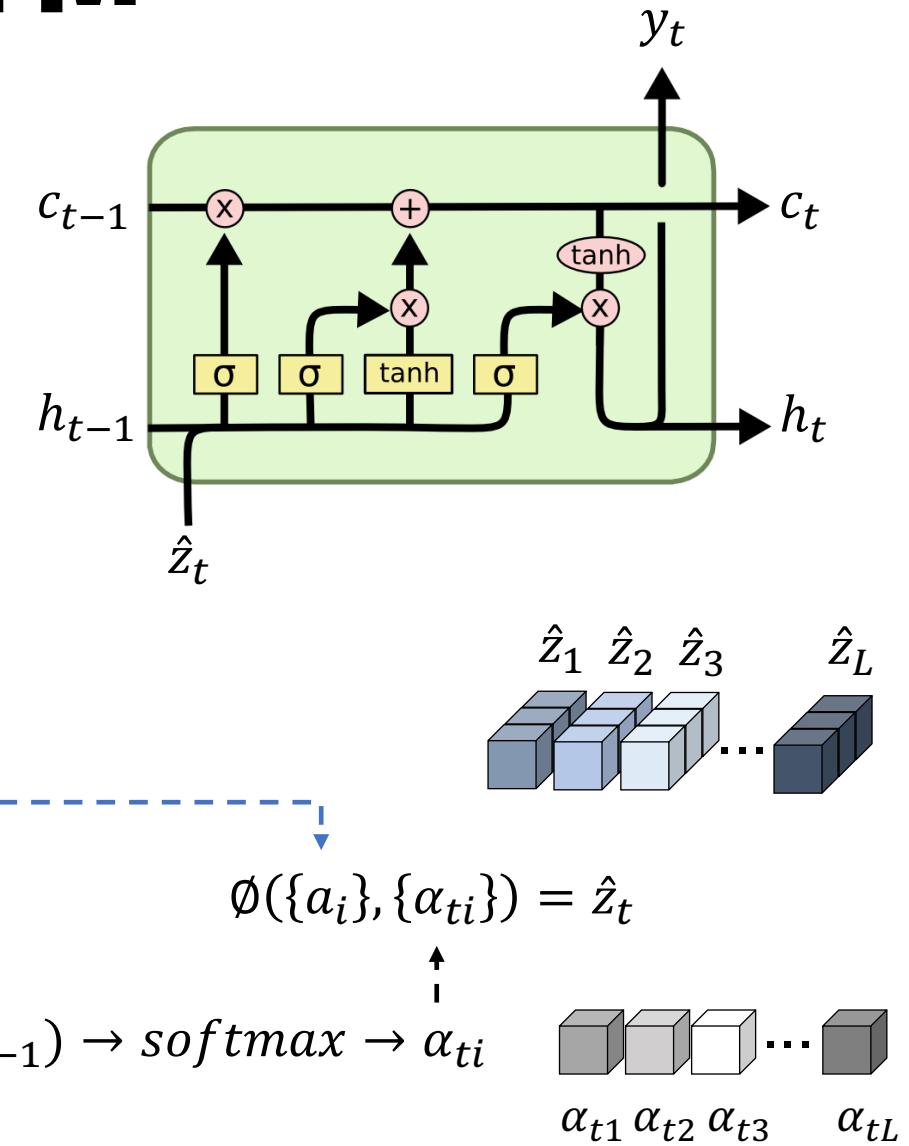
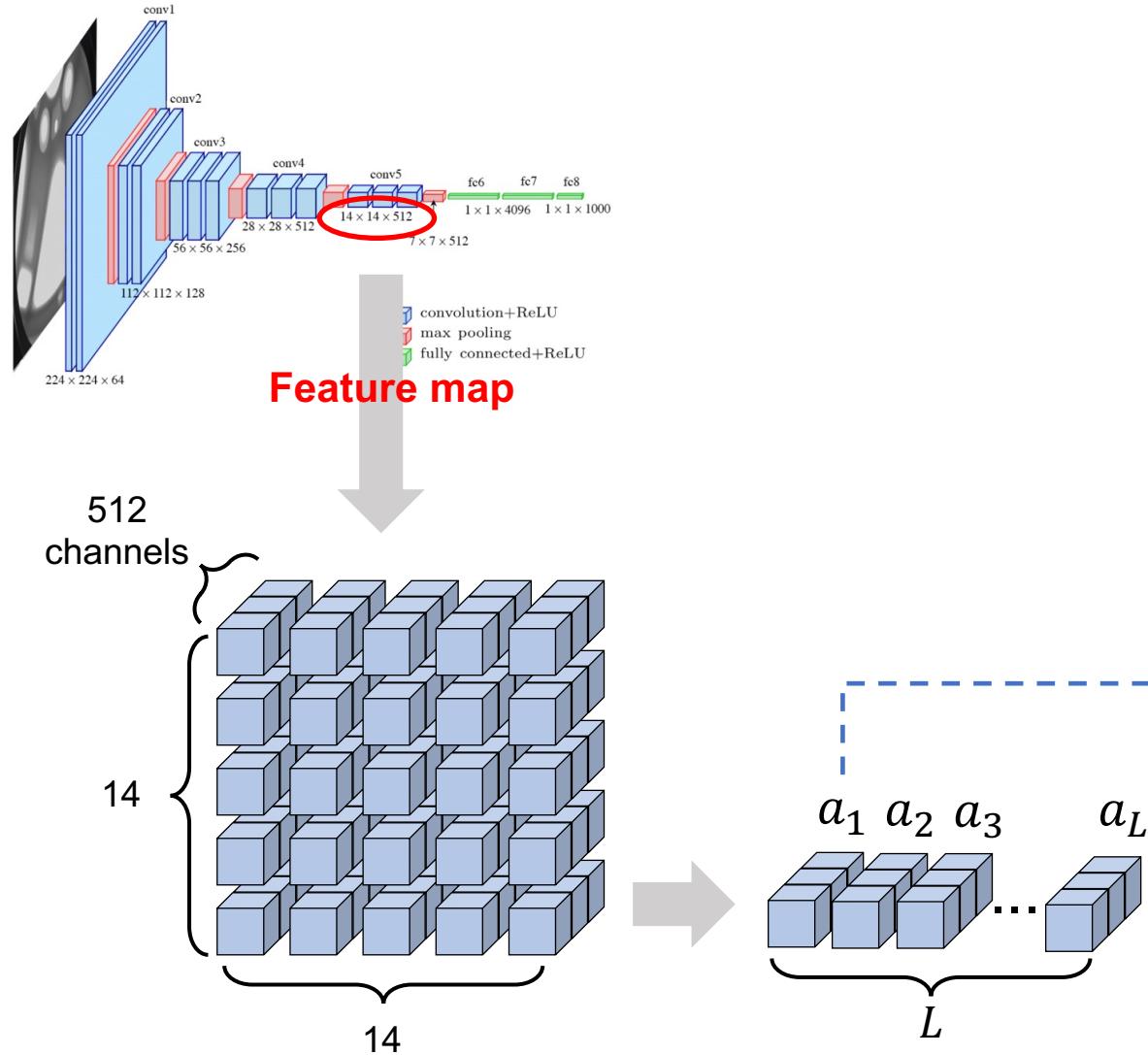
$$\emptyset(\{a_i\}, \{\alpha_{ti}\}) = \hat{z}_t$$

$$f_{att}(a_i, h_{t-1}) \rightarrow \text{softmax} \rightarrow \alpha_{ti}$$

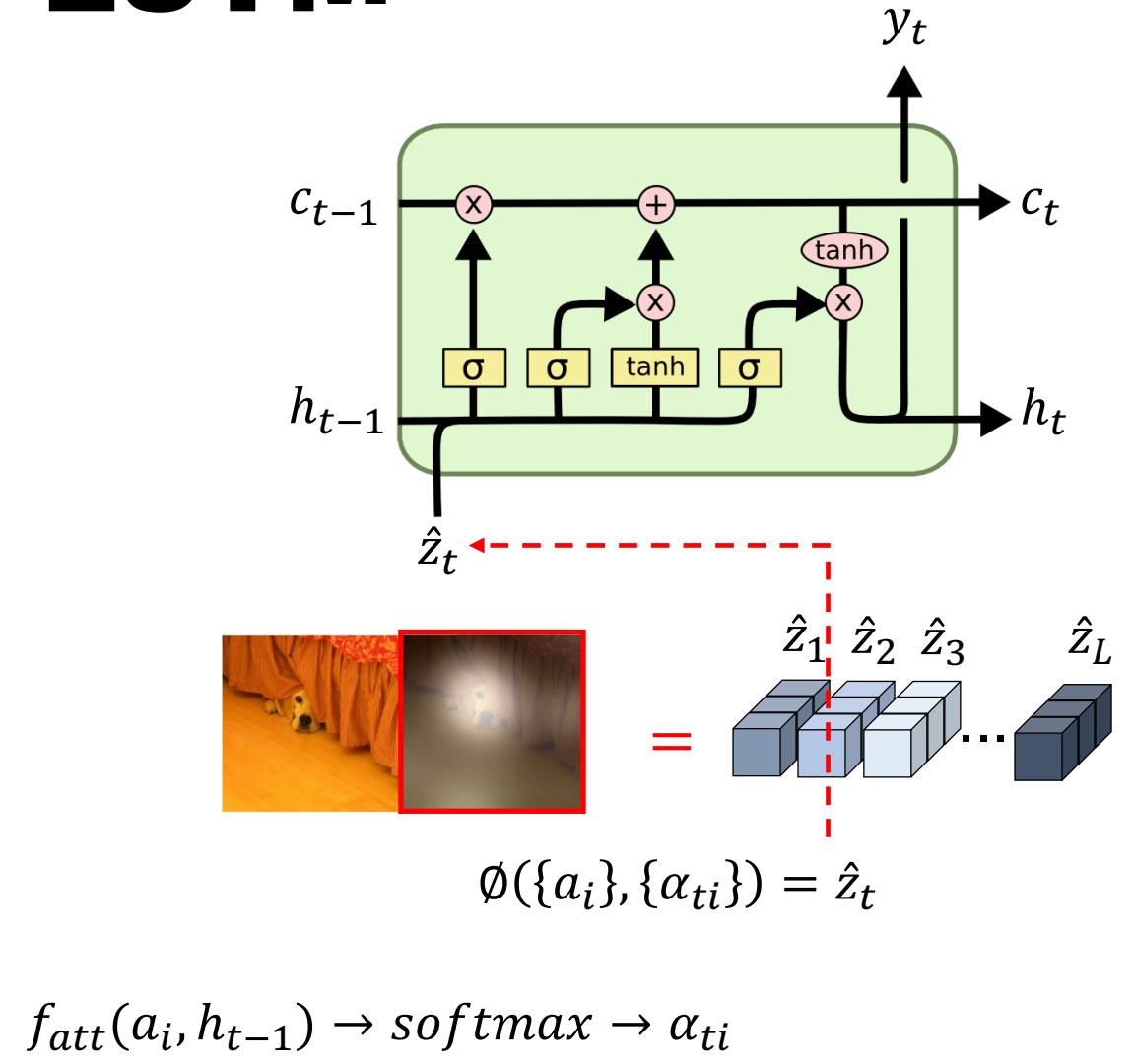
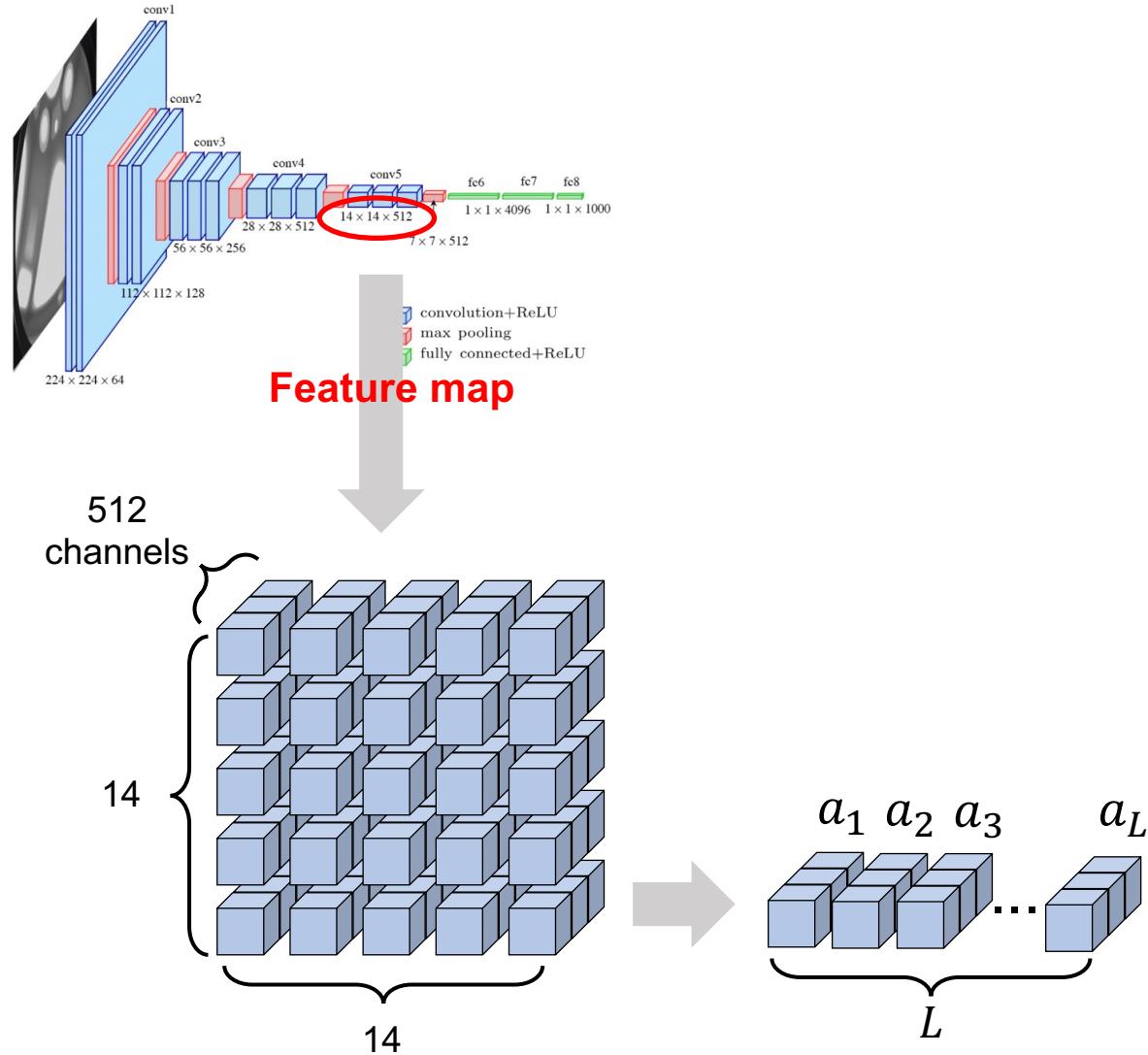
CNN + Attention + LSTM



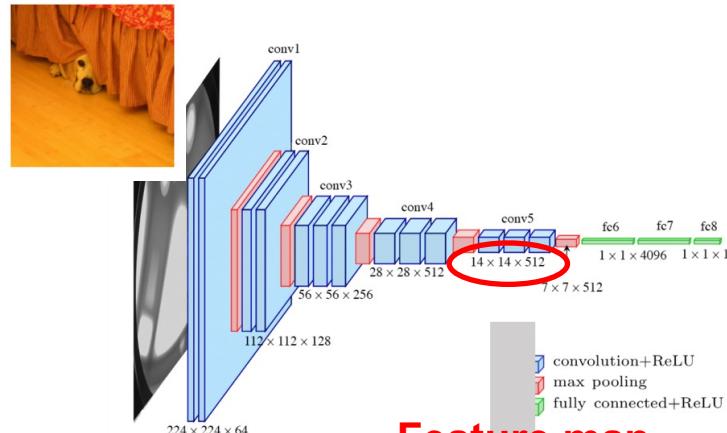
CNN + Attention + LSTM



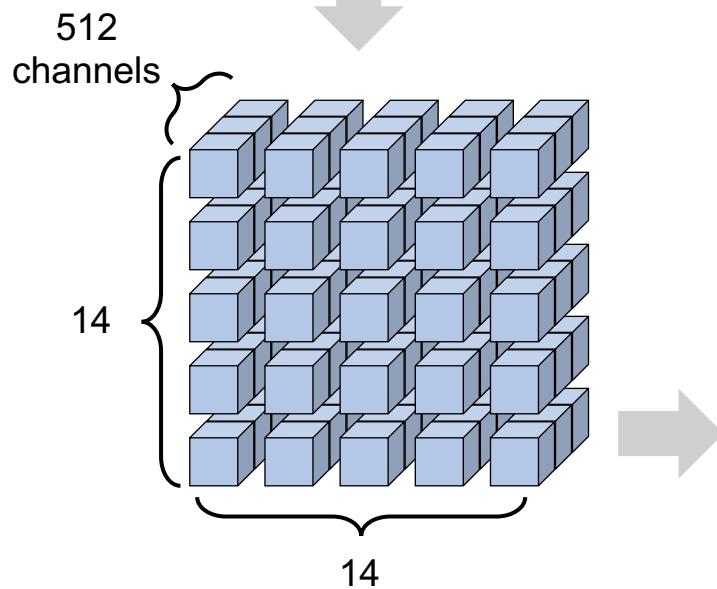
CNN + Attention + LSTM



CNN + Attention + LSTM



Feature map



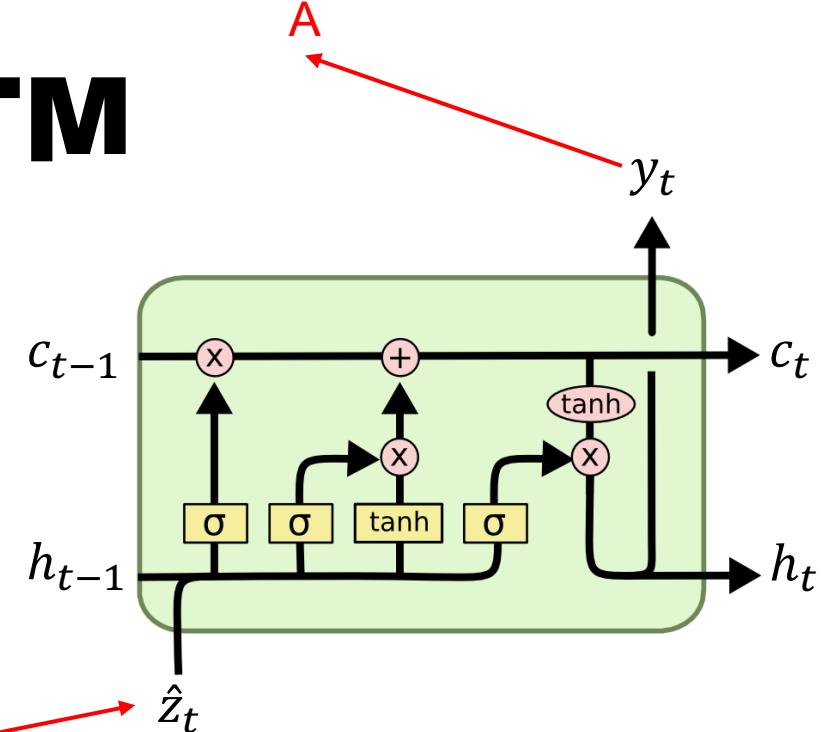
$$a_1 \ a_2 \ a_3 \ \dots \ a_L$$

L

$$f_{att}(a_i, h_{t-1}) \rightarrow \text{softmax} \rightarrow \alpha_{ti}$$

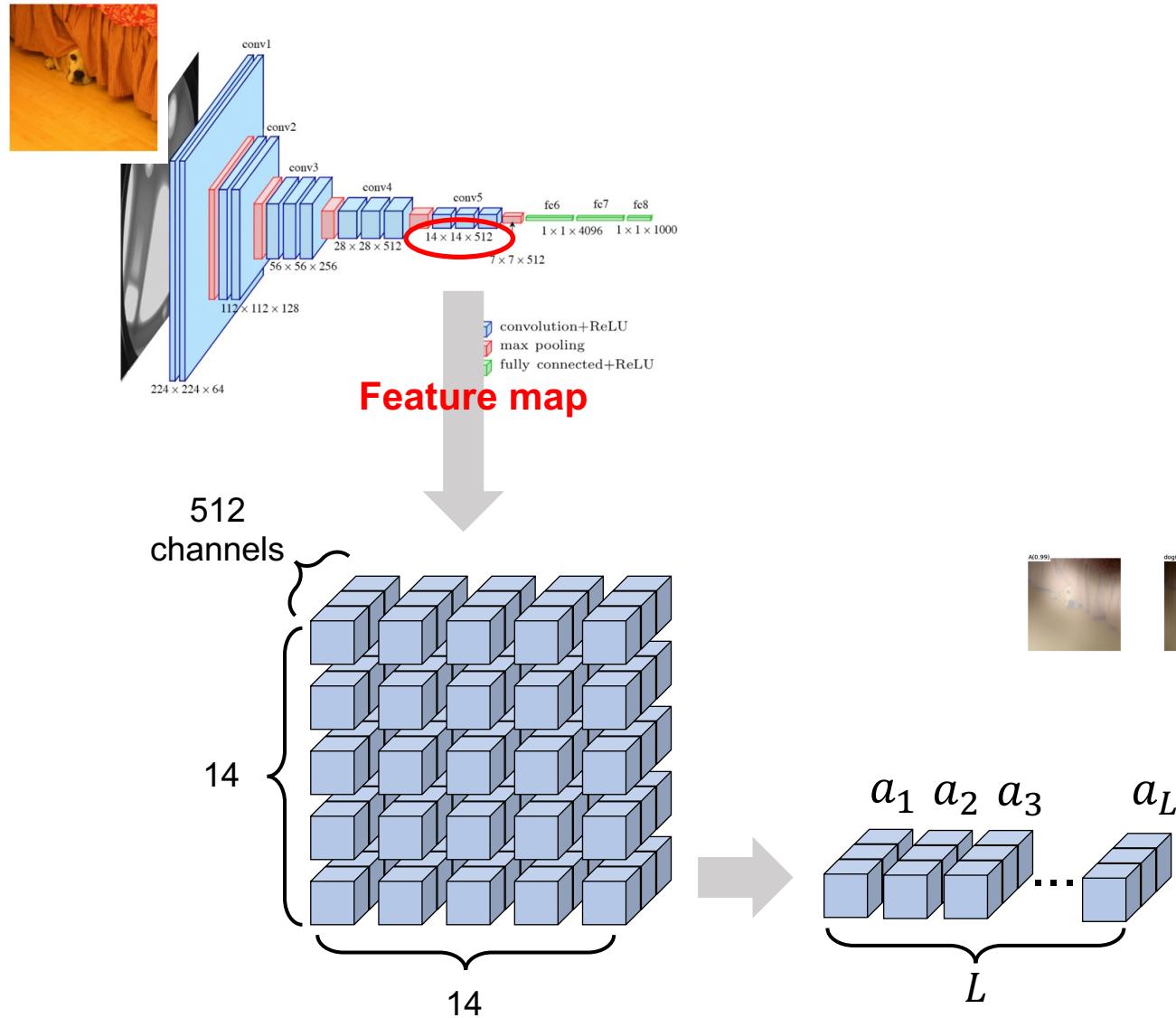


$$\emptyset(\{a_i\}, \{\alpha_{ti}\}) = \hat{z}_t$$

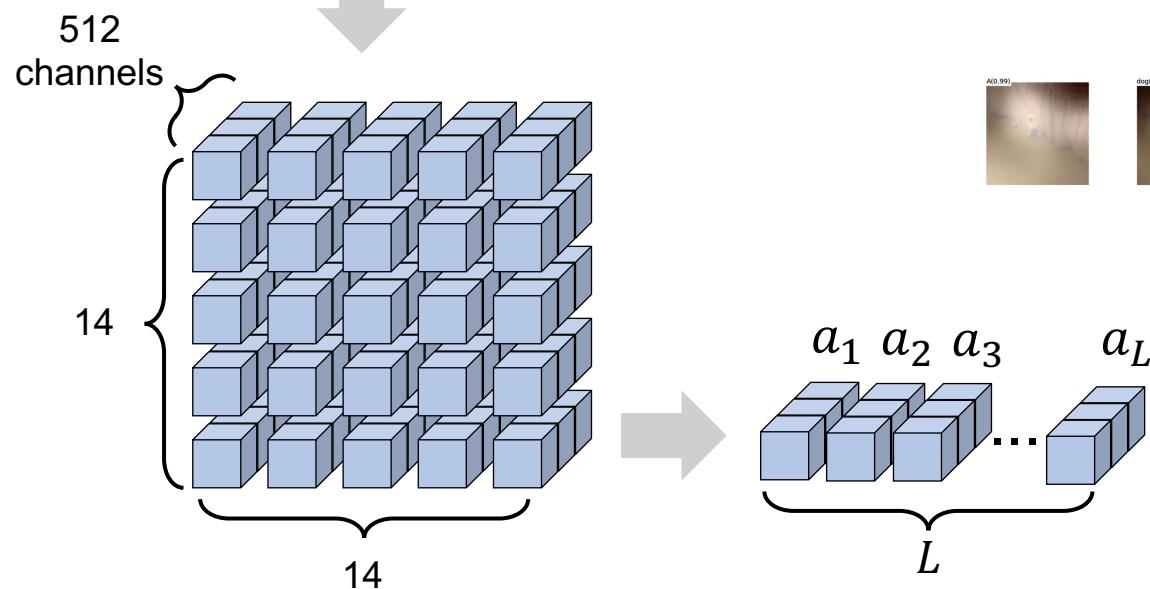
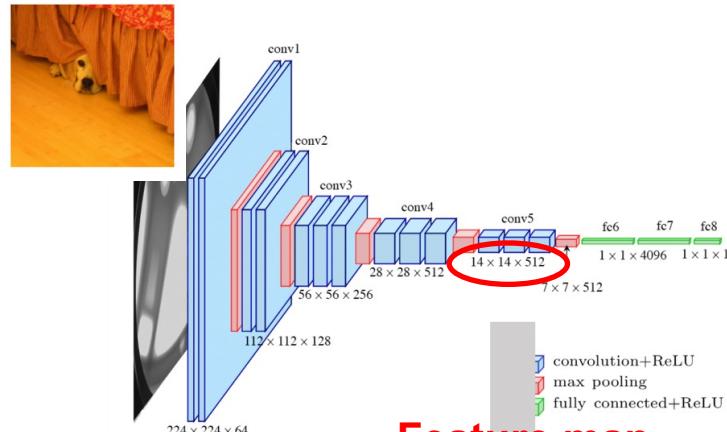


$$A \rightarrow y_t$$

CNN + Attention + LSTM

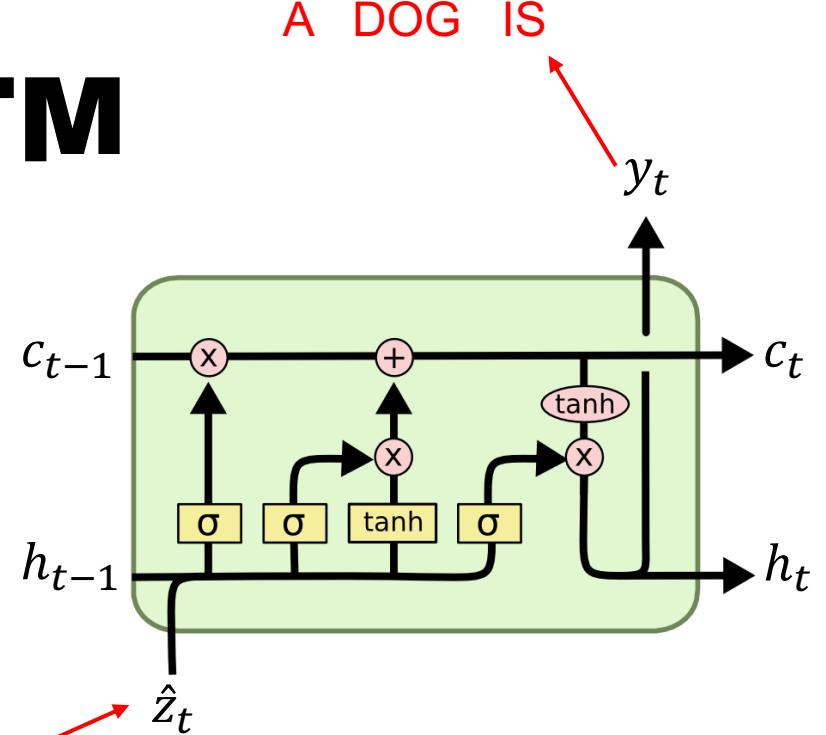


CNN + Attention + LSTM



$$f_{att}(a_i, h_{t-1}) \rightarrow \text{softmax} \rightarrow \alpha_{ti}$$

A DOG IS

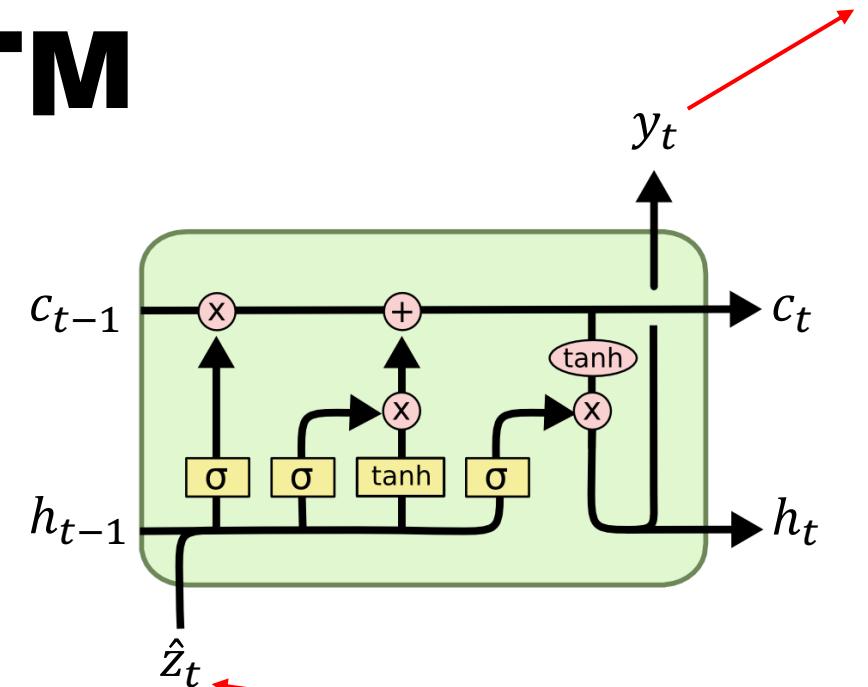
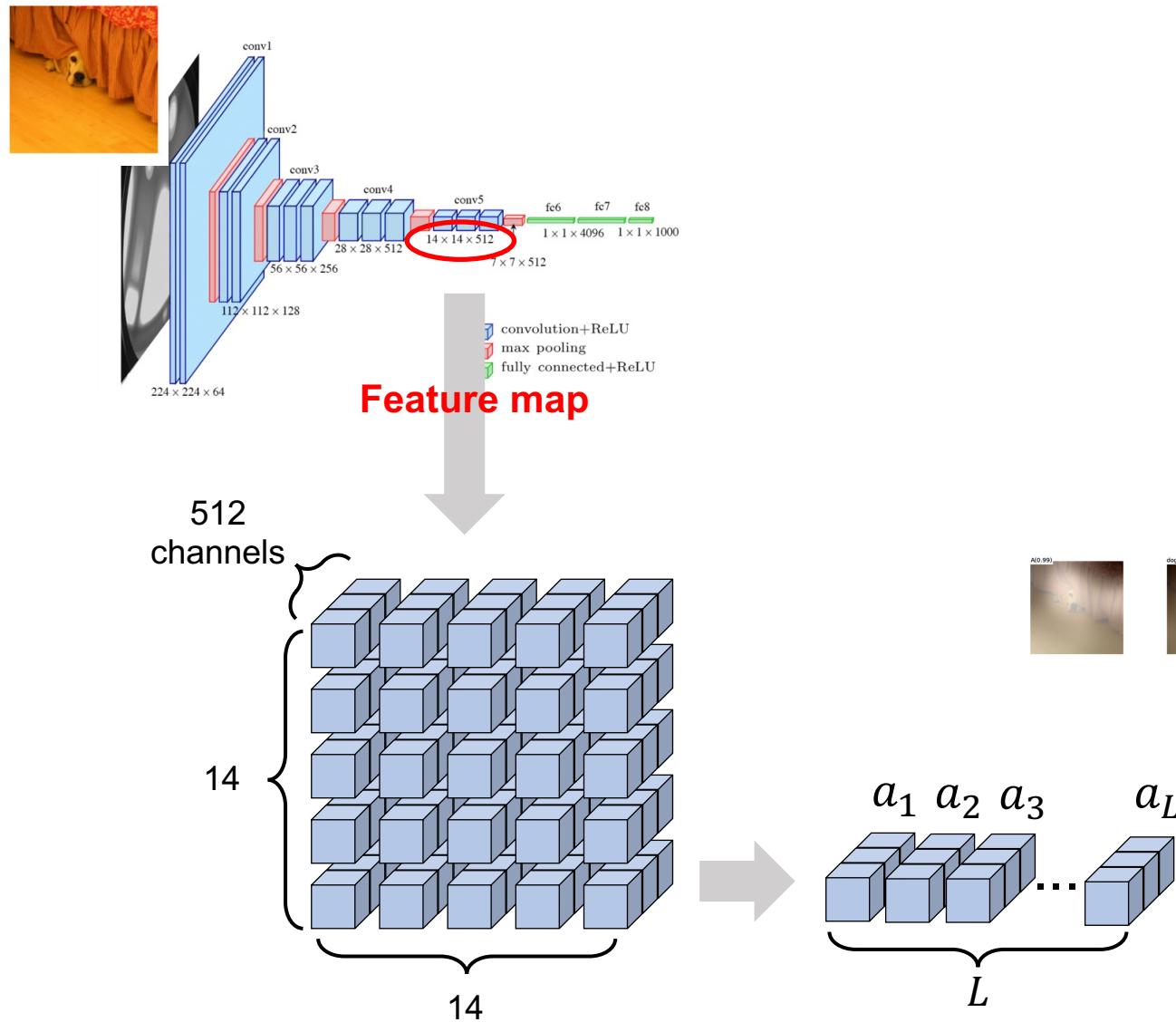


$$\emptyset(\{a_i\}, \{\alpha_{ti}\}) = \hat{z}_t$$



A DOG IS ... FLOOR .

CNN + Attention + LSTM



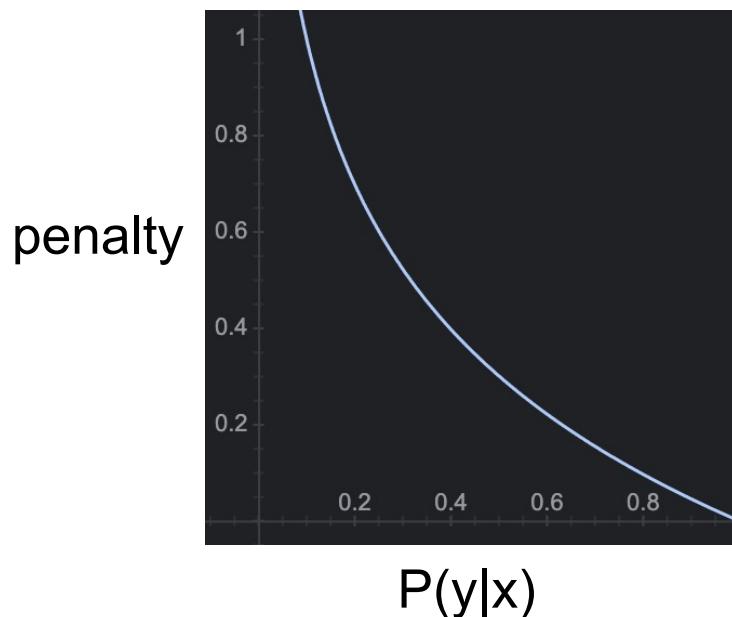
$$\emptyset(\{a_i\}, \{\alpha_{ti}\}) = \hat{z}_t$$

$$f_{att}(a_i, h_{t-1}) \rightarrow softmax \rightarrow \alpha_{ti}$$

Loss Function

$$L_d = \underline{-\log(P(\mathbf{y}|\mathbf{x}))} + \lambda \sum_i^L (1 - \sum_t^C \alpha_{ti})^2$$

negative log-likelihood



x:



y: The dog is laying under a bed

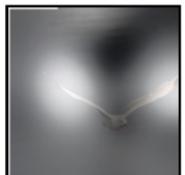
Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \sum_i^L (1 - \sum_t^C \alpha_{ti})^2$$

$$e_{ti} = f_{\text{att}}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

$$\alpha_{ti} = \frac{\exp(e_{ti})}{\sum_{k=1}^L \exp(e_{tk})}$$

Softmax, so $\sum_i \alpha_{ti} = 1$

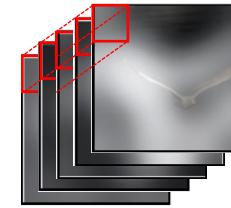


→ Sum over **positions** = 1

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

encourage $\sum_t \alpha_{ti} \approx 1$



→ Sum over time = 1

$$e_{ti} = f_{\text{att}}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

$$\alpha_{ti} = \frac{\exp(e_{ti})}{\sum_{k=1}^L \exp(e_{tk})}$$

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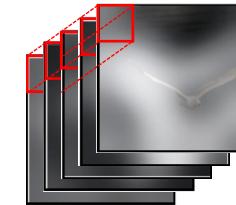


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Loss Function

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$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

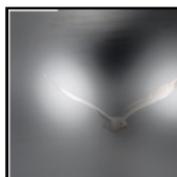


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Softmax, so $\sum_i \alpha_{ti} = 1$



→ Sum over positions = 1

Practice: Calculate the loss for these attention maps.

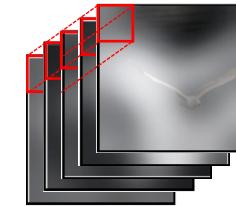
$$\alpha_t: \quad \alpha_1 \qquad \alpha_2 \qquad \alpha_3$$

0.1	0.4
0.2	0.3
0.1	0.7
0.3	0.3

Loss Function

encourage $\sum_t \alpha_{ti} \approx 1$

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$



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$$\alpha_t:$$

0.1	0.4
0.2	0.3

$$\alpha_1$$

0.1	0.1
0.1	0.7

$$\alpha_2$$

0.0	0.4
0.3	0.3

$$\alpha_3$$

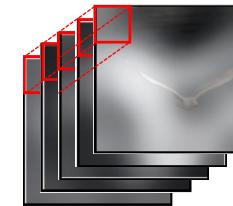
?	

$$1 - \sum_t^C \alpha_{ti}$$

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

encourage $\sum_t \alpha_{ti} \approx 1$

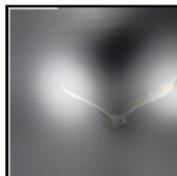


→ Sum over time = 1

$$e_{ti} = f_{att}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

$$\alpha_{ti} = \frac{\exp(e_{ti})}{\sum_{k=1}^L \exp(e_{tk})}$$

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0.2	0.3

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0.1	0.1
0.1	0.7

$$\alpha_2$$

0.0	0.4
0.3	0.3

$$\alpha_3$$

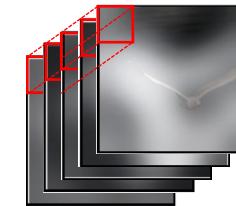
0.8	?

$$1 - \sum_t^C \alpha_{ti}$$

Loss Function

encourage $\sum_t \alpha_{ti} \approx 1$

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

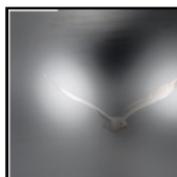


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→ Sum over positions = 1

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$$\alpha_t:$$

0.1	0.4
0.2	0.3

$$\alpha_1$$

0.1	0.1
0.1	0.7

$$\alpha_2$$

0.0	0.4
0.3	0.3

$$\alpha_3$$

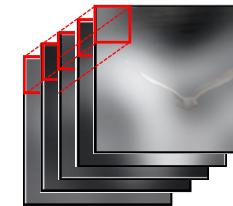
0.8	0.1
?	

$$1 - \sum_t^C \alpha_{ti}$$

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

encourage $\sum_t \alpha_{ti} \approx 1$



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0.1	0.4
0.2	0.3

$$\alpha_1$$

0.1	0.1
0.1	0.7

$$\alpha_2$$

0.0	0.4
0.3	0.3

$$\alpha_3$$

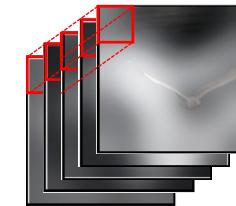
0.8	0.1
0.4	?

$$1 - \sum_t^C \alpha_{ti}$$

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

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0.1	0.4
0.2	0.3

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0.1	0.1
0.1	0.7

$$\alpha_2$$

0.0	0.4
0.3	0.3

$$\alpha_3$$

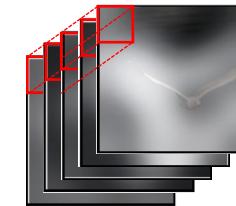
0.8	0.1
0.4	-0.3

$$1 - \sum_t^C \alpha_{ti}$$

Loss Function

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encourage $\sum_t \alpha_{ti} \approx 1$

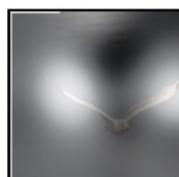


→ Sum over time = 1

$$e_{ti} = f_{att}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

$$\alpha_{ti} = \frac{\exp(e_{ti})}{\sum_{k=1}^L \exp(e_{tk})}$$

Softmax, so $\sum_i \alpha_{ti} = 1$



→ Sum over positions = 1

Practice: Calculate the loss for these attention maps.

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0.1	0.4
0.2	0.3

$$\alpha_1$$

0.1	0.1
0.1	0.7

$$\alpha_2$$

0.0	0.4
0.3	0.3

$$\alpha_3$$

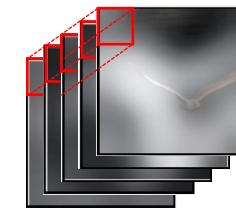
0.8	0.1
0.4	-0.3

$$1 - \sum_t^C \alpha_{ti}$$

$$\sum_i^L (1 - \sum_t^C \alpha_{ti})^2 = ?$$

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{\text{encourage } \sum_t \alpha_{ti} \approx 1}$$

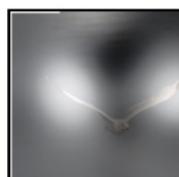


→ Sum over **time** = 1

$$e_{ti} = f_{att}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

$$\alpha_{ti} = \frac{\exp(e_{ti})}{\sum_{k=1}^L \exp(e_{tk})}$$

Softmax, so $\sum_i \alpha_{ti} = 1$



→ Sum over **positions** = 1

Practice: Calculate the loss for these attention maps.

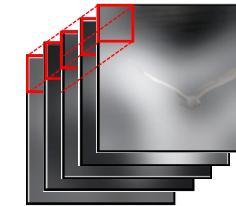
$\alpha_t:$	α_1	α_2	α_3	$1 - \sum_t^C \alpha_{ti}$
$\begin{array}{ c c }\hline 0.1 & 0.4 \\ \hline 0.2 & 0.3 \\ \hline \end{array}$	$\begin{array}{ c c }\hline 0.1 & 0.1 \\ \hline 0.1 & 0.7 \\ \hline \end{array}$	$\begin{array}{ c c }\hline 0.0 & 0.4 \\ \hline 0.3 & 0.3 \\ \hline \end{array}$	$\begin{array}{ c c }\hline 0.8 & 0.1 \\ \hline 0.4 & -0.3 \\ \hline \end{array}$	

$$\sum_i^L (1 - \sum_t^C \alpha_{ti})^2 = 0.8^2 + 0.1^2 + 0.4^2 + 0.3^2 = 0.9$$

Loss Function

encourage $\sum_t \alpha_{ti} \approx 1$

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$



→ Sum over **time** = 1

$$e_{ti} = f_{att}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

$$\alpha_{ti} = \frac{\exp(e_{ti})}{\sum_{k=1}^L \exp(e_{tk})}$$

Softmax, so $\sum_i \alpha_{ti} = 1$



→ Sum over **positions** = 1

Practice: What is the max value of $\sum_i^L (1 - \sum_t^C \alpha_{ti})^2$?

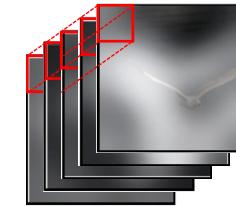
$$\alpha_t: \quad \alpha_1 \quad \alpha_2 \quad \alpha_3$$

?	?
?	?
?	?
?	?

Loss Function

encourage $\sum_t \alpha_{ti} \approx 1$

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

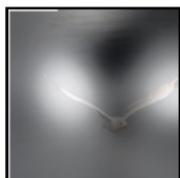


→ Sum over **time** = 1

$$e_{ti} = f_{att}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

$$\alpha_{ti} = \frac{\exp(e_{ti})}{\sum_{k=1}^L \exp(e_{tk})}$$

Softmax, so $\sum_i \alpha_{ti} = 1$



→ Sum over **positions** = 1

Practice: What is the max value of $\sum_i^L (1 - \sum_t^C \alpha_{ti})^2$?

$$\alpha_t: \quad \alpha_1 \quad \alpha_2 \quad \alpha_3$$

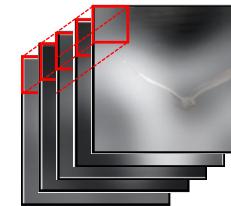
?	?
?	?
?	?
?	?

$$\sum_t^C \alpha_{ti}$$

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \sum_i^L (1 - \sum_t^C \alpha_{ti})^2$$

encourage $\sum_t \alpha_{ti} \approx 1$



→ Sum over time = 1

$$e_{ti} = f_{att}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

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Softmax, so $\sum_i \alpha_{ti} = 1$



→ Sum over positions = 1

Practice: What is the max value of $\sum_i^L (1 - \sum_t^C \alpha_{ti})^2$?

$$\alpha_t: \quad \alpha_1 \quad \alpha_2 \quad \alpha_3$$

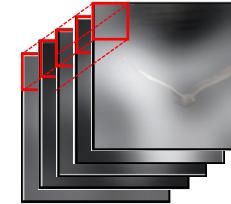
?	?
?	?
?	?
?	?

$$0 \leq \sum_t^C \alpha_{ti} \leq 3$$

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

encourage $\sum_t \alpha_{ti} \approx 1$



→ Sum over time = 1

$$e_{ti} = f_{att}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

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Softmax, so $\sum_i \alpha_{ti} = 1$



→ Sum over positions = 1

Practice: What is the max value of $\sum_i^L (1 - \sum_t^C \alpha_{ti})^2$?

$$\alpha_t: \quad \alpha_1 \quad \alpha_2 \quad \alpha_3$$

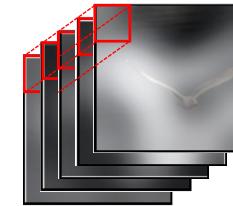
?	?
?	?
?	?
?	?

$$0 \leq \sum_t^C \alpha_{ti} \leq 3$$
$$|1 - \sum_t^C \alpha_{ti}|$$

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

encourage $\sum_t \alpha_{ti} \approx 1$



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$$e_{ti} = f_{att}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

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Softmax, so $\sum_i \alpha_{ti} = 1$



→ Sum over positions = 1

Practice: What is the max value of $\sum_i^L (1 - \sum_t^C \alpha_{ti})^2$?

$$\alpha_t: \quad \alpha_1 \quad \alpha_2 \quad \alpha_3$$

?	?
?	?
?	?
?	?

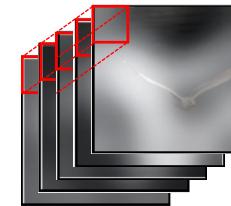
$$0 \leq \sum_t^C \alpha_{ti} \leq 3$$

$$0 \leq |1 - \sum_t^C \alpha_{ti}| \leq 2$$

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

encourage $\sum_t \alpha_{ti} \approx 1$

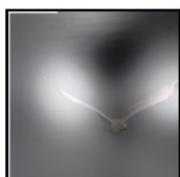


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Softmax, so $\sum_i \alpha_{ti} = 1$



→ Sum over positions = 1

Practice: What is the max value of $\sum_i^L (1 - \sum_t^C \alpha_{ti})^2$?

$$\alpha_t: \quad \alpha_1 \quad \alpha_2 \quad \alpha_3$$

?	?
?	?
?	?
?	?

$$0 \leq \sum_t^C \alpha_{ti} \leq 3$$

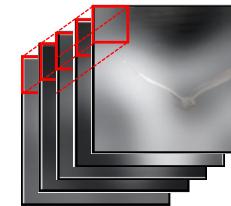
$$0 \leq |1 - \sum_t^C \alpha_{ti}| \leq 2$$

$$(1 - \sum_t^C \alpha_{ti})^2$$

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

encourage $\sum_t \alpha_{ti} \approx 1$

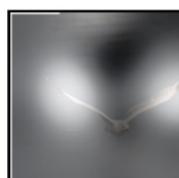


\rightarrow Sum over time = 1

$$e_{ti} = f_{att}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

$$\alpha_{ti} = \frac{\exp(e_{ti})}{\sum_{k=1}^L \exp(e_{tk})}$$

Softmax, so $\sum_i \alpha_{ti} = 1$



\rightarrow Sum over positions = 1

Practice: What is the max value of $\sum_i^L (1 - \sum_t^C \alpha_{ti})^2$?

$$\alpha_t: \quad \alpha_1 \quad \alpha_2 \quad \alpha_3$$

?	?	?	?
?	?	?	?

$$0 \leq \sum_t^C \alpha_{ti} \leq 3$$

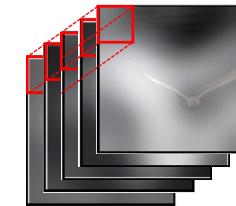
$$0 \leq |1 - \sum_t^C \alpha_{ti}| \leq 2$$

$$0 \leq (1 - \sum_t^C \alpha_{ti})^2 \leq 4$$

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{}$$

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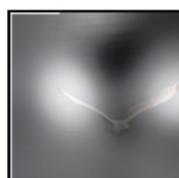


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<table border="1"><tr><td>0</td><td>1</td></tr><tr><td>0</td><td>0</td></tr></table>	0	1	0	0	<table border="1"><tr><td>0</td><td>1</td></tr><tr><td>0</td><td>0</td></tr></table>	0	1	0	0	<table border="1"><tr><td>0</td><td>1</td></tr><tr><td>0</td><td>0</td></tr></table>	0	1	0	0
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$$1 - \sum_t^C \alpha_{ti}$$

$$0 \leq \sum_t^C \alpha_{ti} \leq 3$$

$$0 \leq |1 - \sum_t^C \alpha_{ti}| \leq 2$$

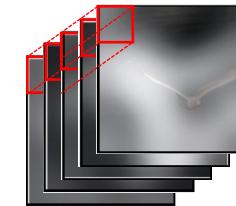
$$0 \leq (1 - \sum_t^C \alpha_{ti})^2 \leq 4$$

1	-2
1	1

Loss Function

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0	0													

$$1 - \sum_t^C \alpha_{ti} \quad (1 - \sum_t^C \alpha_{ti})^2$$

1	-2
1	1

1	4
1	1

$$0 \leq \sum_t^C \alpha_{ti} \leq 3$$

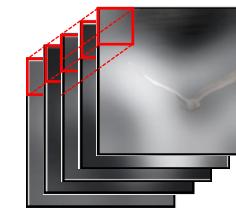
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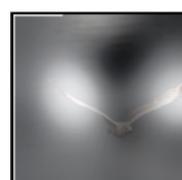


→ Sum over time = 1

$$e_{ti} = f_{att}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

$$\alpha_{ti} = \frac{\exp(e_{ti})}{\sum_{k=1}^L \exp(e_{tk})}$$

Softmax, so $\sum_i \alpha_{ti} = 1$



→ Sum over positions = 1

Practice: What is the max value of $\sum_i^L (1 - \sum_t^C \alpha_{ti})^2$?

$$\alpha_t: \quad \alpha_1 \quad \alpha_2 \quad \alpha_3$$

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1	-2
1	1

1	4
1	1

$$0 \leq \sum_t^C \alpha_{ti} \leq 3$$

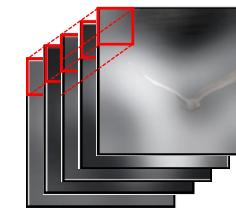
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$$0 \leq (1 - \sum_t^C \alpha_{ti})^2 \leq 4$$

$$\sum_i^L (1 - \sum_t^C \alpha_{ti})^2 = 7$$

Loss Function

$$L_d = -\log(P(\mathbf{y}|\mathbf{x})) + \lambda \underbrace{\sum_i^L (1 - \sum_t^C \alpha_{ti})^2}_{\text{encourage } \sum_t \alpha_{ti} \approx 1}$$



→ Sum over **time** = 1

$$e_{ti} = f_{\text{att}}(\mathbf{a}_i, \mathbf{h}_{t-1})$$

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Softmax, so $\sum_i \alpha_{ti} = 1$



→ Sum over **positions** = 1

Why do we need the second term?

- Encourage the model to **pay equal attention** to **every part** of the image over the course of generation
- This penalty was important quantitatively to improving overall **BLEU score** and that qualitatively this leads to more **rich** and **descriptive** captions

Experimental Results

Dataset	Model	BLEU				METEOR
		BLEU-1	BLEU-2	BLEU-3	BLEU-4	
Flickr8k	Google NIC(Vinyals et al., 2014) $^{\dagger\Sigma}$	63	41	27	—	—
	Log Bilinear (Kiros et al., 2014a) $^{\circ}$	65.6	42.4	27.7	17.7	17.31
	Soft-Attention	67	44.8	29.9	19.5	18.93
	Hard-Attention	67	45.7	31.4	21.3	20.30
Flickr30k	Google NIC $^{\dagger\circ\Sigma}$	66.3	42.3	27.7	18.3	—
	Log Bilinear	60.0	38	25.4	17.1	16.88
	Soft-Attention	66.7	43.4	28.8	19.1	18.49
	Hard-Attention	66.9	43.9	29.6	19.9	18.46
COCO	CMU/MS Research (Chen & Zitnick, 2014) a	—	—	—	—	20.41
	MS Research (Fang et al., 2014) $^{\dagger a}$	—	—	—	—	20.71
	BRNN (Karpathy & Li, 2014) $^{\circ}$	64.2	45.1	30.4	20.3	—
	Google NIC $^{\dagger\circ\Sigma}$	66.6	46.1	32.9	24.6	—
	Log Bilinear $^{\circ}$	70.8	48.9	34.4	24.3	20.03
	Soft-Attention	70.7	49.2	34.4	24.3	23.90
	Hard-Attention	71.8	50.4	35.7	25.0	23.04

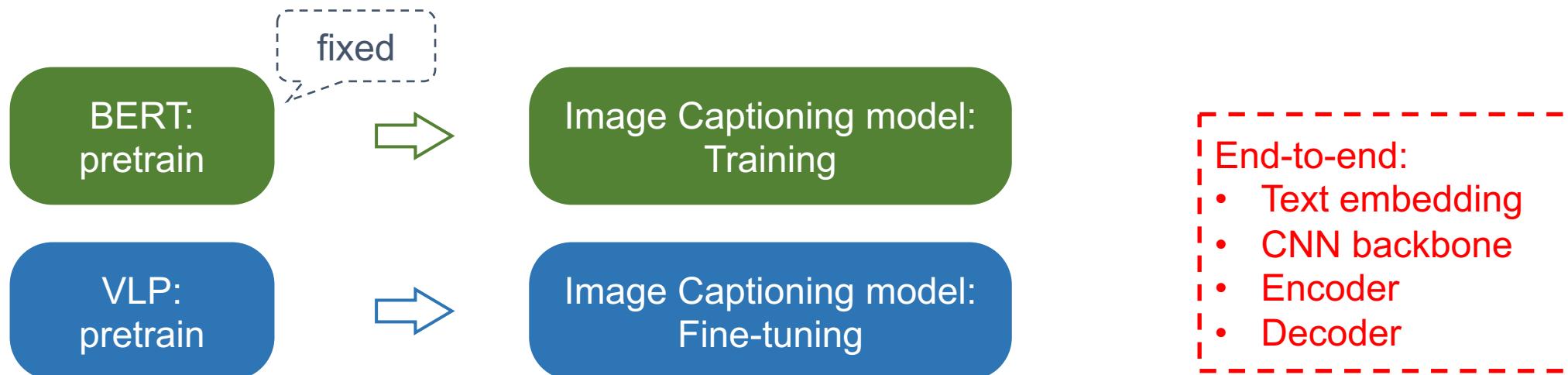


What's new in Image Captioning?

- Vision-Language Pre-training (VLP)
- Object Anchors
- Visual Vocabulary pre-training (VIVO)
- Generative Adversarial Networks (GAN)
- Deep Reinforcement Learning (RL) + Meta Learning

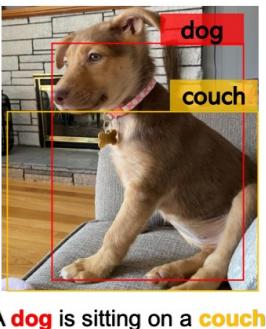
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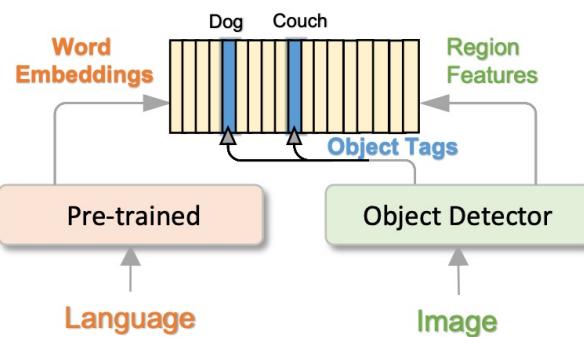


What's new in Image Captioning?

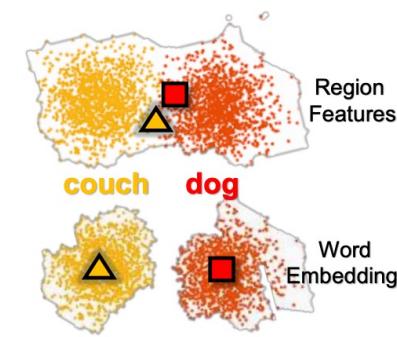
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(a) Image-text pair



(b) Objects as anchor points

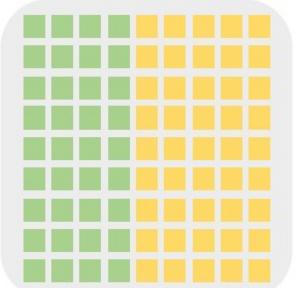
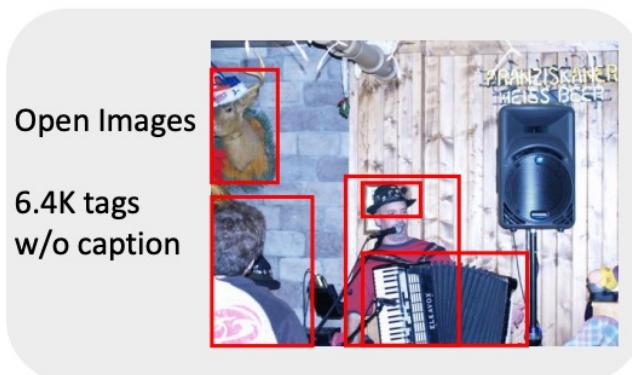


(c) Semantics spaces

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Some challenges require models trained without other image captioning dataset



attention mask

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Thank you!



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