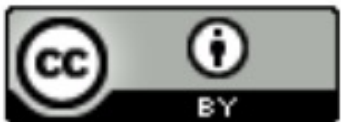


# Introduction to NLP and Word Embeddings

**Danna Gurari**

University of Colorado Boulder

Spring 2022



# Review

- Last week:
  - Machine learning for sequential data
  - Recurrent neural networks (RNNs)
  - Gated RNNs
  - Programming tutorial
- Assignments (Canvas):
  - Problem set 3 due earlier today
  - Lab assignment 3 due in 1.5 weeks
- Questions?

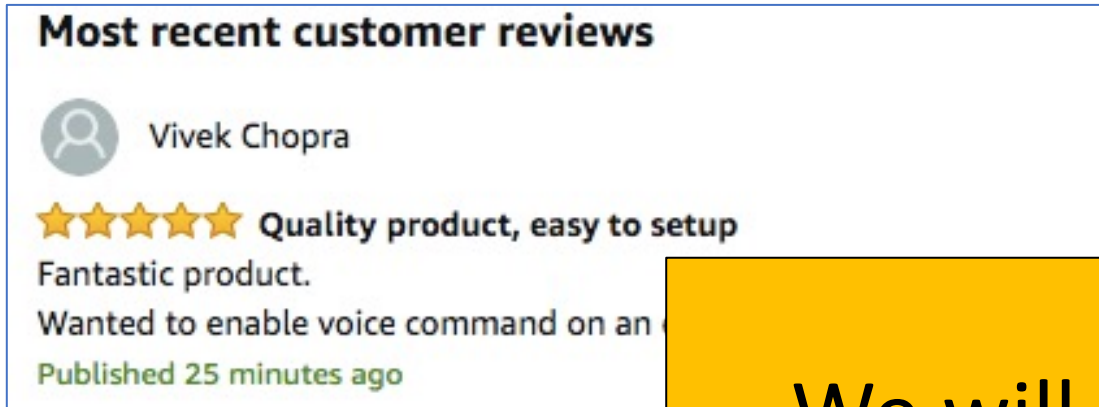
# Today's Topics

- Introduction to natural language processing
- Text representation
- Neural word embeddings
- Programming tutorial

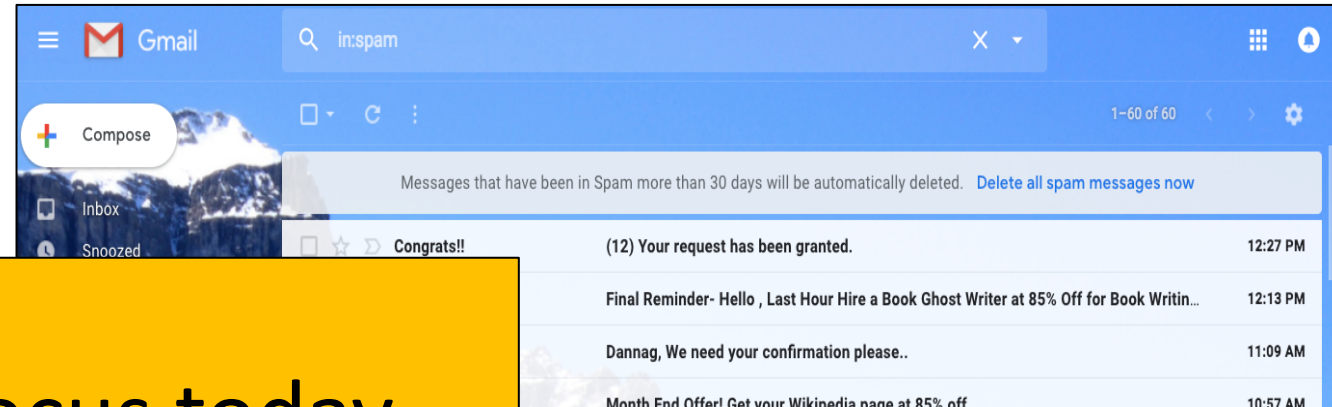
# Today's Topics

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# NLP: Computers that Can Understand (and So Also Communicate in) Human Language

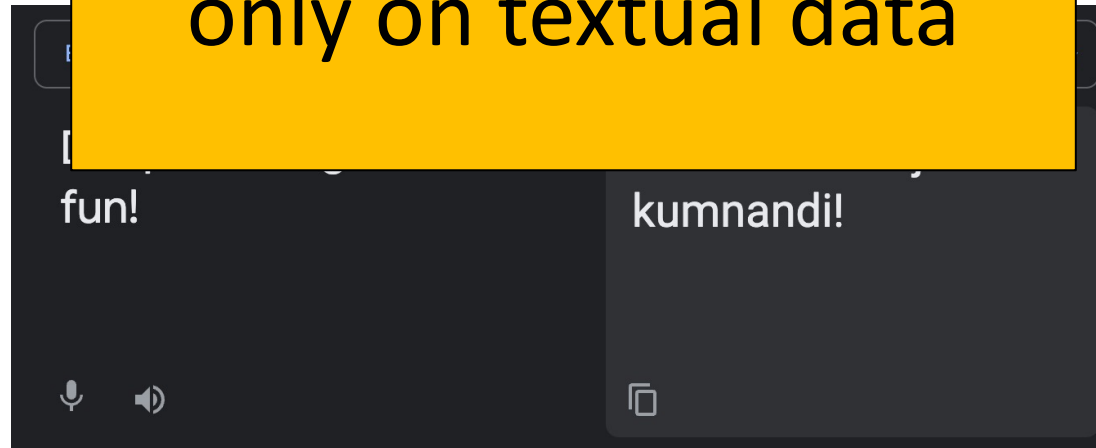


Opinion Mining



Spam Detection

We will focus today  
only on textual data



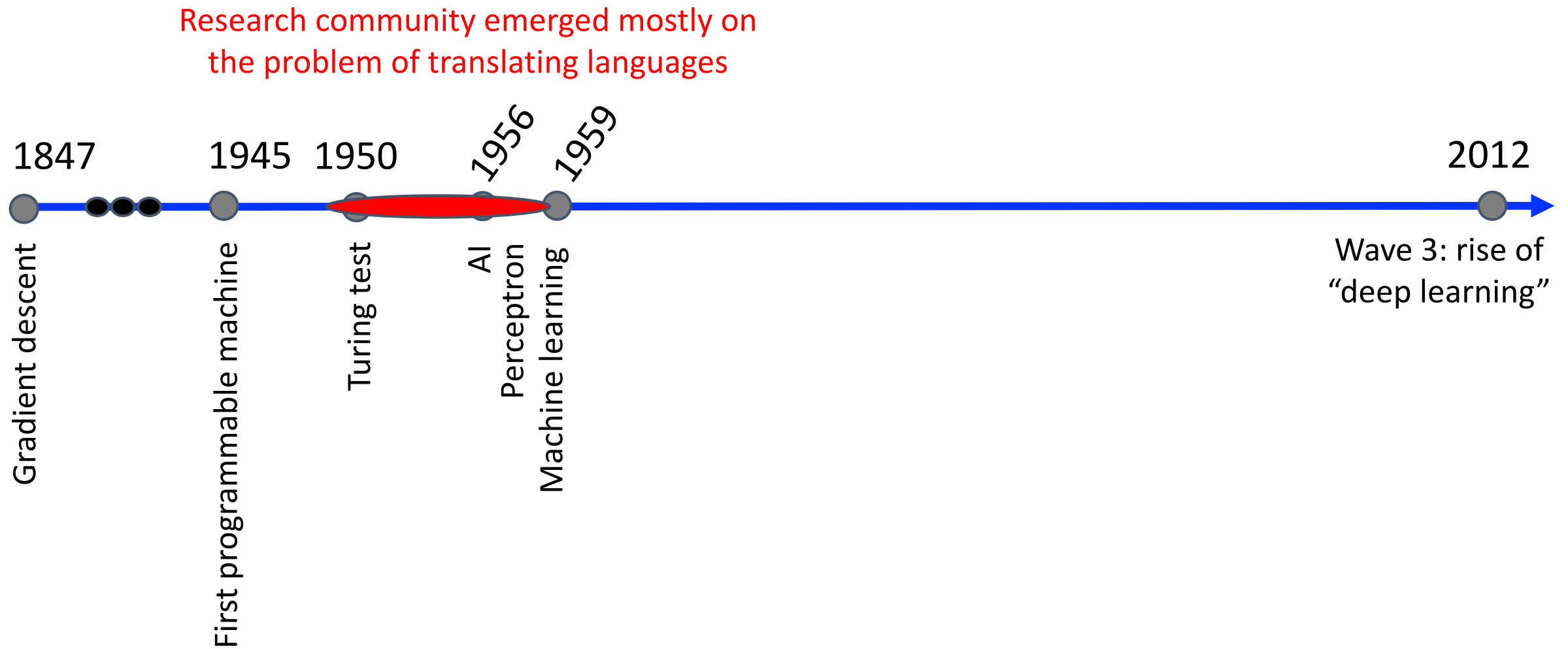
Language Translation

# Why Discuss NLP With RNNs?

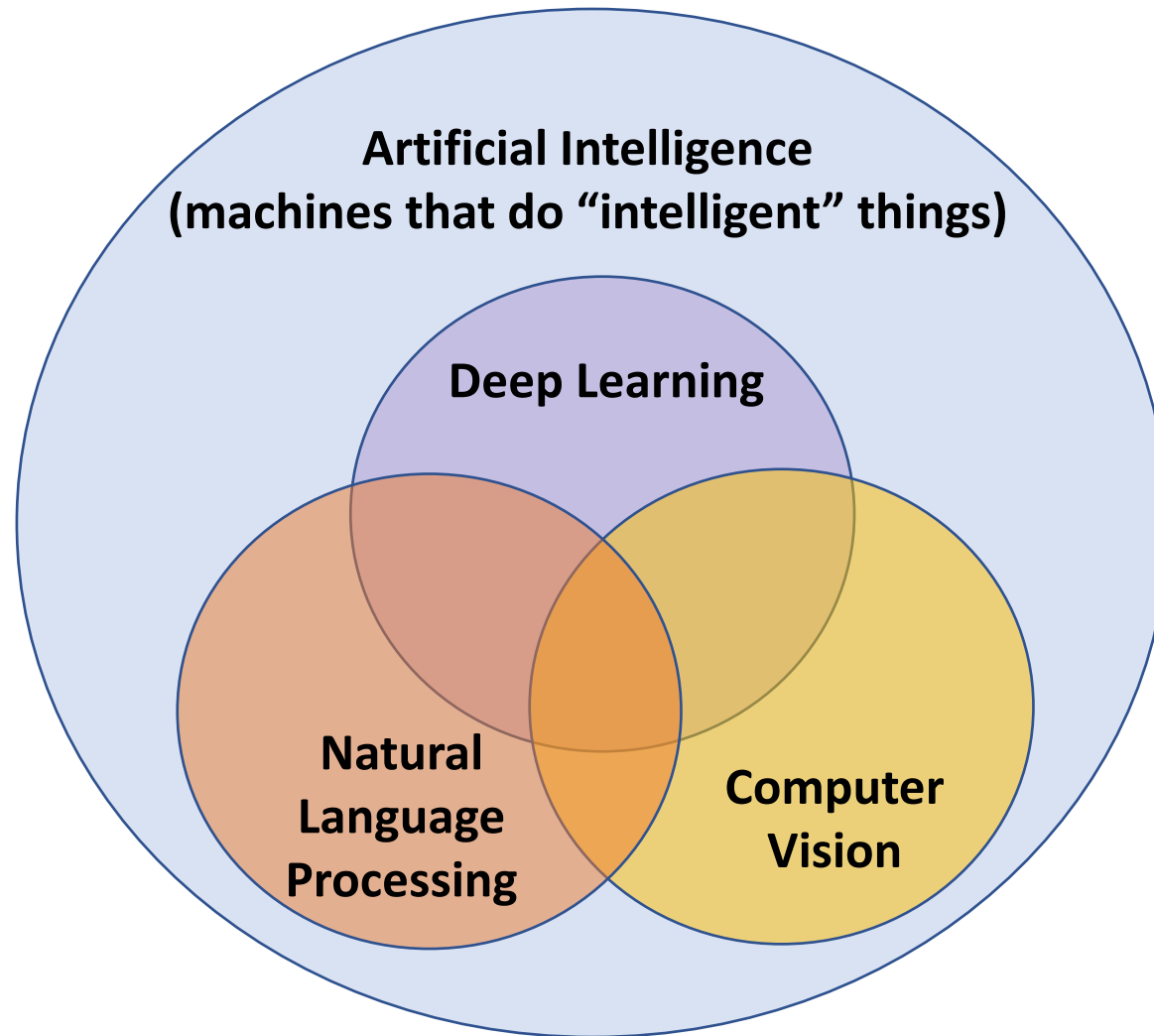
- RNNs have a strong track record for NLP problems
- Text data's representation (i.e., sequential data) is a natural match for RNNs



# Historical Context: Origins of NLP



# NLP in Context



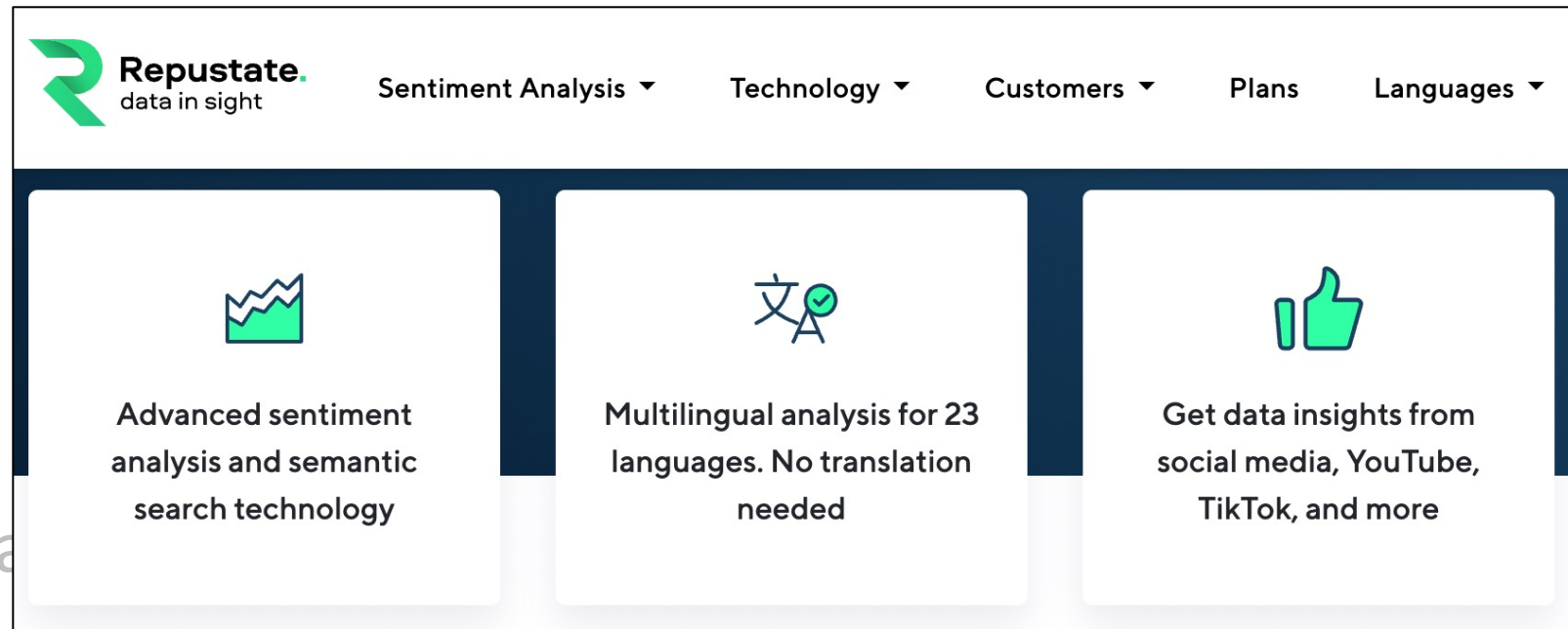


# Key Challenge: Replicate Language Understanding for **So Many Tasks!**

- Text classification
- Machine translation
- Question answering
- Automatic summarization
- And more...

# Key Challenge: Replicate Language Understanding for So Many Tasks!

- Text classification
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The screenshot displays the Repustate website interface. At the top left is the Repustate logo with the tagline "data in sight". To the right of the logo are navigation links: "Sentiment Analysis", "Technology", "Customers", "Plans", and "Languages", each with a dropdown arrow. Below the navigation bar are three prominent service cards:

- Advanced sentiment analysis and semantic search technology:** Represented by a green line graph icon.
- Multilingual analysis for 23 languages. No translation needed:** Represented by an icon showing a document with a checkmark and a person.
- Get data insights from social media, YouTube, TikTok, and more:** Represented by a green thumbs-up icon.

# Key Challenge: Replicate Language Understanding for So Many Tasks!

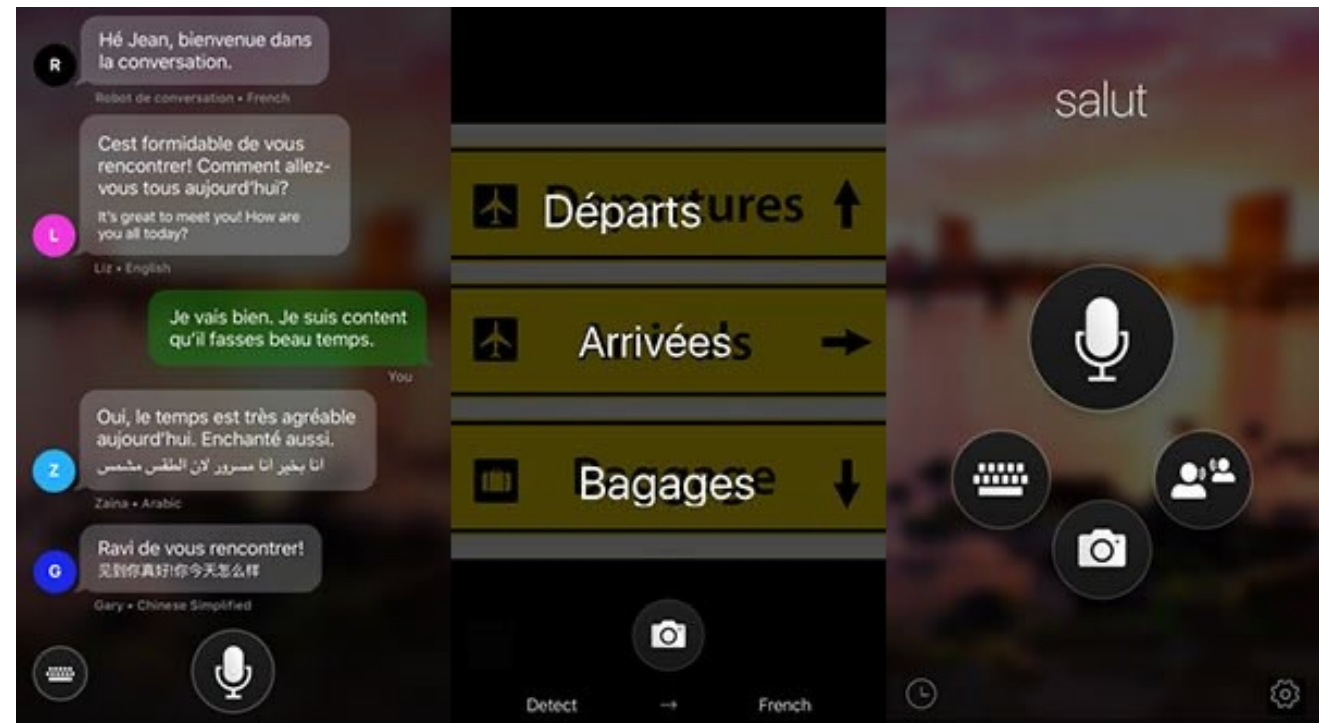
- Text classification
- Machine translation
- Question answering
- Automatic summarization
- And more...

The screenshot displays the Fakespot browser extension interface. On the left, a promotional message reads: "Hate returning stuff to Amazon? Get Fakespot". Below this, it states: "With Fakespot, you're guaranteed to get the best products from the best sellers at the best price." A blue button says "Add Fakespot — It's free". At the bottom, logos for eBay, Amazon, Best Buy, Sephora, and Walmart are shown. On the right, the extension is overlaid on an Amazon product page for Apple AirPods Pro. It shows three listings with their respective seller ratings: Amazon (Seller Warning), eBay (Seller Approved), and Walmart (Seller Approved). A blue button at the top right of the extension says "Add Fakespot — It's free".

# Key Challenge: Replicate Language Understanding for So Many Tasks!

- Text classification
- **Machine translation**
- Question answering
- Automatic summarization
- And more...

e.g., Microsoft translator

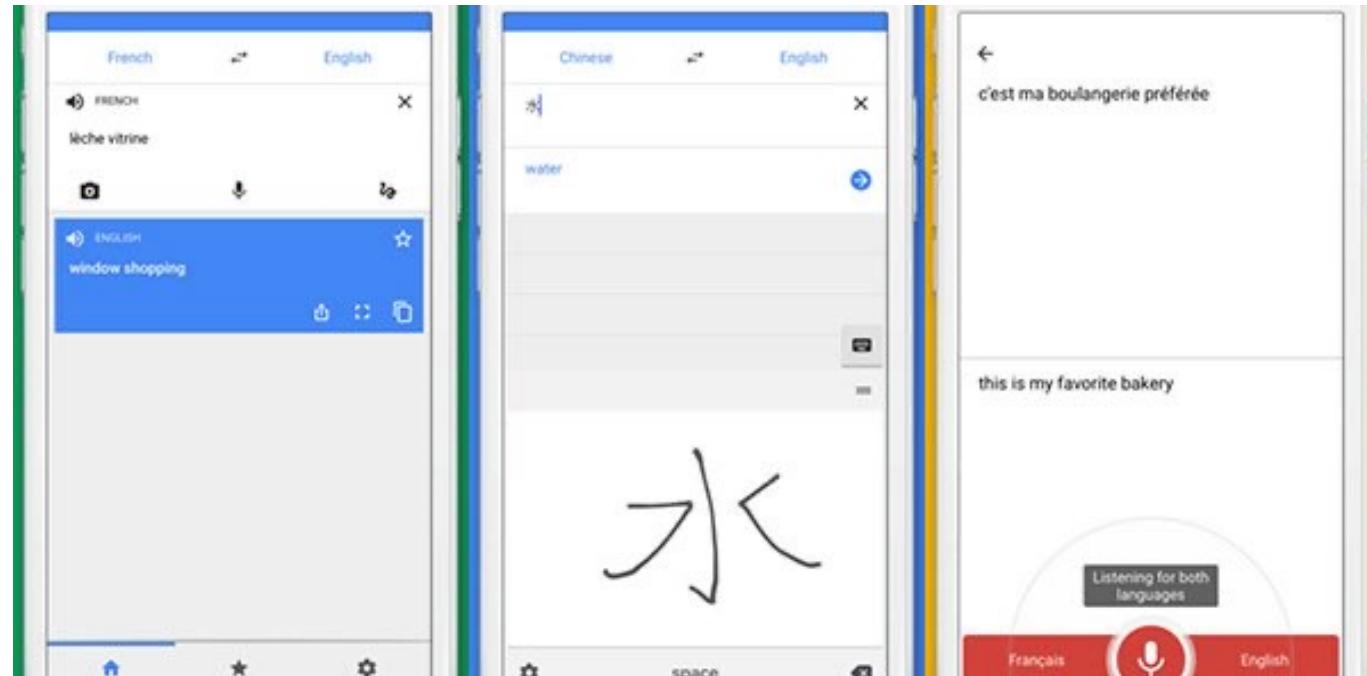


<https://uncubed.com/daily/best-translation-apps-for-travel-in-2019/>

# Key Challenge: Replicate Language Understanding for So Many Tasks!

- Text classification
- Machine translation
- Question answering
- Automatic summarization
- And more...

e.g., Google translate



# Key Challenge: Replicate Language Understanding for So Many Tasks!

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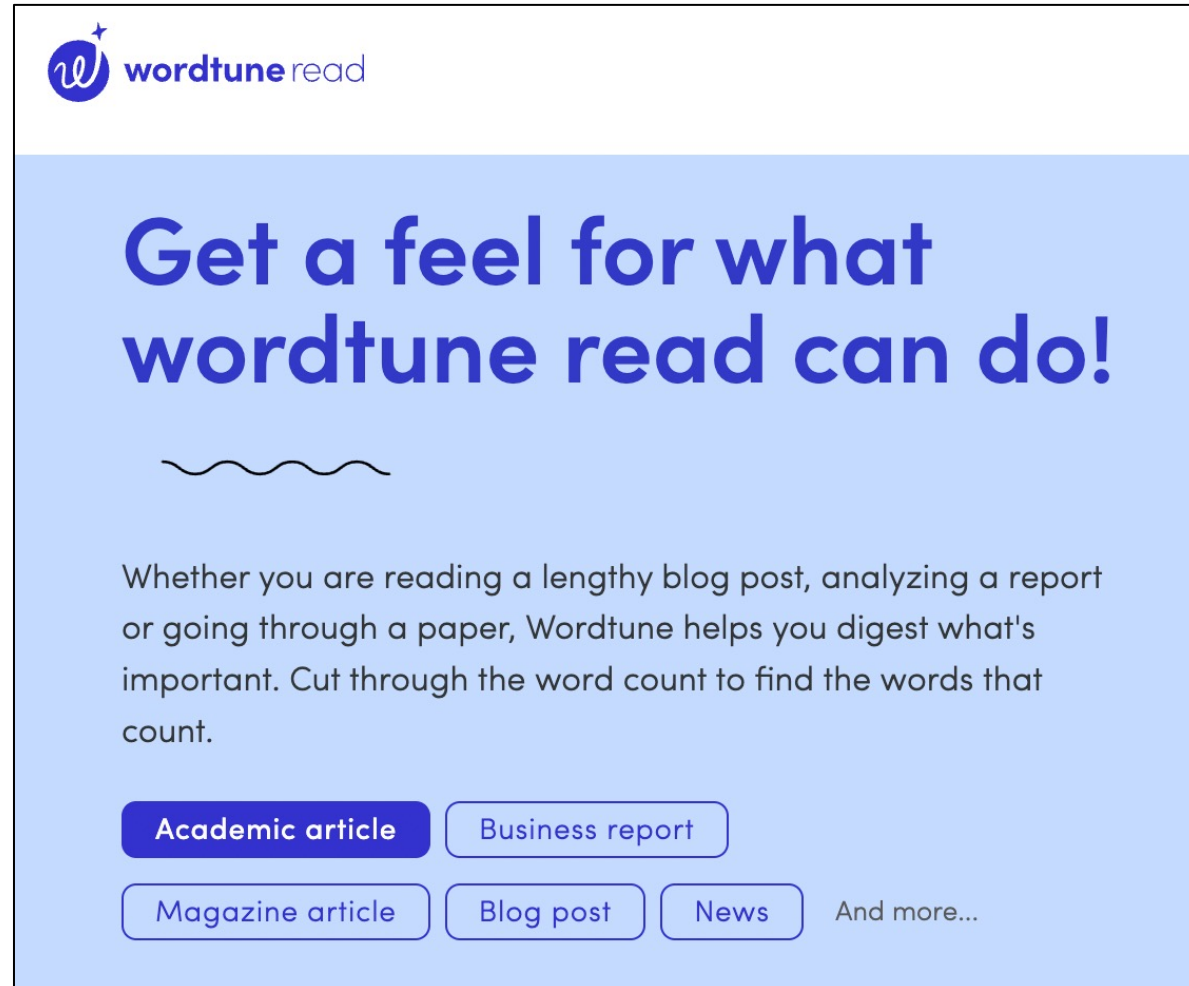
e.g., IBM Watson question answering system (and Jeopardy! winner)



<https://www.nytimes.com/2011/02/17/science/17jeopardy-watson.html>

# Key Challenge: Replicate Language Understanding for So Many Tasks!

- Text classification
- Machine translation
- Question answering
- **Automatic summarization**
- And more...



The screenshot shows the Wordtune Read website interface. At the top left is the logo, which consists of a blue circle containing a white lowercase 'w' with a small blue star above it, followed by the text 'wordtune read' in a blue sans-serif font. Below the logo is a large blue banner with the headline 'Get a feel for what wordtune read can do!' in a large, bold, blue font. Underneath the headline is a decorative wavy line. The main body of text on the banner reads: 'Whether you are reading a lengthy blog post, analyzing a report or going through a paper, Wordtune helps you digest what's important. Cut through the word count to find the words that count.' At the bottom of the banner, there are five buttons: 'Academic article' (highlighted in dark blue), 'Business report', 'Magazine article', 'Blog post', and 'News', followed by the text 'And more...'. The buttons are white with blue borders and text.

# Key Challenge: Replicate Language Understanding for **So Many Tasks!**

- Text classification
- Machine translation
- Question answering
- Automatic summarization
- **And more...**



# Other Key Challenges: Replicate Language Understanding for So Many Languages/Individuals!

- Need a computable characterization of all human languages that simultaneously captures nuances from individuals; e.g., 7000+ languages spoken around the world



# Today's Topics

- Introduction to natural language processing
- **Text representation**
- Neural word embeddings
- Programming tutorial

# Input: String (Collection of Characters)

Most Relevant ▾

 Lives in Austin, Texas

**Keith C. McCormic** Let the food pantries have it instead of monetizing it.

Like · Reply · 1d

↪ 5 Replies

 **Katy O'Neil Webb** The promo code isn't working but I found another one on line GETFIFTY% .

Like · Reply · 1d · Edited

↪ 2 Replies

## Machine learning

From Wikipedia, the free encyclopedia

*For the journal, see [Machine Learning \(journal\)](#).*

*"[Statistical learning](#)" redirects here. For statistical learning in linguistics, see [statistical learning in lang](#)*

**Machine learning** is a field of [computer science](#) that uses statistical techniques to give [computer systems](#) the ability to "learn" (e.g., progressively improve performance on a specific task) with [data](#), without being explicitly programmed.<sup>[2]</sup>

The name *machine learning* was coined in 1959 by [Arthur Samuel](#).<sup>[1]</sup> Machine learning explores the study and construction of [algorithms](#) that can learn from and make predictions on [data](#)<sup>[3]</sup> – such algorithms overcome following strictly static [program instructions](#) by making data-driven predictions or decisions,<sup>[4]:2</sup> through building a [model](#) from sample inputs. Machine learning is employed in a range of computing tasks where designing and programming explicit algorithms with good performance is difficult or infeasible; example applications include [email filtering](#), detection of network intruders, and [computer vision](#).

- Common terms
  - **Corpus:** dataset
  - **Document:** example

# Input: Which “String” Feature Types Apply?

- ~~Categorical data
  - Comes from a fixed list (e.g., education level)~~
- ~~Structured string data
  - e.g., addresses, dates, telephone numbers,~~

• **Text data**

# How to Describe Text to a Computer?

- Challenge: input often varies in length

## Machine learning

From Wikipedia, the free encyclopedia

*For the journal, see [Machine Learning \(journal\)](#).*

*"Statistical learning" redirects here. For statistical learning in linguistics, see [statistical learning in lang](#)*

**Machine learning** is a field of [computer science](#) that uses statistical techniques to give [computer systems](#) the ability to "learn" (e.g., progressively improve performance on a specific task) with [data](#), without being explicitly programmed.<sup>[2]</sup>

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- Solution: convert text to numeric format that DL algorithms can handle



# Converting Text to Vectors

1. Tokenize training data
2. Learn vocabulary
3. Encode data as vectors

# Converting Text to Vectors

1. Tokenize training data; convert data into sequence of tokens (e.g., data ->“This is tokening”)
2. Learn vocabulary
3. Encode data as vectors

Two common approaches:

Character Level

[T] [h] [i] [s] [i] [s] [t] [o] [k] [e] [n] [i] [z] [i] [n] [g] [.]

Word Level

[This] [is] [tokenizing] [.]



# Converting Text to Vectors

1. Tokenize training data
2. Learn vocabulary by identifying all unique tokens in the training data
3. Encode data as vectors

Two common approaches:

Character Level

<b>Token</b>	a	b	c	***	0	1	***	!	@	***
<b>Index</b>	1	2	3	***	27	28	***	119	120	***

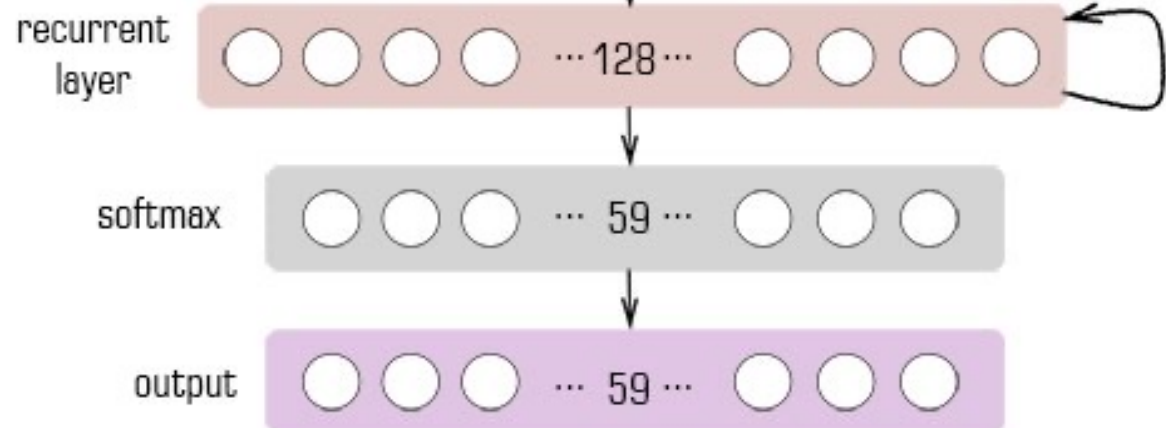
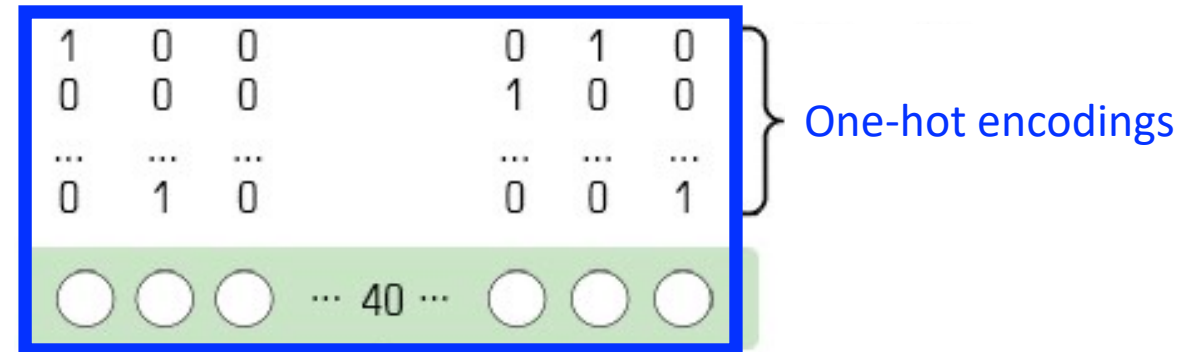
Word Level

<b>Token</b>	a	an	at	***	bat	ball	***	zipper	zoo	***
<b>Index</b>	1	2	3	***	527	528	***	9,842	9,843	***

# Converting Text to Vectors

1. Tokenize training data
2. Learn vocabulary by identifying all unique tokens in the training data
3. Encode data as one-hot vectors

Input sequence of 40 tokens  
representing characters or words



# Converting Text to Vectors

What are the pros and cons for using word tokens instead of character tokens?

Character Level

<b>Token</b>	a	b	c	***	0	1	***	!	@	***
<b>Index</b>	1	2	3	***	27	28	***	119	120	***

Word Level

<b>Token</b>	a	an	at	***	bat	ball	***	zipper	zoo	***
<b>Index</b>	1	2	3	***	527	528	***	9,842	9,843	***

- Pros: length of input/output sequences is shorter, simplifies learning semantics
- Cons: “UNK” word token needed for out of vocabulary words; vocabulary can be large

# Converting Text to Vectors

Character Level

<b>Token</b>	a	b	c	***	0	1	***	!	@	***
<b>Index</b>	1	2	3	***	27	28	***	119	120	***

Word Level

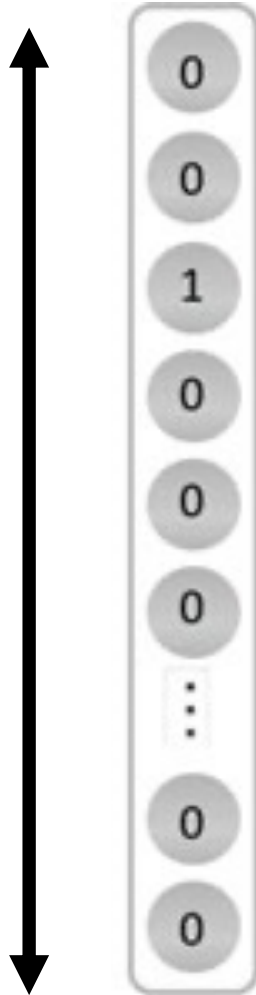
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<b>Index</b>	1	2	3	***	527	528	***	9,842	9,843	***

Word level representations are more commonly used

# Problems with One-Hot Encoding Words?

Dimensionality = vocabulary size

e.g., English has ~170,000 words  
with ~10,000 commonly used words

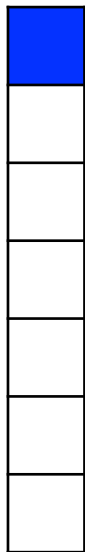


- Huge memory burden
- Computationally expensive

# Limitation of One-Hot Encoding Words

- No notion of which words are similar, yet such understanding can improve generalization
  - e.g., “walking”, “running”, and “skipping” are all suitable for “He was \_\_\_\_ to school.”

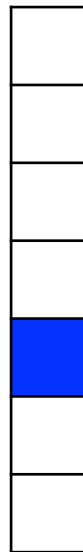
Walking



Soap



Fire



Skipping

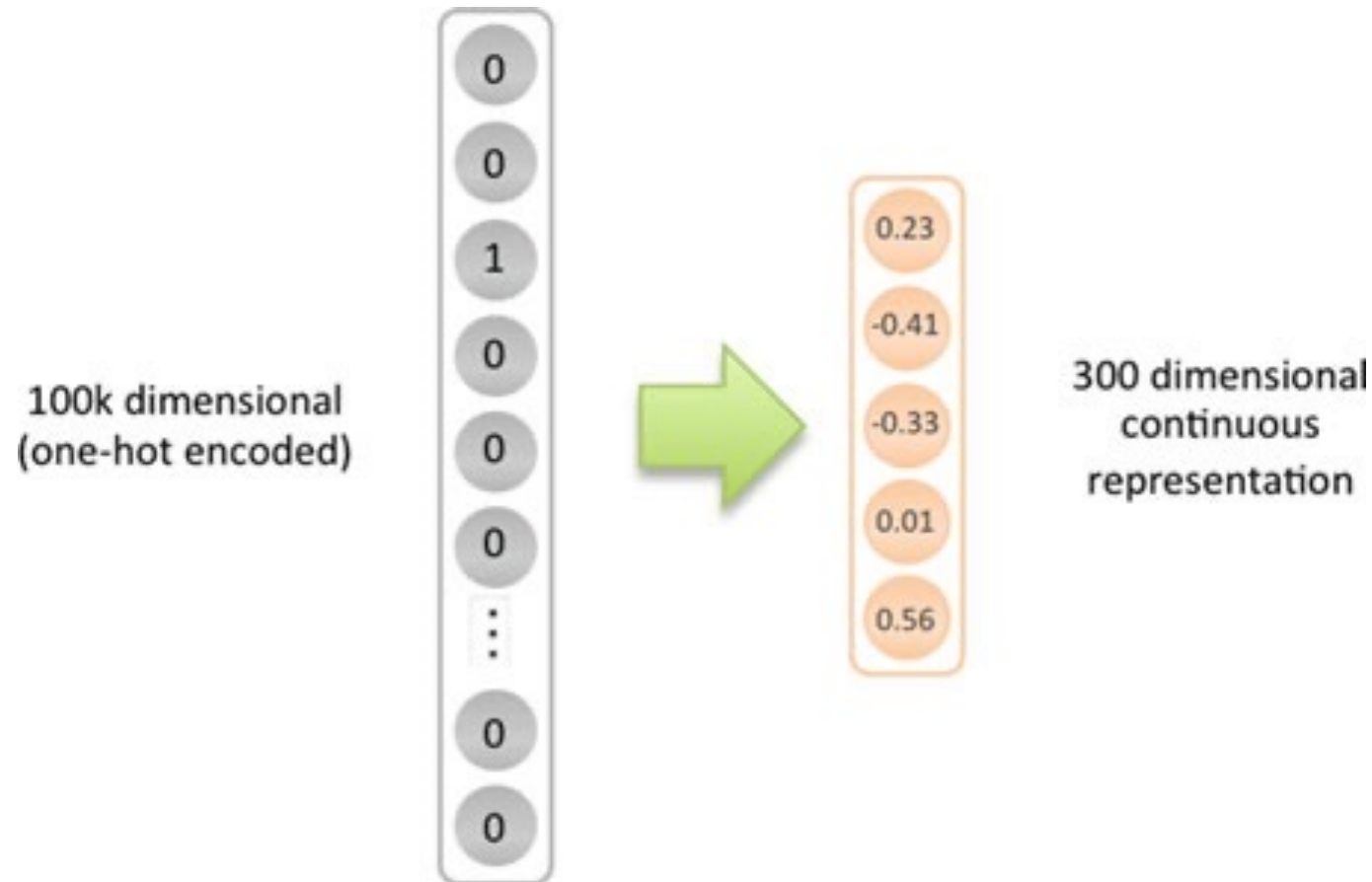


The distance between  
all words is equal!

# Today's Topics

- Introduction to natural language processing
- Text representation
- **Neural word embeddings**
- Programming tutorial

# Idea: Represent Each Word Compactly in a Space Where Vector Distance Indicates Word Similarity





# Inspiration: Distributional Semantics

“The distributional hypothesis says that the meaning of a word is derived from the context in which it is used, and words with similar meaning are used in similar contexts.”

- Origins: Harris in 1954 and Firth in 1957

# Inspiration: Distributional Semantics

“The distributional hypothesis says that **the meaning of a word is derived from the context in which it is used**, and words with similar meaning are used in similar contexts.”

# Inspiration: Distributional Semantics

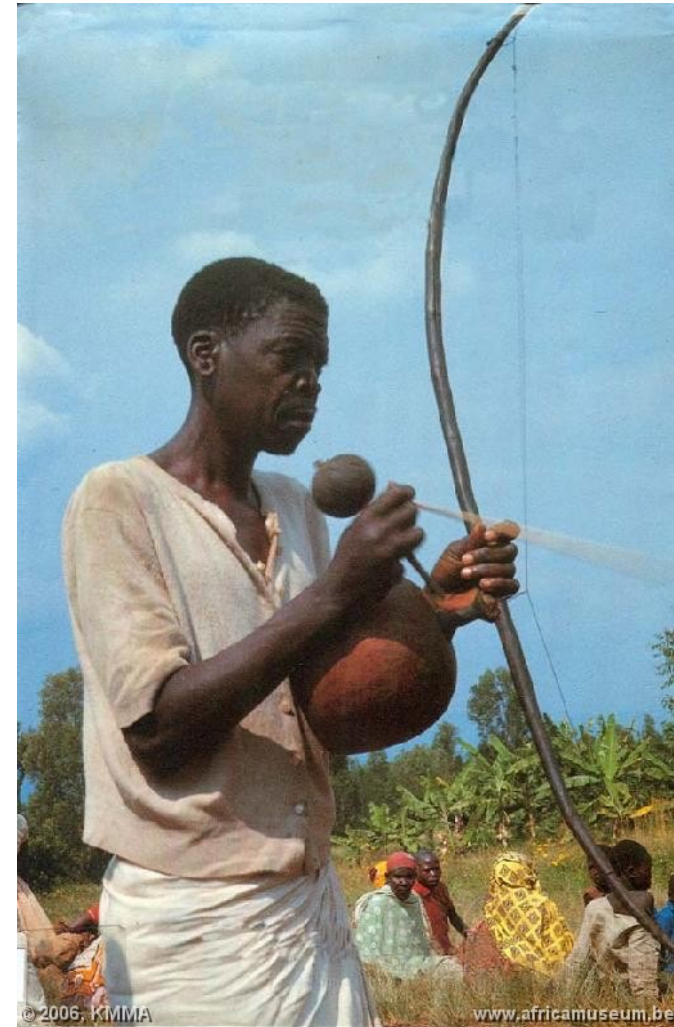
- What is the meaning of **berimbau** based on **context**?

Background music from a **berimbau** offers a beautiful escape.

Many people danced around the **berimbau** player.

I practiced for many years to learn how to play the **berimbau**.

- Idea: **context** makes it easier to understand a word's meaning



# Inspiration: Distributional Semantics

“The distributional hypothesis says that the meaning of a word is derived from the context in which it is used, and **words with similar meaning are used in similar contexts.**”

# Inspiration: Distributional Semantics

- What other words could fit into these context?
  1. Background music from a \_\_\_\_\_ offers a beautiful escape.
  2. Many people danced around the \_\_\_\_\_ player.
  3. I practiced for many years to learn how to play the \_\_\_\_\_.

Hypothesis is that words with similar row values have similar meanings

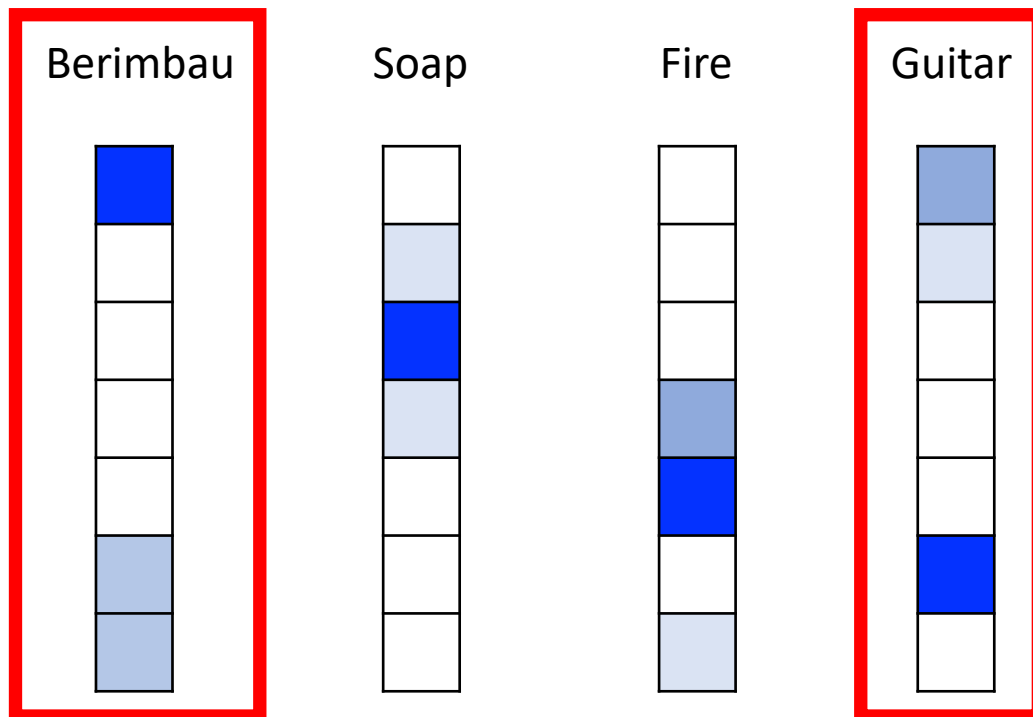
	1.	2.	3.	
Berimbau	1	1	1	} Contexts } 1 if a word can appear in the context } 0 otherwise
Soap	0	0	0	
Fire	0	0	0	
Guitar	1	1	1	

# Inspiration: Distributional Semantics

“The distributional hypothesis says that the meaning of a word is derived from the context in which it is used, and words with similar meaning are used in similar contexts.”

# Approach

- Learn a dense (lower-dimensional) vector for each word by characterizing its **context**, which inherently will reflect similarity/differences to other words



Berimbau and guitar are the closest word pair

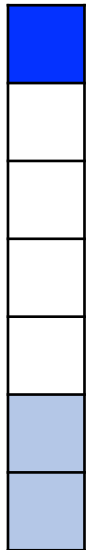
The distance between each pair of words differs!

Note: many ways to measure distance (e.g., cosine distance)

# Approach

- Learn a dense (lower-dimensional) vector for each word by characterizing its **context**, which inherently will reflect similarity/differences to other words

Berimbau



Soap



Fire



Guitar



We embed words in a shared space so they can be compared with a few features

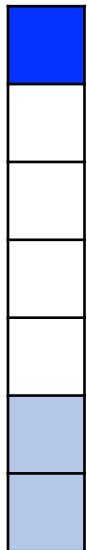
What features would discriminate these words?



# Approach

- Learn a dense (lower-dimensional) vector for each word by characterizing its **context**, which inherently will reflect similarity/differences to other words

Berimbau



Soap



Fire



Guitar



Wooden

Commodity

Cleaner

Food

Temperature

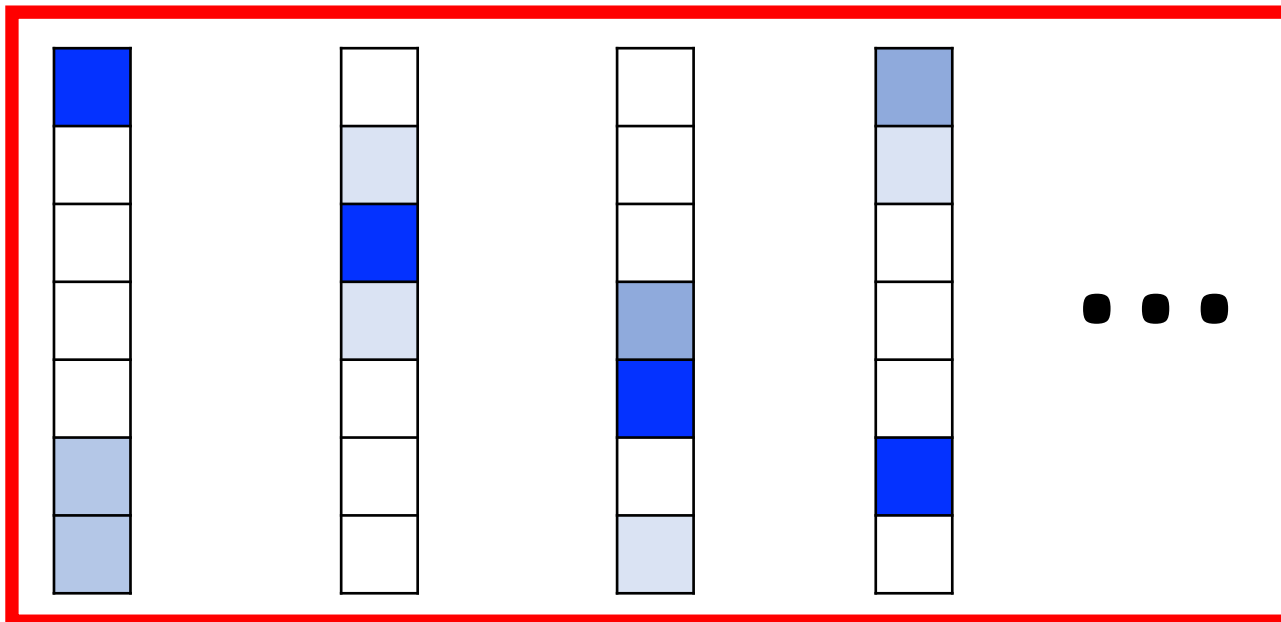
Noisy

Weapon

Potential, interpretable features

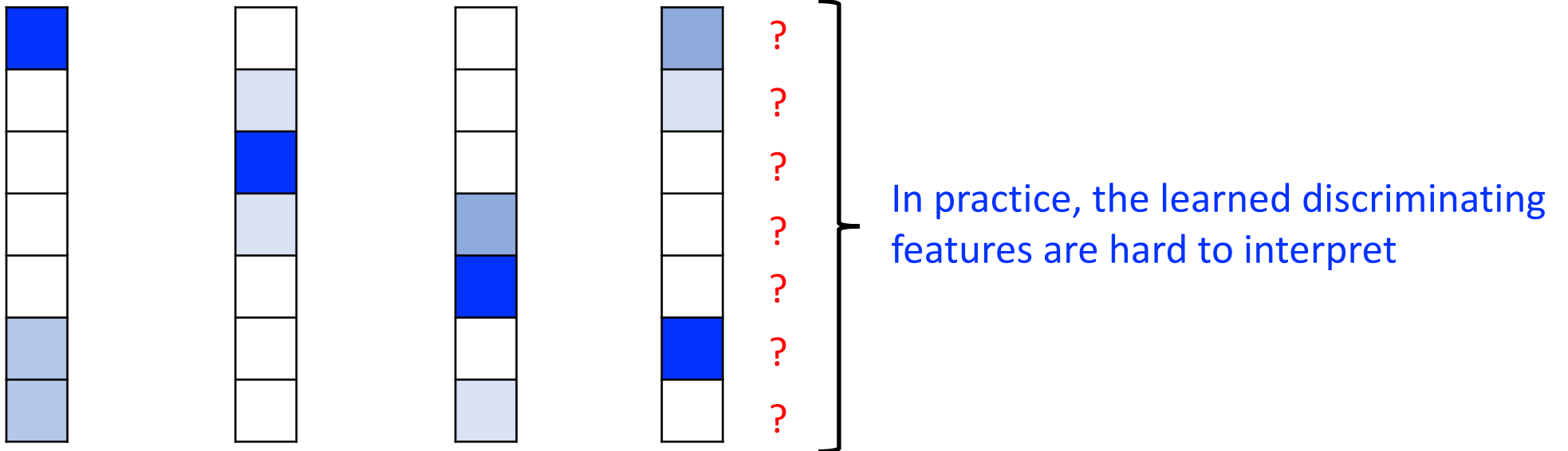
# Approach: Learn Word Embedding Space

- An **embedding space** represents a finite number of words, decided in training
- A **word embedding** is represented as a vector indicating its context
- The dimensionality of all word embeddings in an embedding space match
  - What is the dimensionality for the shown example?



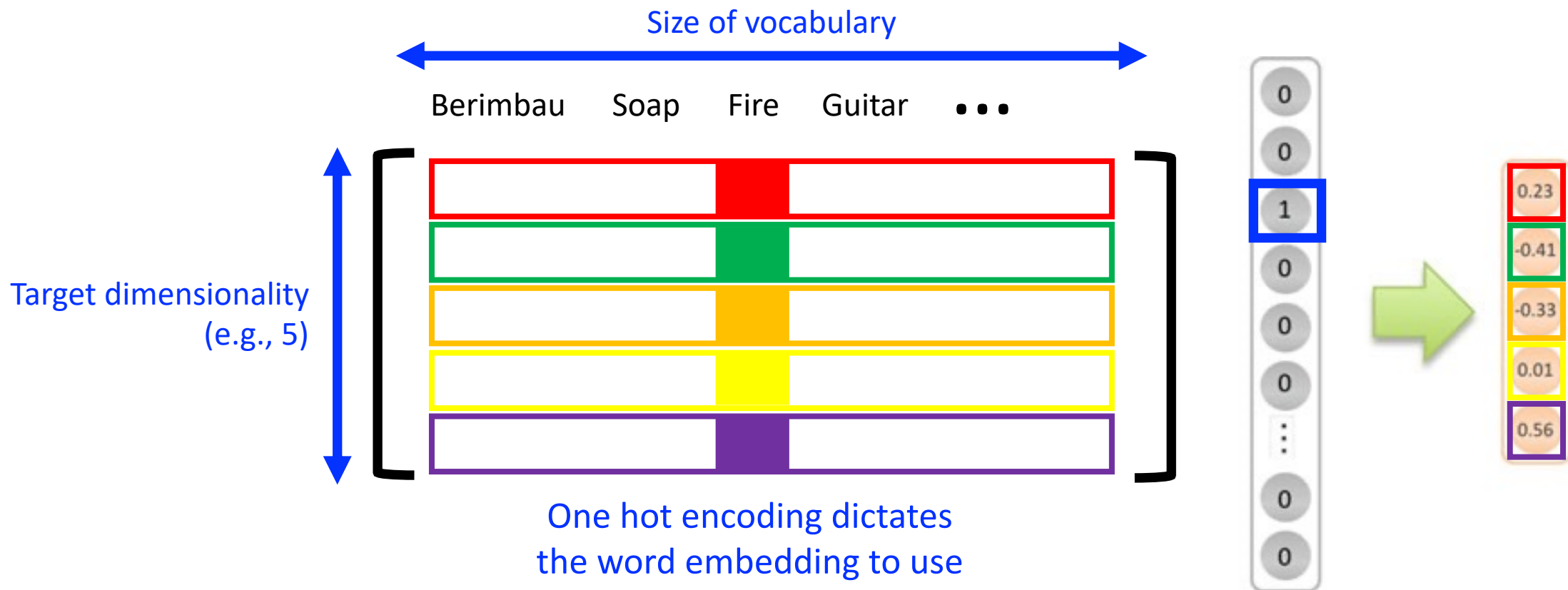
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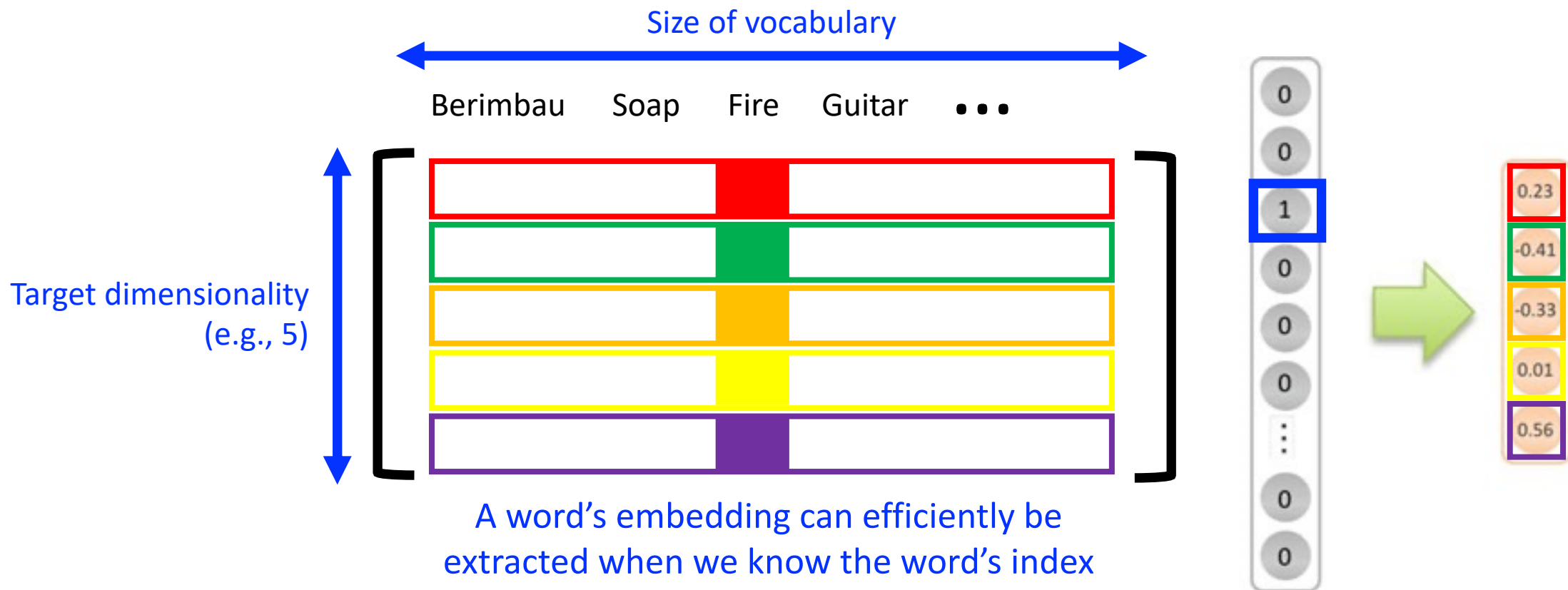
# Embedding Matrix

- The embedding matrix converts an input word into a dense vector



# Embedding Matrix

- It converts an input word into a dense vector



# Popular Word Embeddings

- Bengio method
- Word2vec (skip-gram model)
- And more...

# Popular Word Embeddings

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- And more...

# Idea: Learn Word Embeddings That Help Predict Viable Next Words

*e.g.,*

1. Background music from a \_\_\_\_\_
2. Many people danced around the \_\_\_\_\_
3. I practiced for many years to learn how to play the \_\_\_\_\_



# Task: Predict Next Word Given Previous Ones

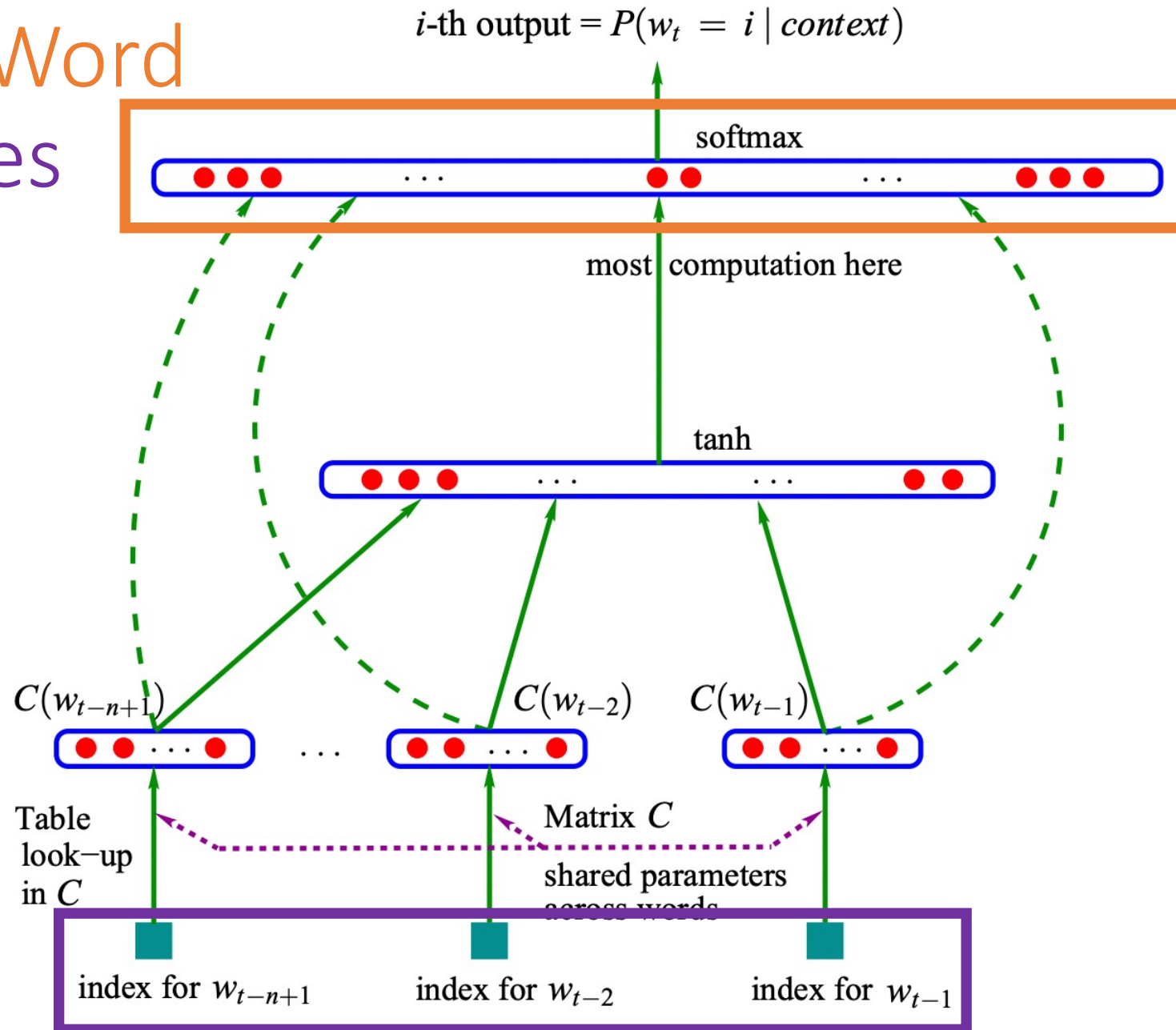
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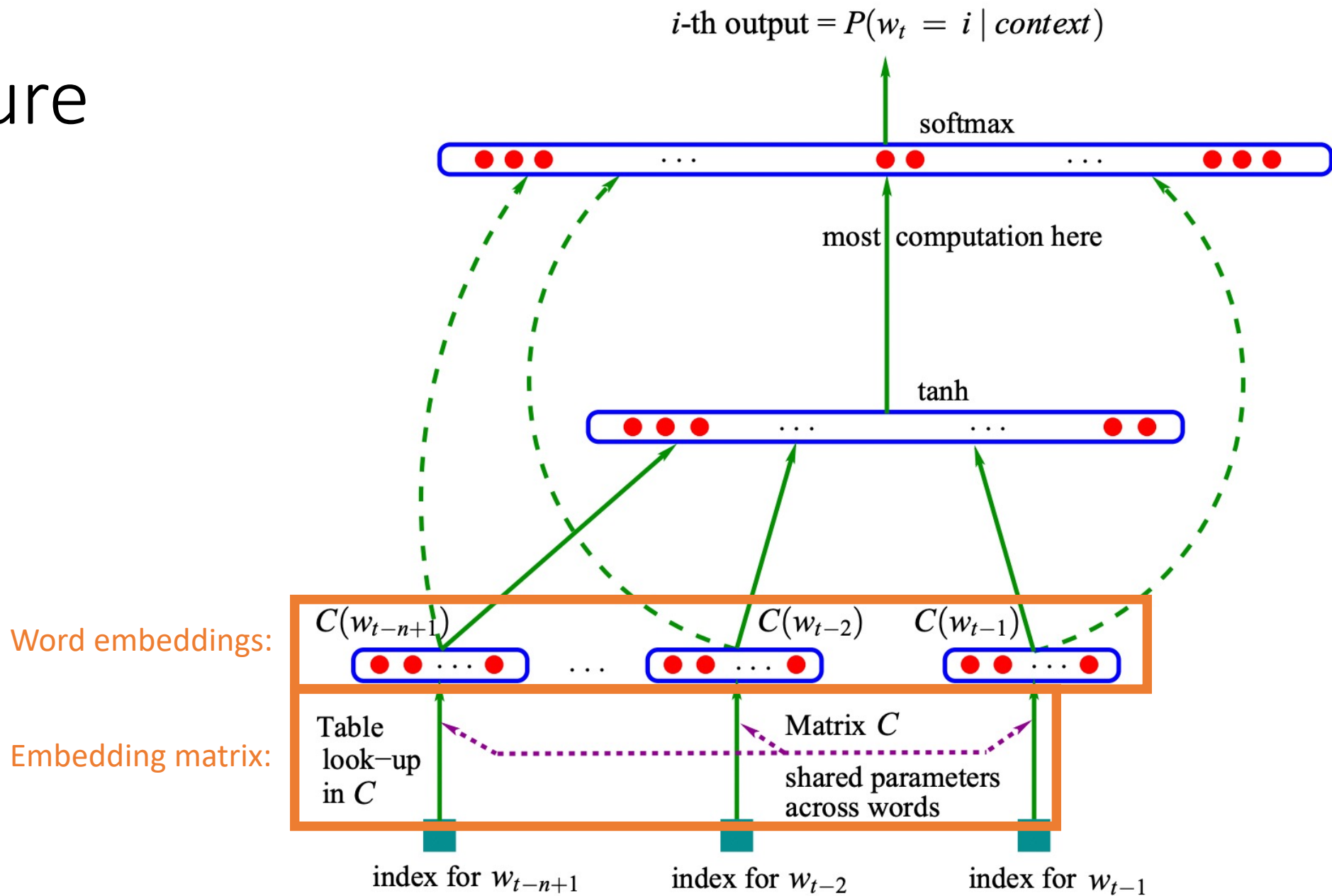
# Task: Predict Next Word Given Previous Ones

e.g., a vocabulary size of 17,000  
was used in experiments

What is the dimensionality of the  
output layer?



# Architecture

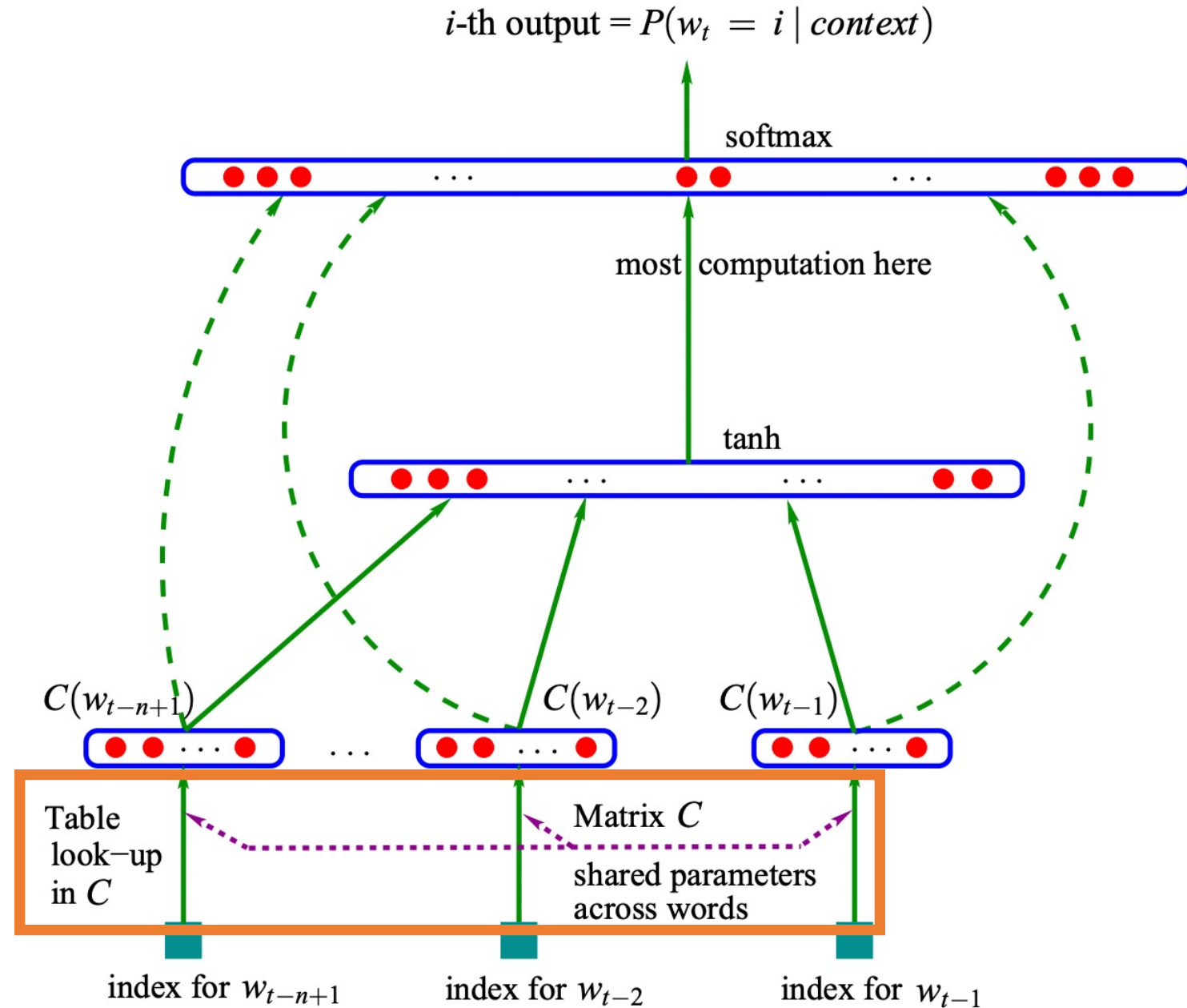


# Architecture

e.g., a vocabulary size of 17,000 was used with embedding sizes of 30, 60, and 100 in experiments

Assume a 30-d word embedding - what are the dimensions of the embedding matrix  $C$ ?

$30 \times 17,000$  (i.e., 510,000 weights)

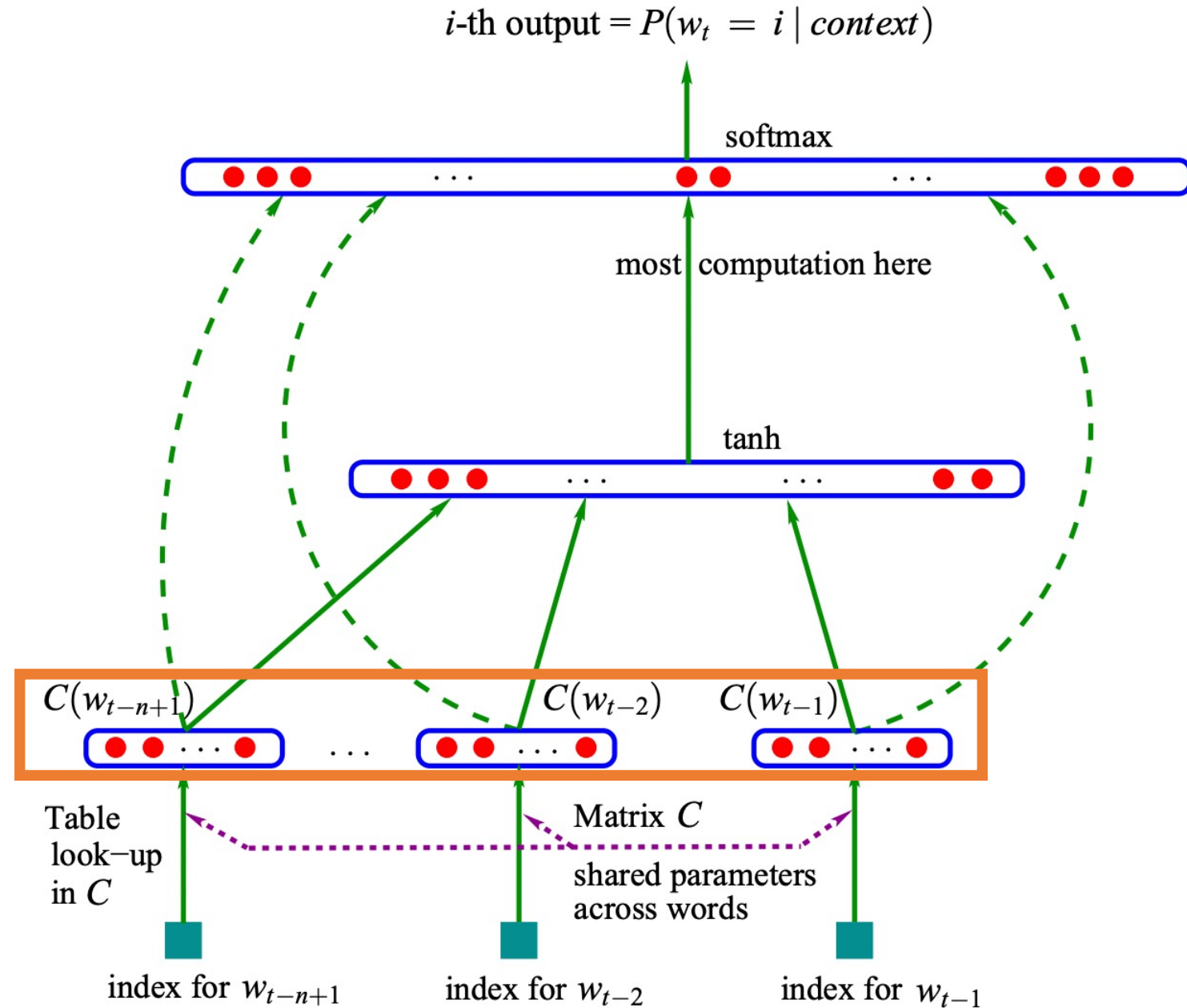


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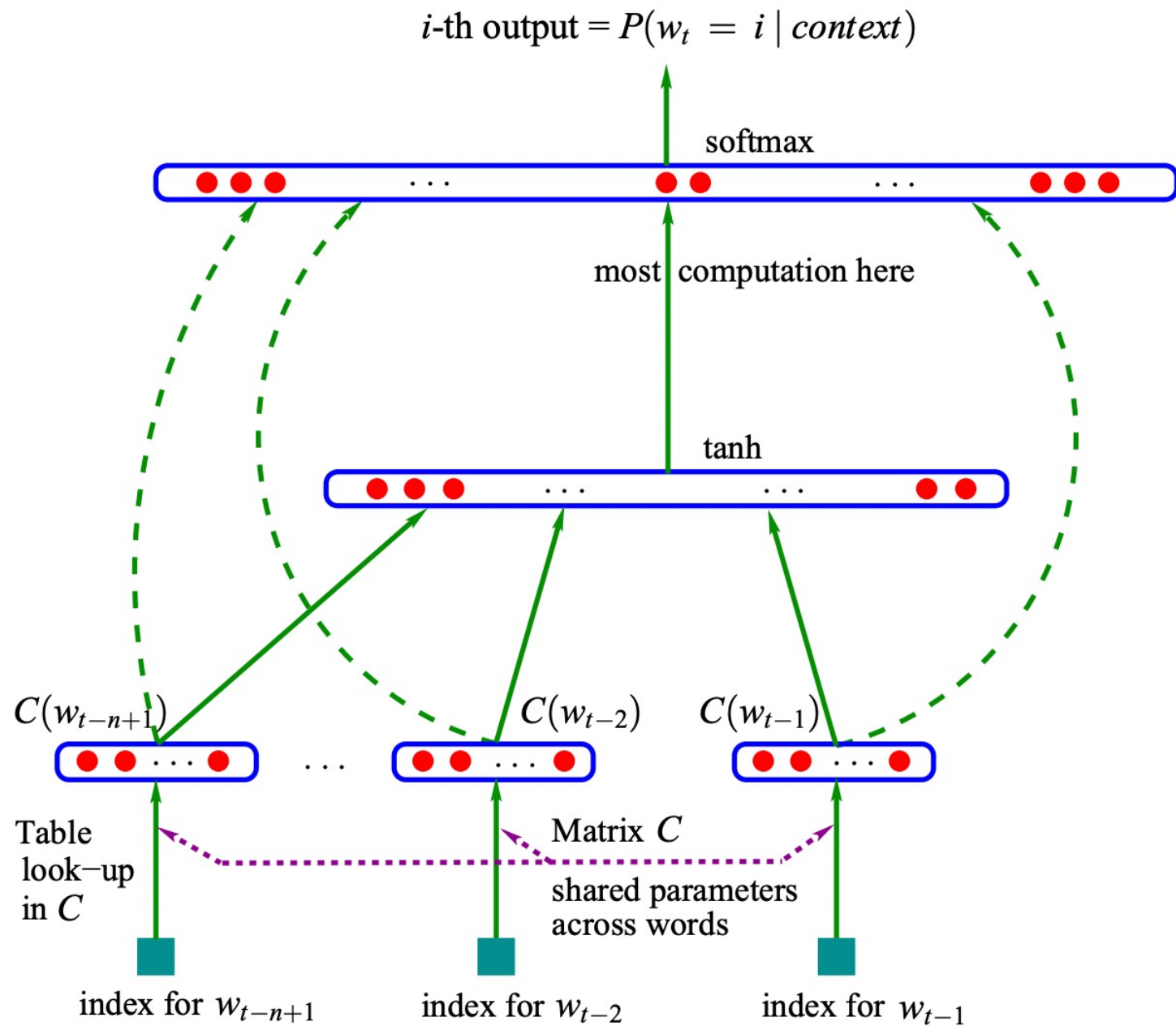
Assume a 30-d word embedding  
- what are the dimensions of each word embedding?

$1 \times 30$



# Architecture

Projection layer followed by a hidden layer with non-linearity

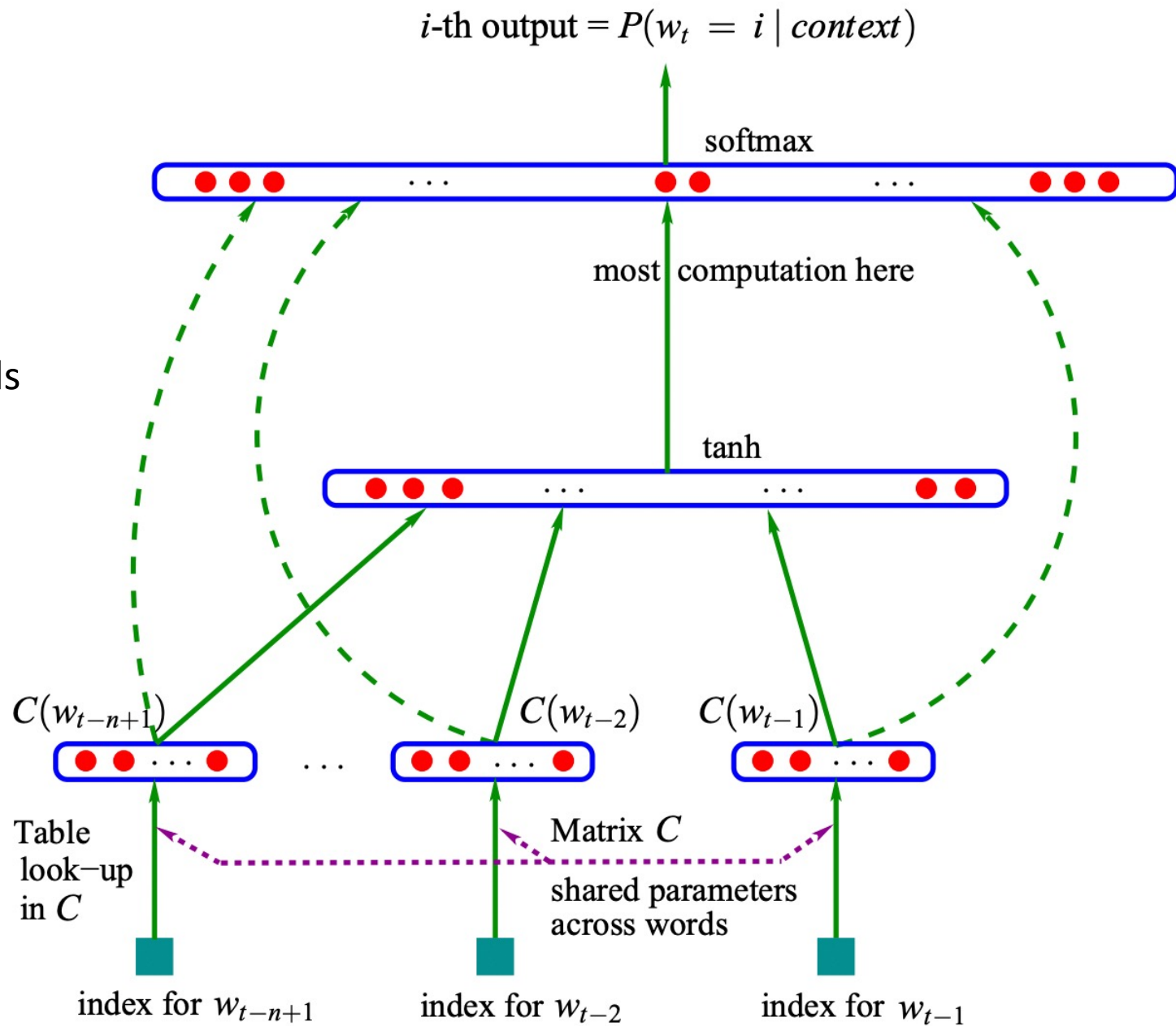


# Training

Use sliding window on input data; e.g., 3 words

Background music from a berimbau offers a beautiful escape...

Input: tried 1, 3, 5, and 8 input words and used 2 datasets with ~1 million and ~34 million words respectively



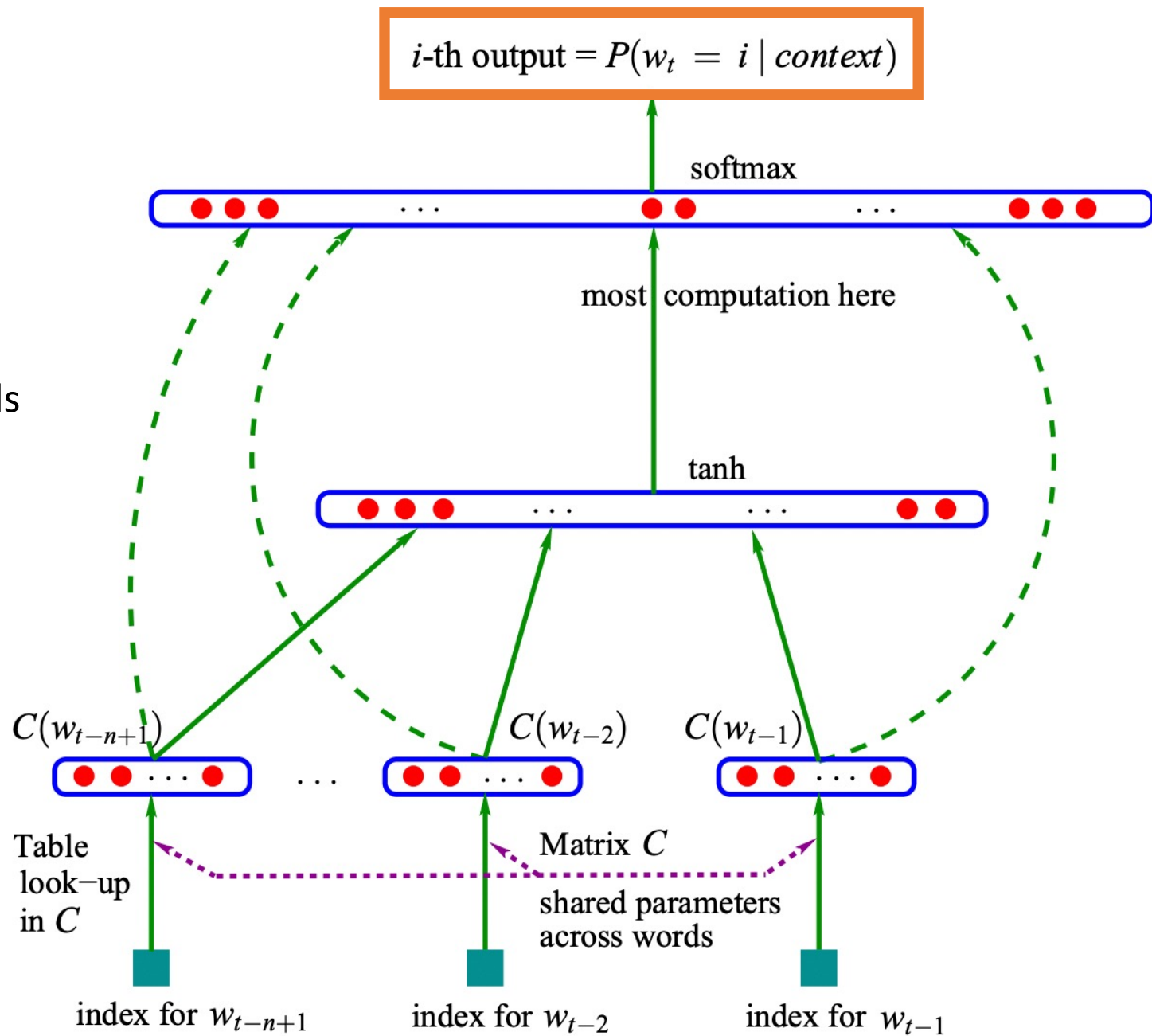


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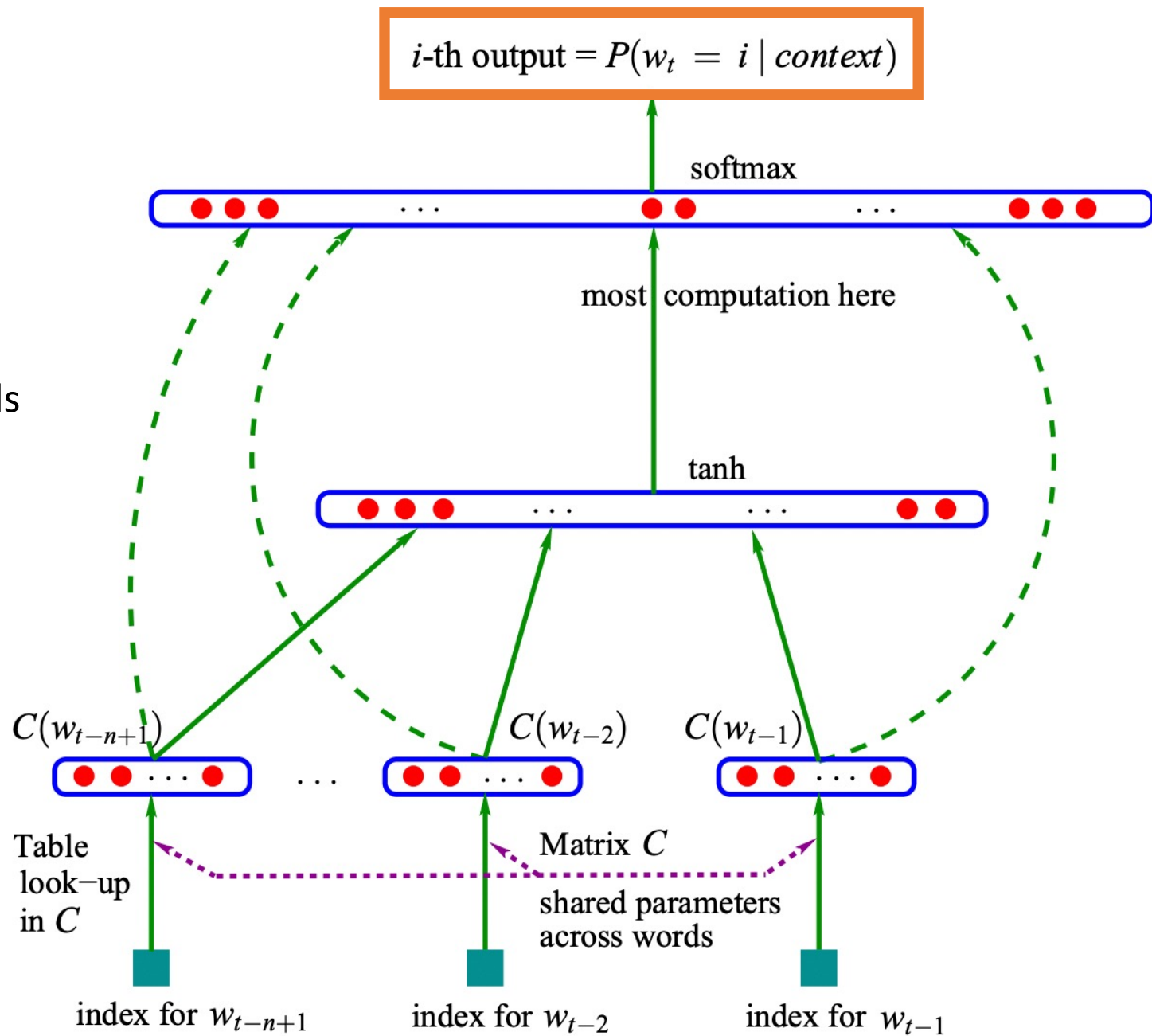


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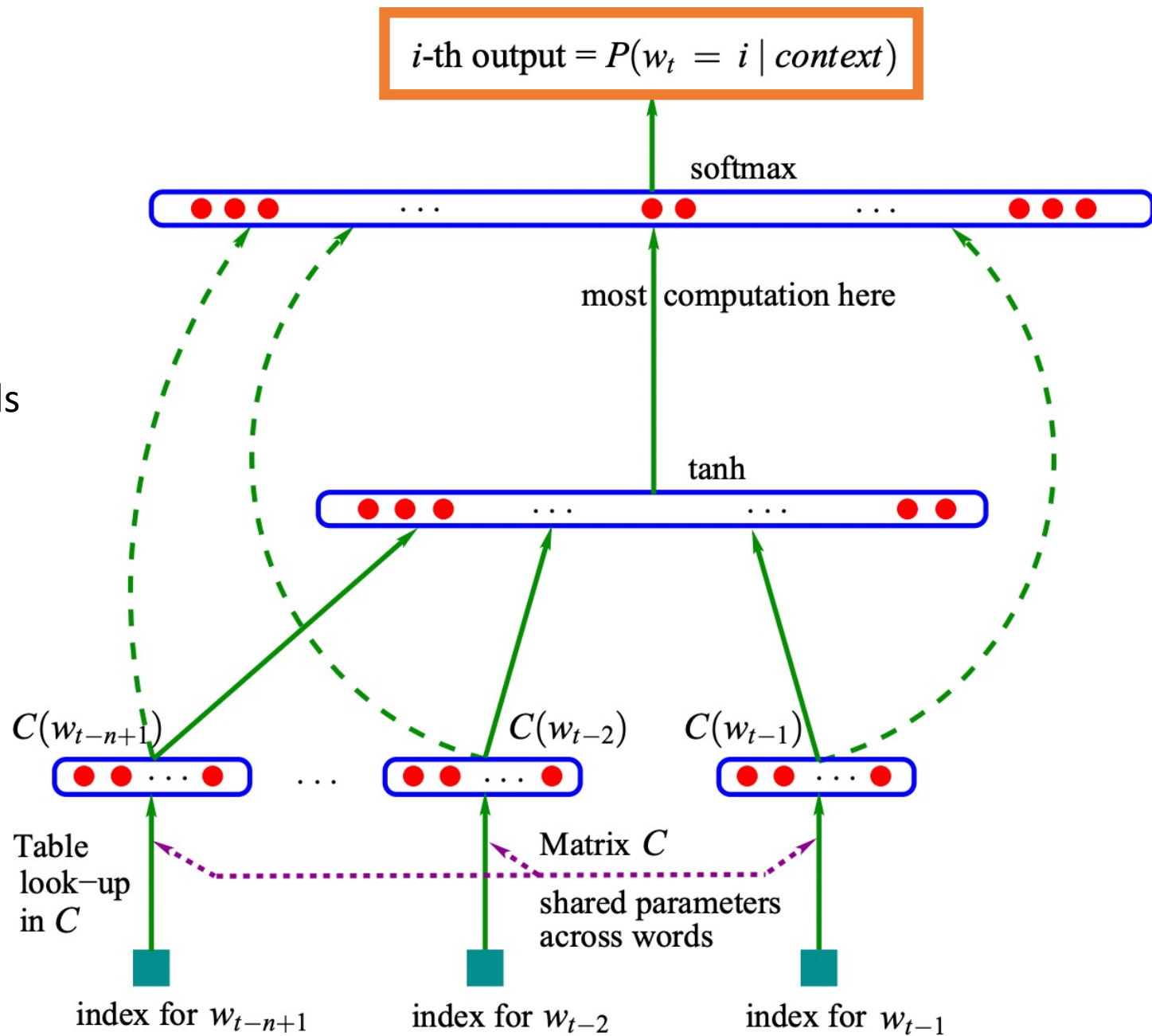


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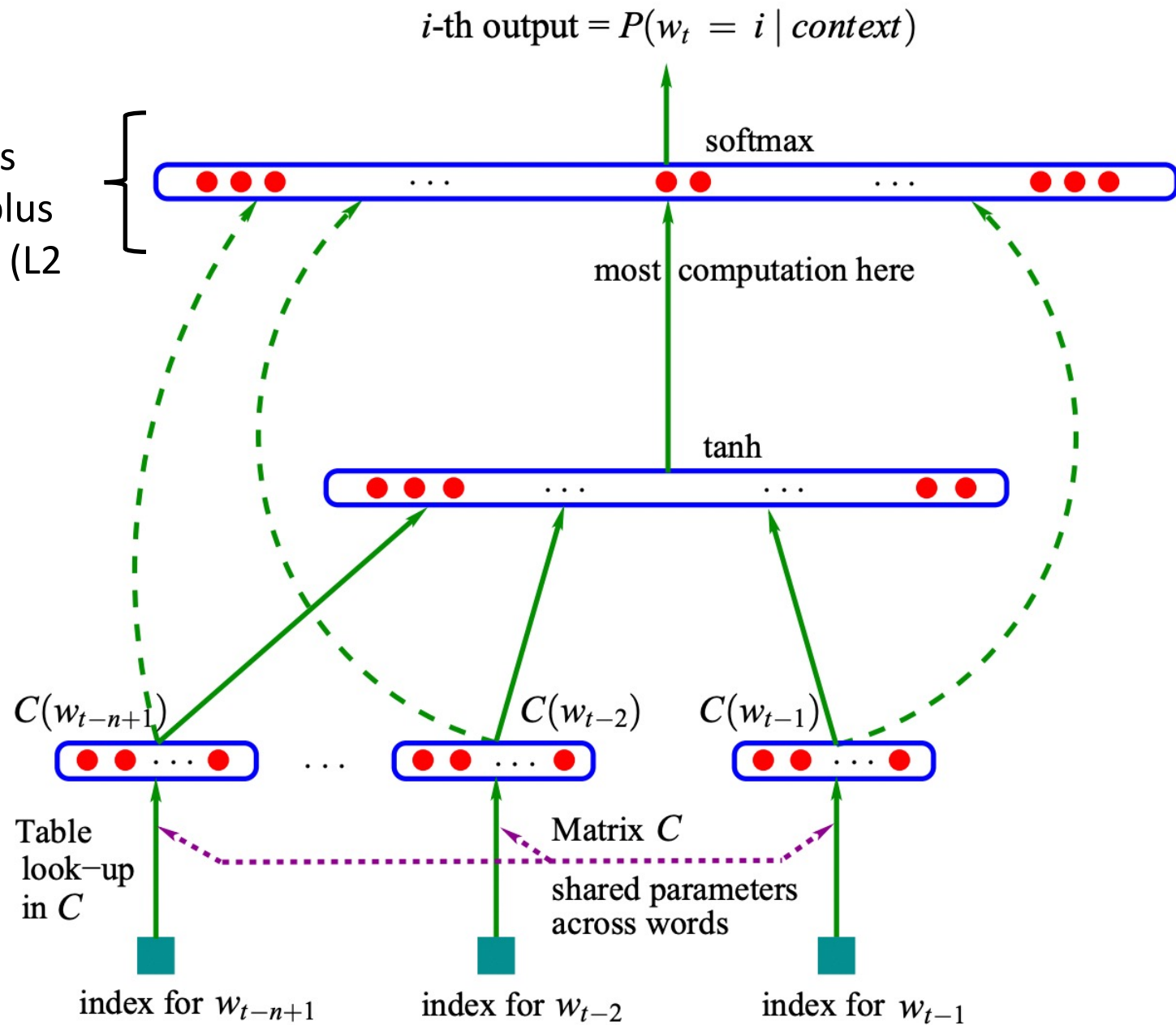


# Training

Cost function:  
minimize cross  
entropy loss plus  
regularization (L2  
weight decay)

Word embedding iteratively updated

Input: tried 1, 3, 5, and 8 input words  
and used 2 datasets with  $\sim 1$  million and  
 $\sim 34$  million words respectively



# Summary: Word Embeddings Are Learned that Support Predicting Viable Next Words

*e.g.,*

1. Background music from a \_\_\_\_\_
2. Many people danced around the \_\_\_\_\_
3. I practiced for many years to learn how to play the \_\_\_\_\_

# Popular Word Embeddings

- Bengio method
- Word2vec (skip-gram model)
- And more...

# Idea: Learn Word Embeddings That Know What Are Viable Surrounding Words

e.g.,

1. \_\_\_\_\_ **berimbau** \_\_\_\_\_

2. \_\_\_\_\_ **berimbau** \_\_\_\_\_

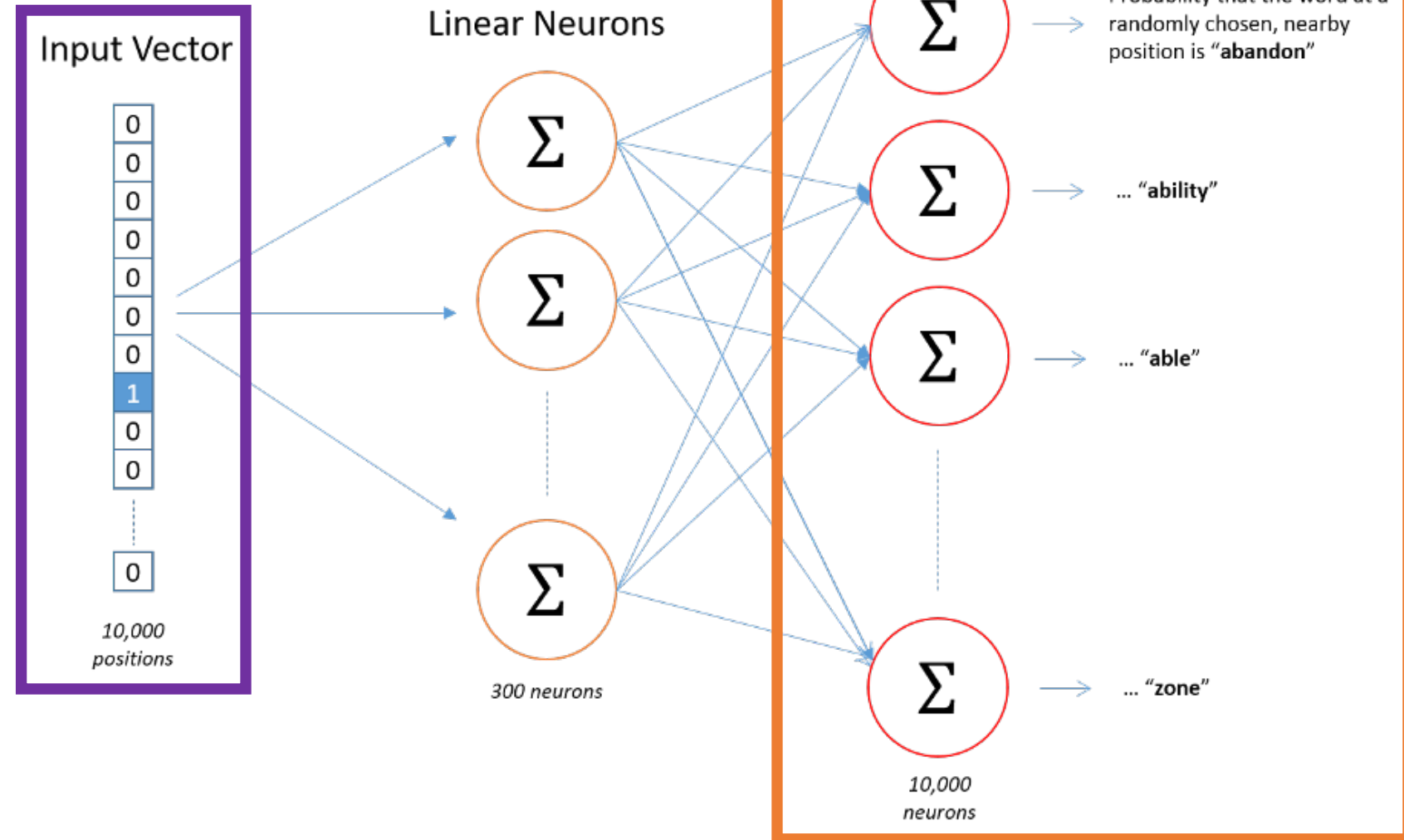
# Task: Given Word, Predict a Nearby Word

e.g.,

1. \_\_\_\_\_ **berimbau** \_\_\_\_\_

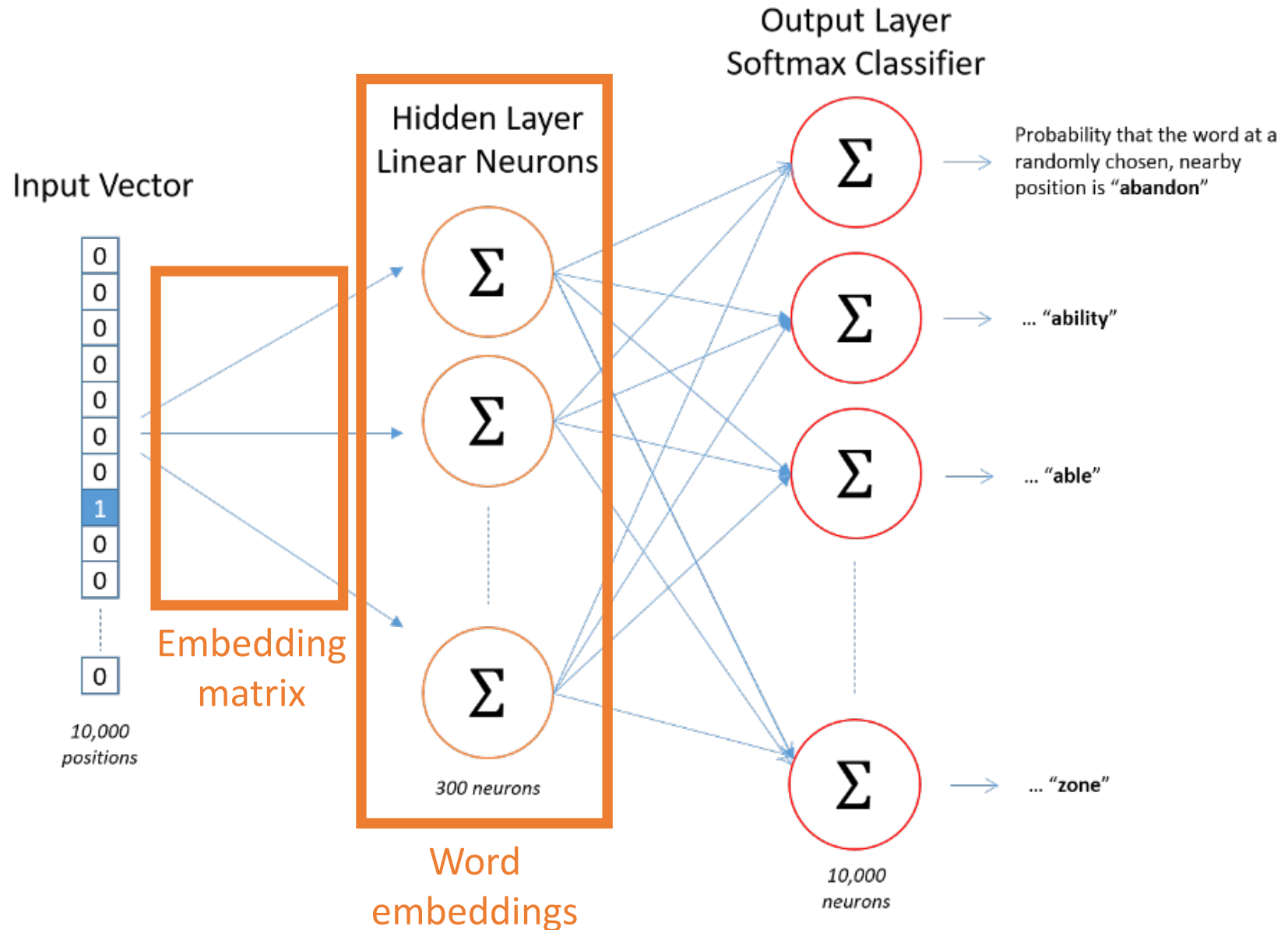
2. \_\_\_\_\_ **berimbau** \_\_\_\_\_

# Task: Given **Word**, Predict a **Nearby Word**





# Architecture

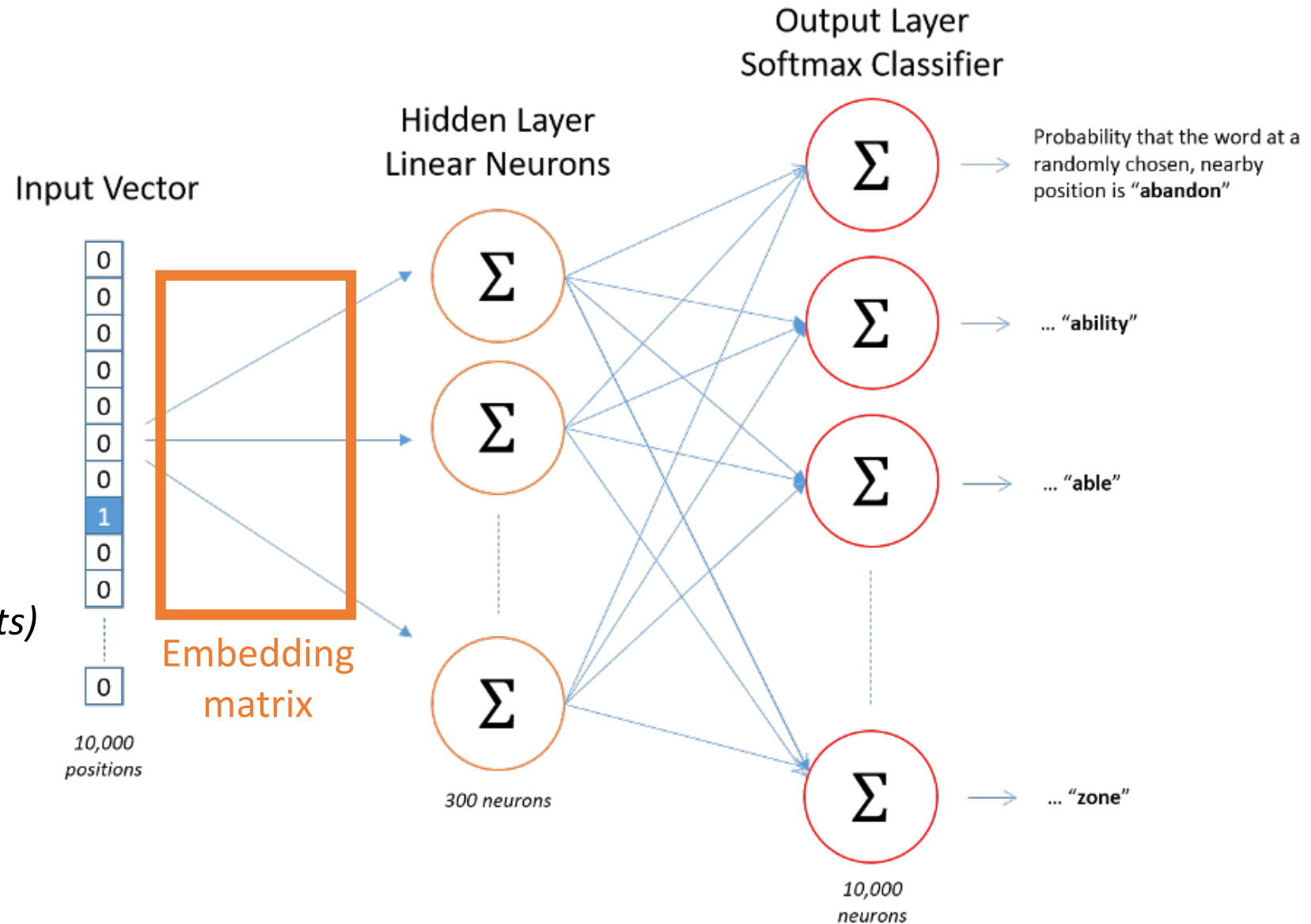


# Architecture

e.g., a vocabulary size of 10,000 is used with embedding sizes of 300

What are the dimensions of the embedding matrix?

*300 x 10,000 (i.e., 3,000,000 weights)*

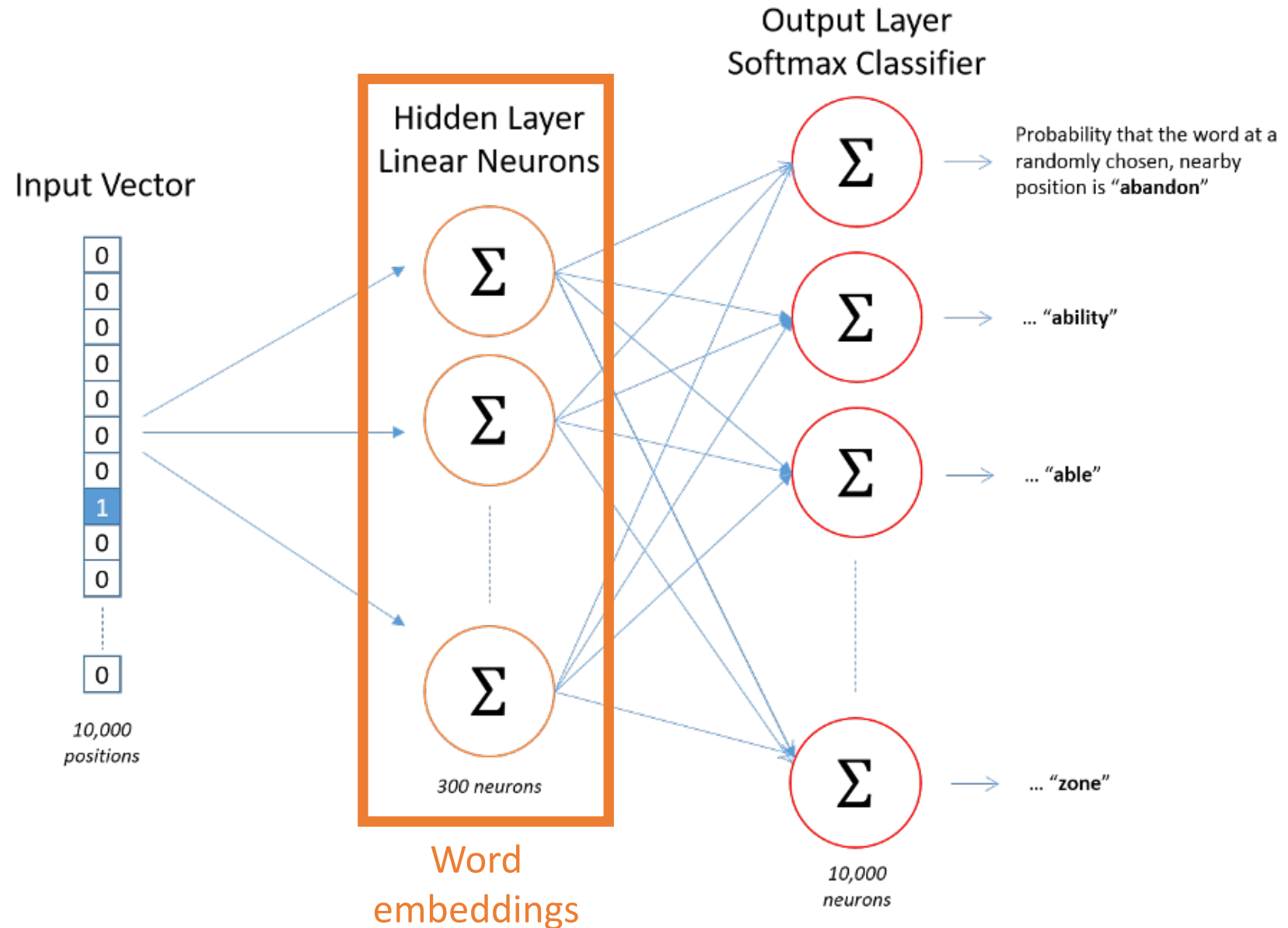


# Architecture

e.g., a vocabulary size of 10,000 is used with embedding sizes of 300

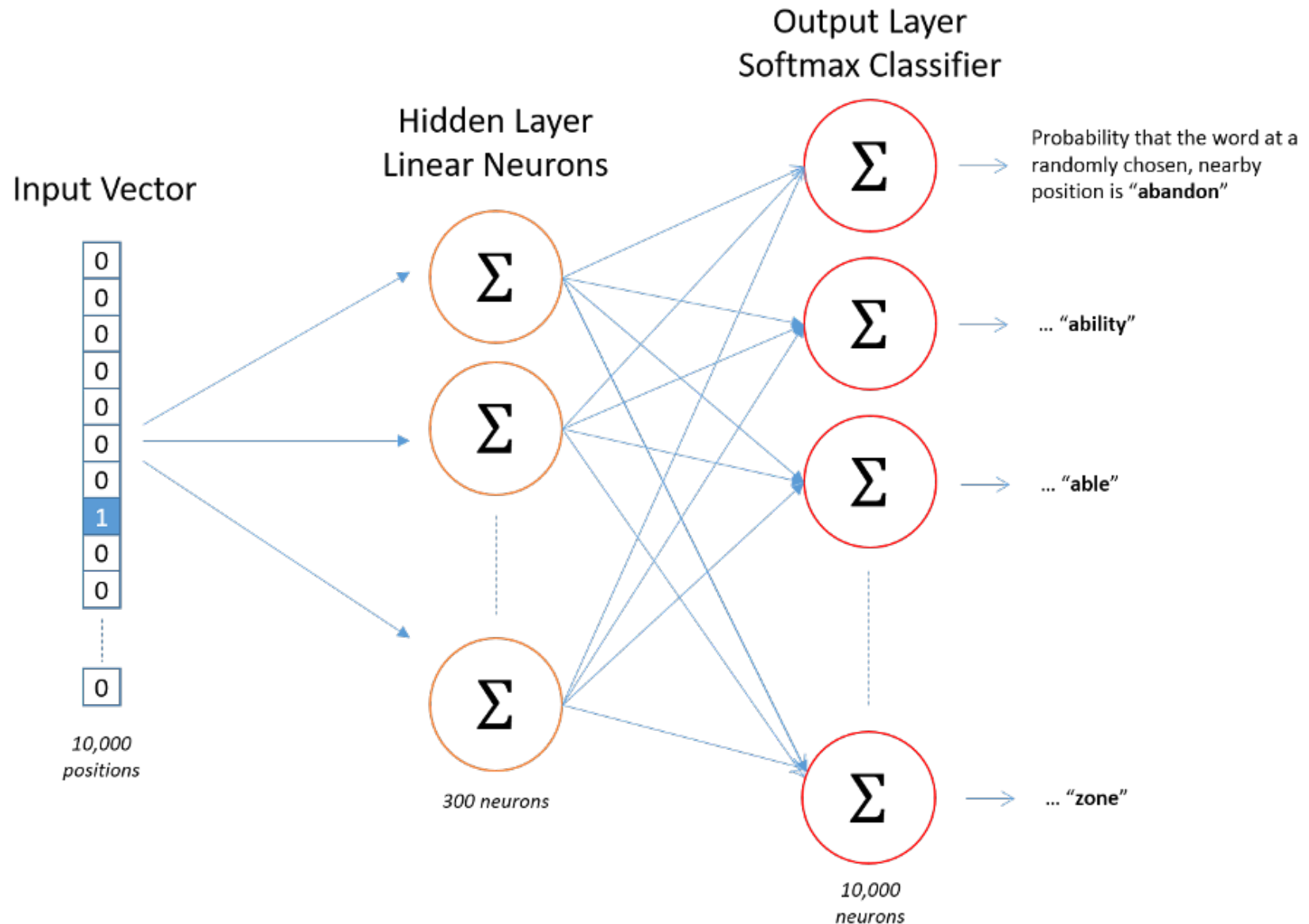
What are the dimensions of each word embedding?

$1 \times 300$



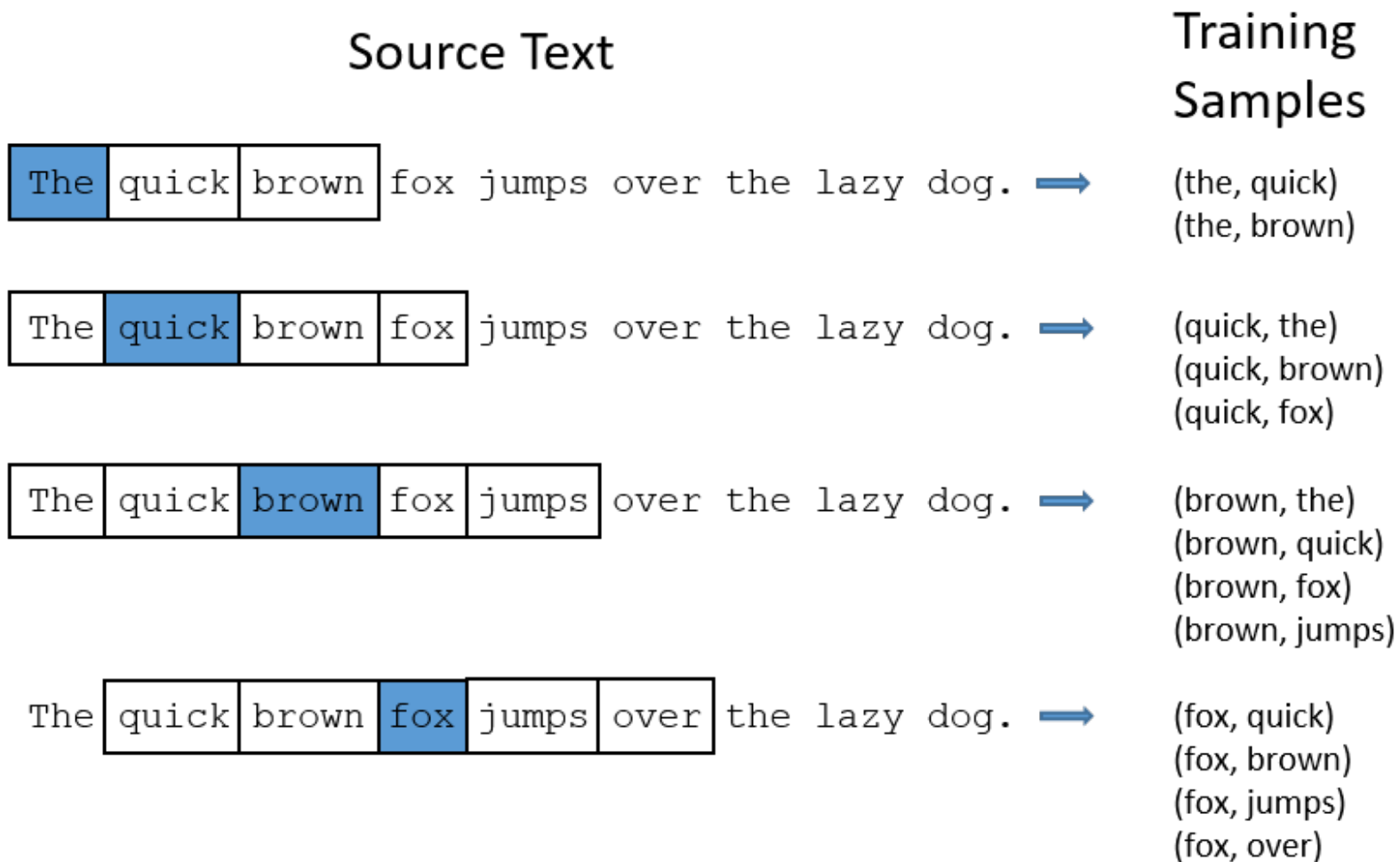
# Architecture

A shallower, simpler architecture than the Bengio approach (i.e., lacks a non-linear hidden layer)!



# Training

Sliding window run on input data to sample neighbors of each **target word** (e.g., using window size of 2)

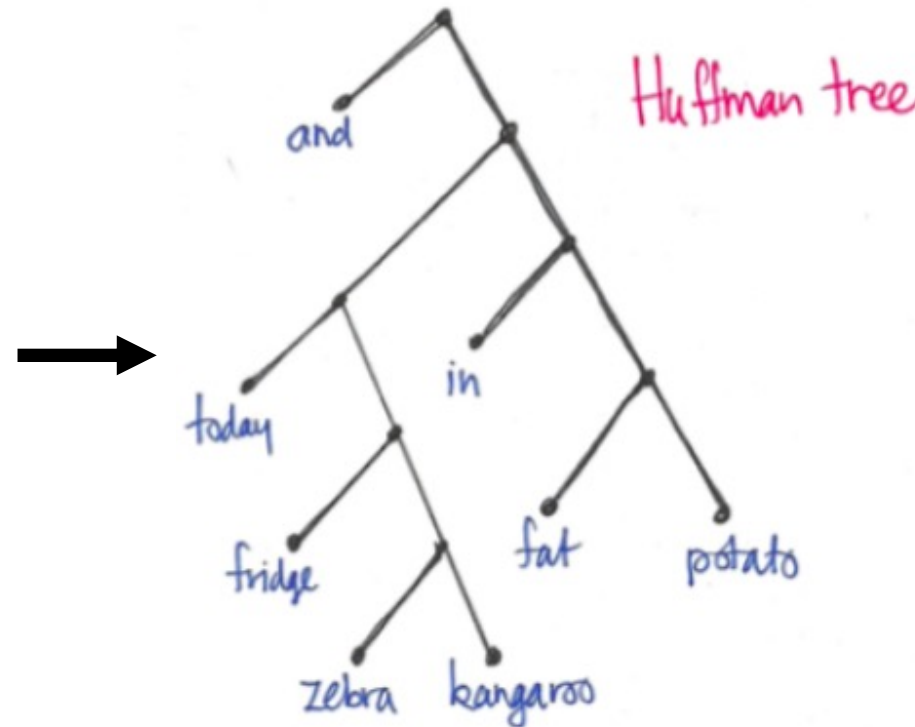


# Extra Tricks: More Efficient Representations

1. Change output layer to hierarchical softmax

2. Reformulate problem to perform negative sampling

word	count
fat	3
fridge	2
zebra	1
potato	3
and	14
in	7
today	4
kangaroo	2



Binary classification: predict for a given word if another word is nearby

- Positive examples: observed target and neighboring words
- Negative examples: randomly sampled other words

# Hyperparameters: What Works Well?

- Word embedding dimensionality?
  - Dimensionality set between 100 and 1,000
- Context window size?
  - ~10

# Very Exciting/Surprising Finding

- Vector arithmetic with word embeddings can solve many analogies

(Full test list: <http://download.tensorflow.org/data/questions-words.txt>)

- **Semantic** relationships (meaning of words in a sentence):

- Italy + (Paris - France) = Rome

- **Syntactic** relationships (rules for words in a sentence)

- smallest + (big - small) = biggest
- think + (read - reading) = thinking
- mouse + (dollars - dollar) = mice



# Summary: Word Embeddings Are Learned that Support Predicting Viable Surrounding Words!

e.g.,

1. \_\_\_\_\_ **berimbau** \_\_\_\_\_

2. \_\_\_\_\_ **berimbau** \_\_\_\_\_

# Popular Word Embeddings

- Bengio method
- Word2vec (skip-gram model)
- And more...

# Variants for Learning Word Embeddings

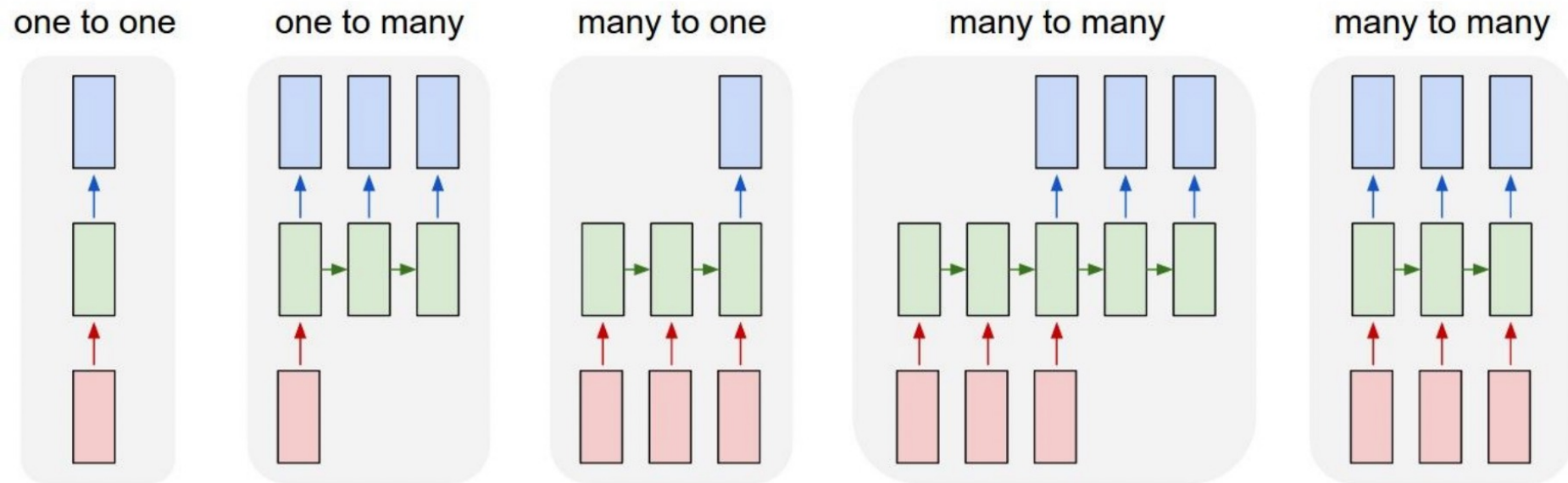
- Capture global context rather than just local context of previous or surrounding words; e.g.,
  - GloVe for Global Vectors (Pennington et al., 2014)
- Capture that the same word can have different word vectors under different contexts; e.g.,
  - Elmo for embeddings from language models (Peters et al., arXiv 2018)
- Support multiple languages; e.g.,
  - Fast-text (Bojanowski et al., 2016)

# Popular Word Embeddings

- Bengio method
- Word2vec (skip-gram model)
- And more...

# Recap of Big Picture

- Convert words into compact vectors as **input** to neural networks; e.g., RNNs



- Implementation detail: may need to learn extra tokens such as “UNK” and “EOS” to represent out of vocabulary words and signify end of the string respectively
- Also, can fine-tune word embedding matrices for different applications

# Word Embedding Limitations/Challenges

- Distinguish antonyms from synonyms
  - Antonyms are learned near each other in the embedding space since they are commonly used in similar contexts: “I **hate** math” vs “I **love** math” or “Take a **right** turn” vs “Take a **left** turn”
- Gender bias:

**Man is to Computer Programmer as Woman is to Homemaker? Debiasing Word Embeddings**

**Tolga Bolukbasi<sup>1</sup>, Kai-Wei Chang<sup>2</sup>, James Zou<sup>2</sup>, Venkatesh Saligrama<sup>1,2</sup>, Adam Kalai<sup>2</sup>**

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- Gender bias:

**Extreme *she***

1. homemaker
2. nurse
3. receptionist
4. librarian
5. socialite
6. hairdresser
7. nanny
8. bookkeeper
9. stylist
10. housekeeper

**Extreme *he***

1. maestro
2. skipper
3. protege
4. philosopher
5. captain
6. architect
7. financier
8. warrior
9. broadcaster
10. magician

sewing-carpentry  
nurse-surgeon  
blond-burly  
giggle-chuckle  
sassy-snappy  
volleyball-football

**Gender stereotype *she-he* analogies**

registered nurse-physician  
interior designer-architect  
feminism-conservatism  
vocalist-guitarist  
diva-superstar  
cupcakes-pizzas

housewife-shopkeeper  
softball-baseball  
cosmetics-pharmaceuticals  
petite-lanky  
charming-affable  
lovely-brilliant

**Gender appropriate *she-he* analogies**

queen-king  
waitress-waiter

sister-brother  
ovarian cancer-prostate cancer  
mother-father  
convent-monastery

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  - Antonyms are learned near each other in the embedding space since they are commonly used in similar contexts: “I hate math” vs “I love math” or “Take a right turn” vs “Take a left turn”
- Gender bias
- What other language biases do you think could be learned?



# Today's Topics

- Introduction to natural language processing
- Text representation
- Neural word embeddings
- Programming tutorial

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- Introduction to natural language processing
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A dark gray background with a central circular glow. The glow is a gradient from light gray in the center to dark gray at the edges. The text "The End" is centered within this glow. The entire scene is framed by a white film strip border with rectangular sprocket holes on the left and right sides.

*The End*