

Learning with Less Human Supervision

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<https://dannagurari.colorado.edu/course/neural-networks-and-deep-learning-spring-2025/>

Review

- Last lecture on Speeding Up Learning and Inference
 - Motivation
 - Hardware tricks
 - Architectural tricks
 - Training tricks
 - Programming tutorial
- Assignments (Canvas)
 - Final project outline due Thursday
- Questions?

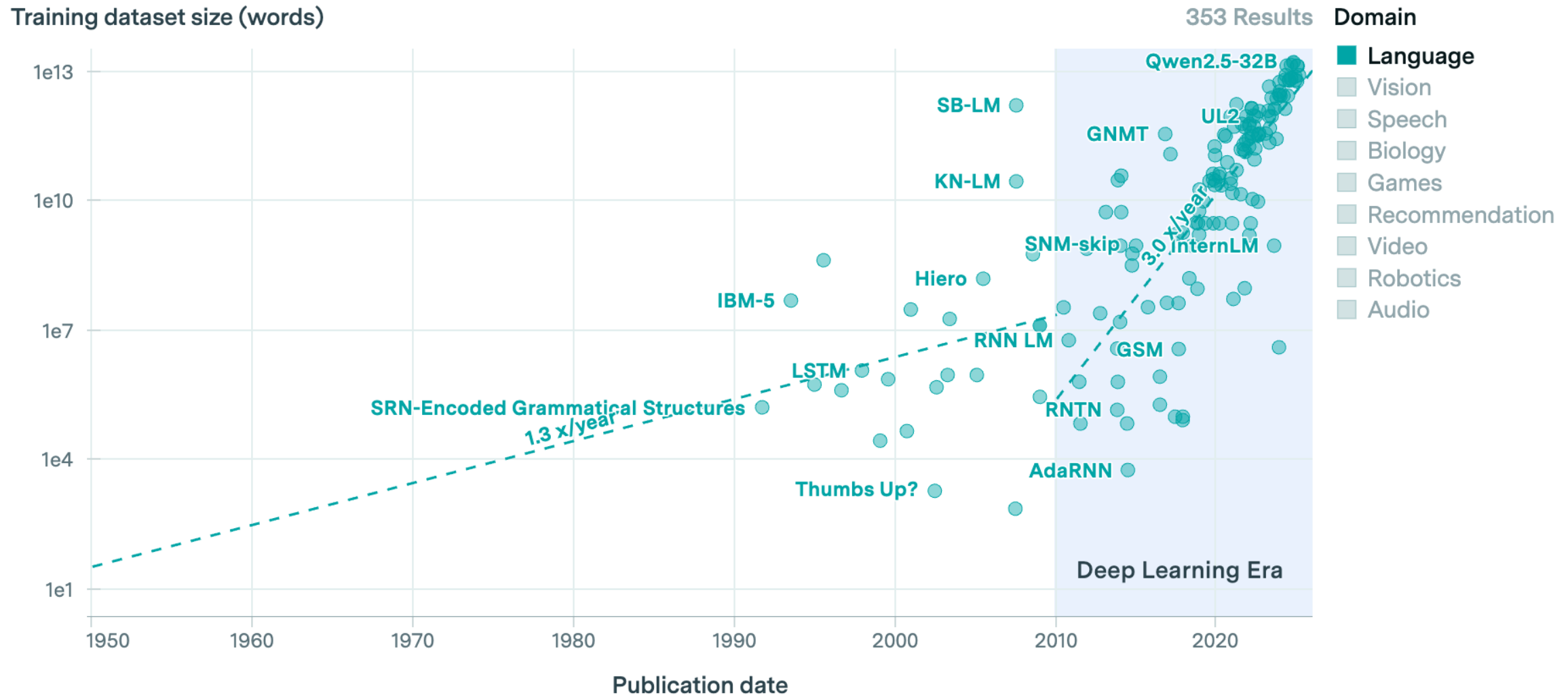
Today's Topics

- Motivation
- Active learning
- Reinforcement learning
- Self-supervised learning
- And more...

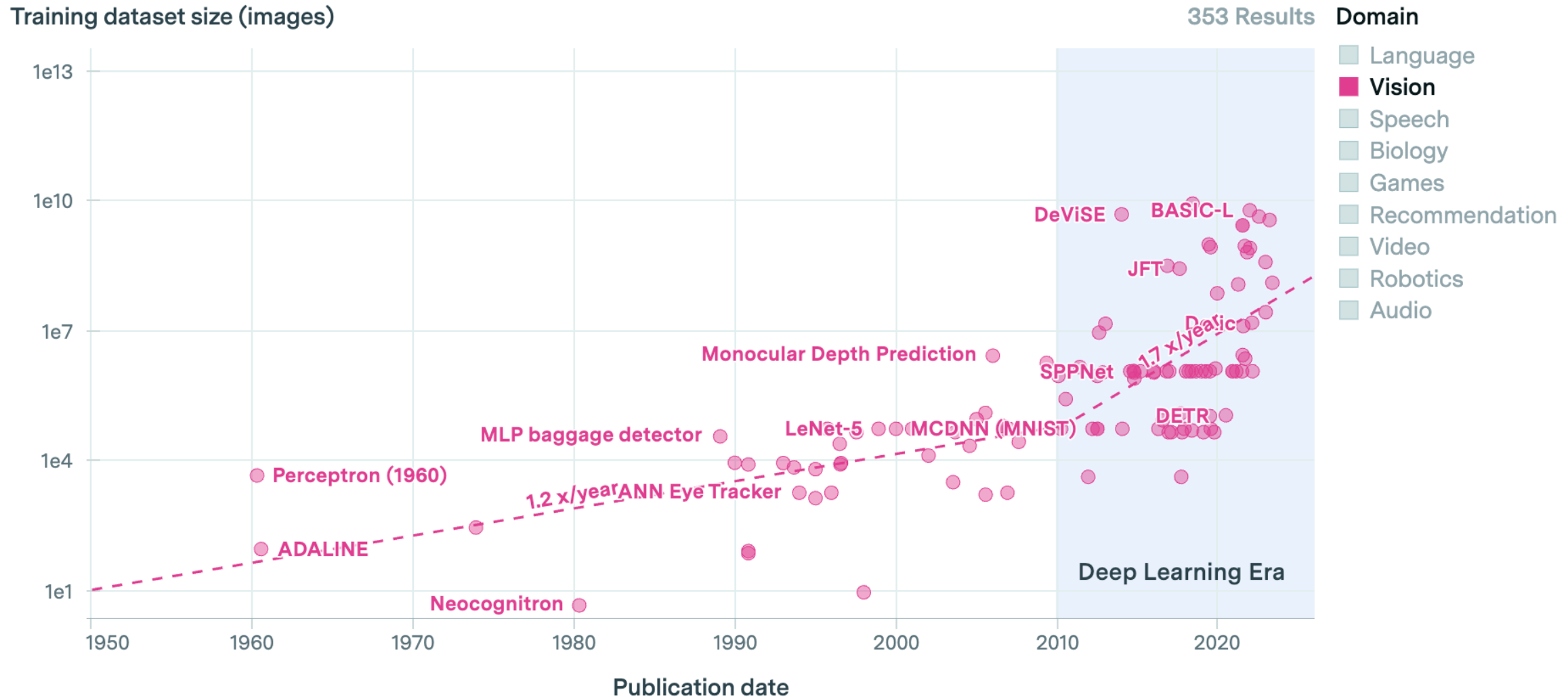
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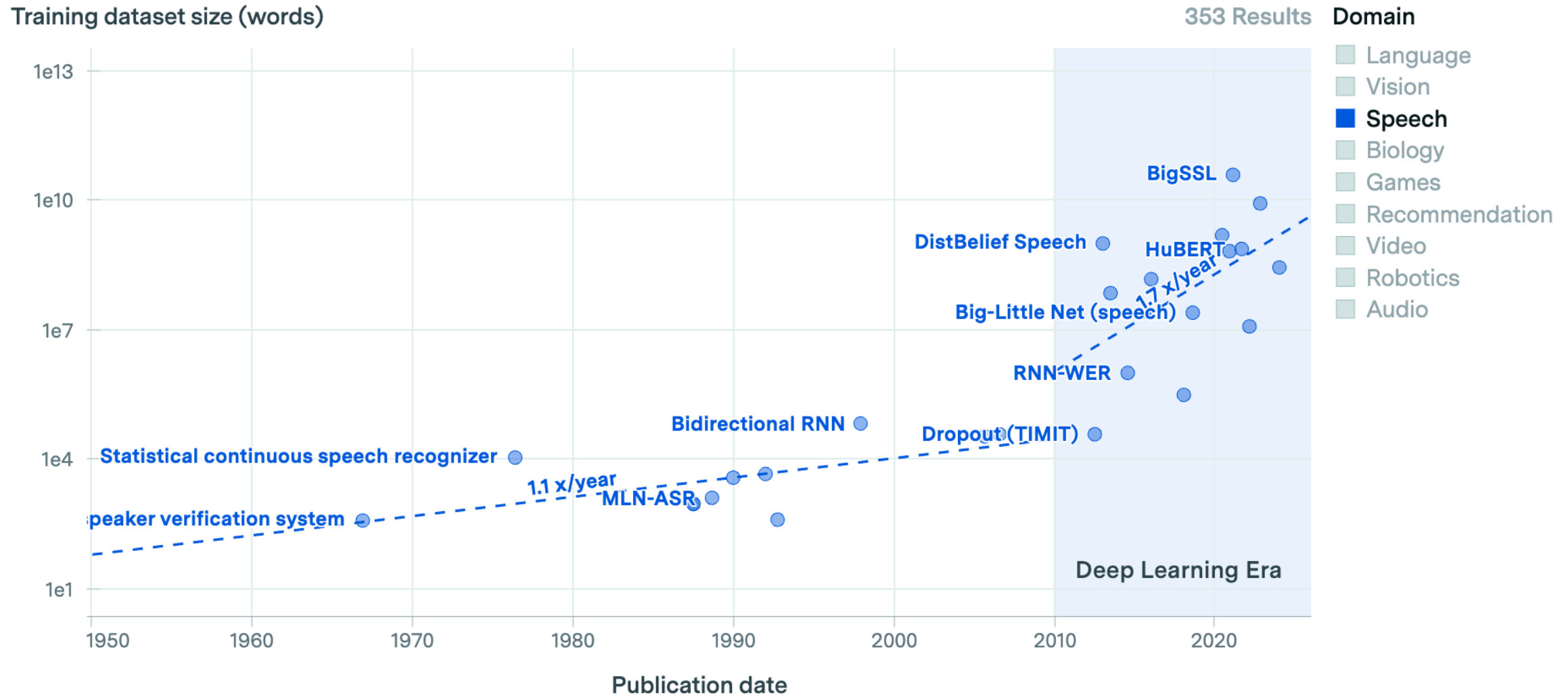
Trend: More Training Data for Better Models



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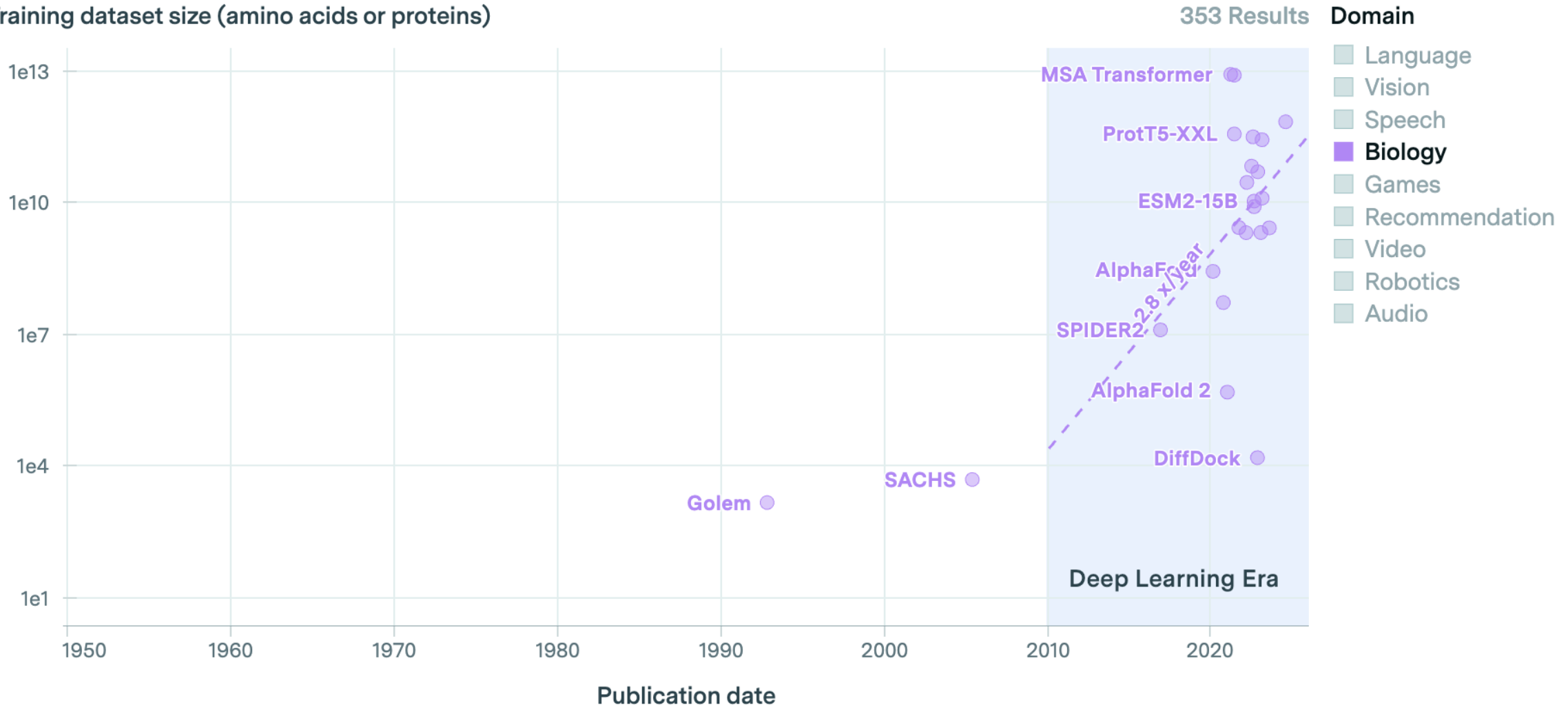


Trend: More Training Data for Better Models

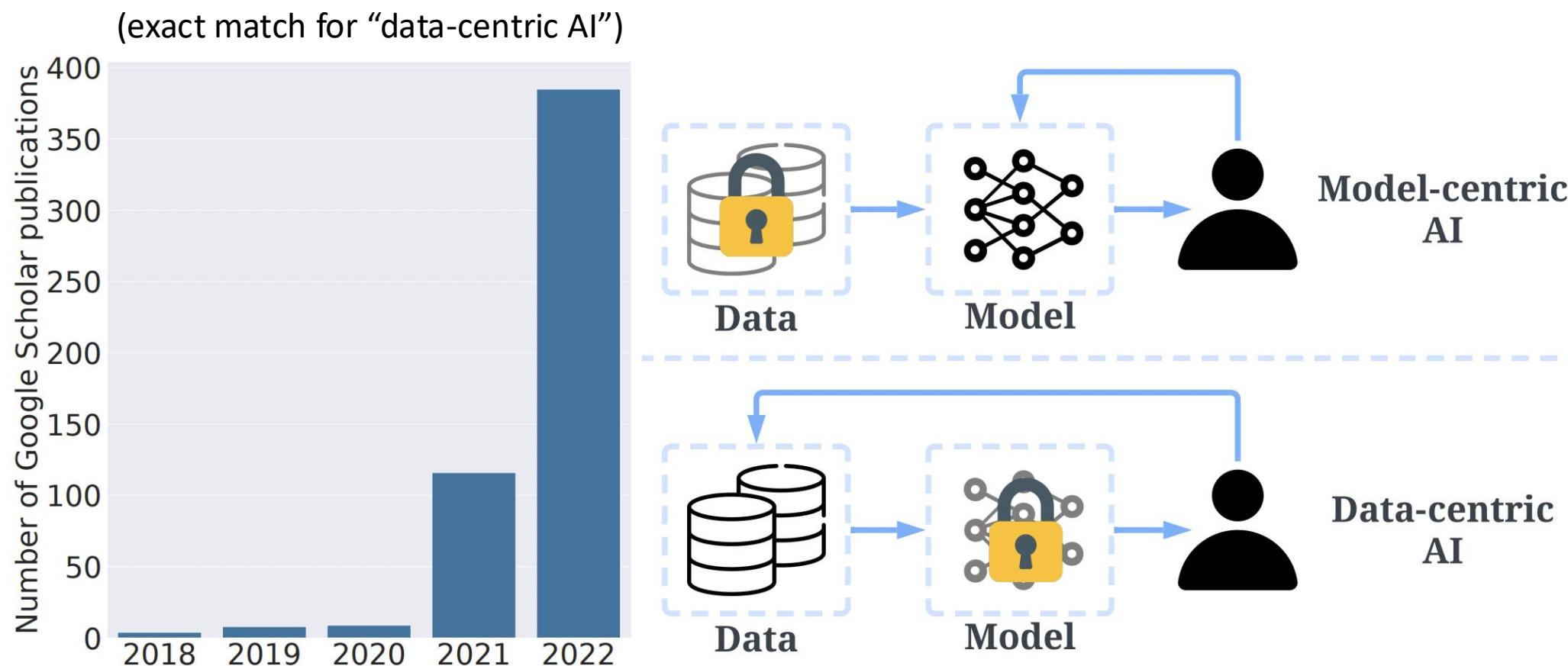


Trend: More Training Data for Better Models

Training dataset size (amino acids or proteins)



Shift from Model-Centric to Data-Centric AI



Greater focus on **collecting data** than designing models (e.g., GPT-1 to 4 series)

How to Leverage Less Human
Effort When Collecting Data?

Today's Topics

- Motivation
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- And more...

How to **more effectively** leverage human supervision?



e.g., limited funding

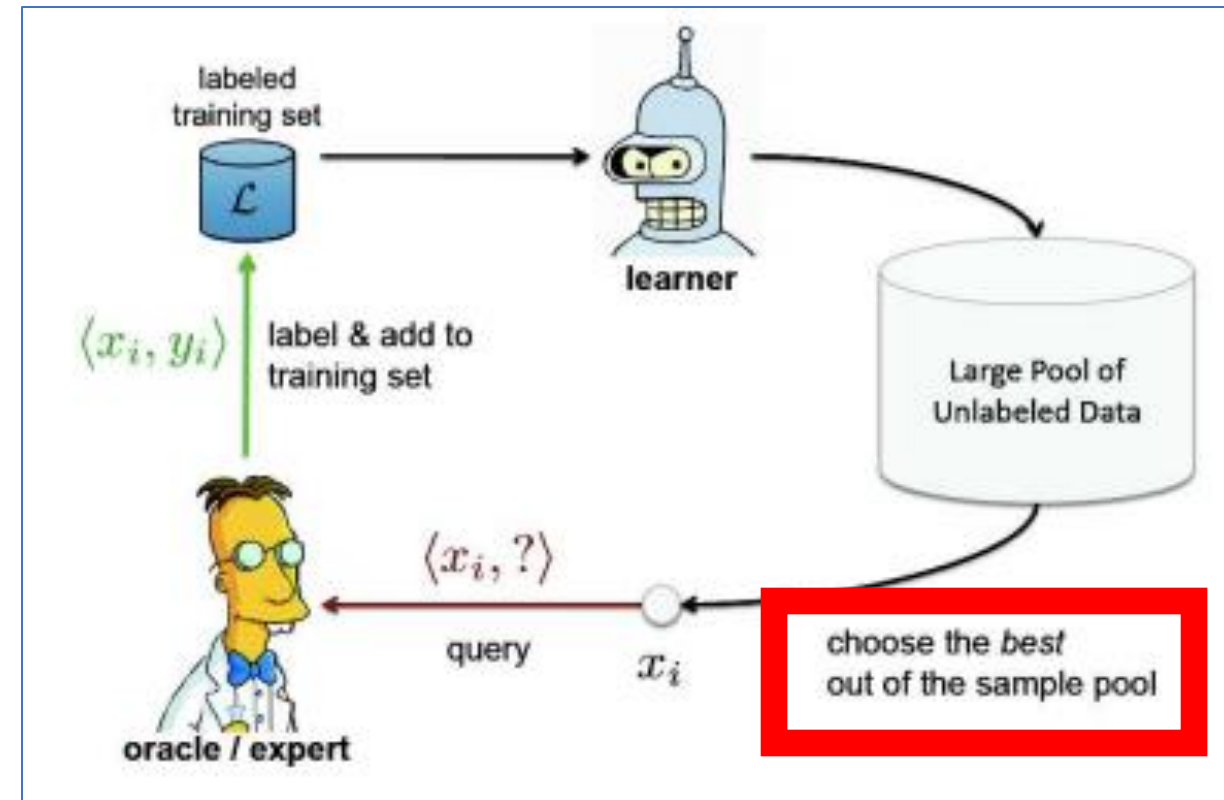


e.g., limited access to
(expert) annotators

Active Learning: Keep Adding Labelled Training Examples for Most Informative Examples

Add more labeled training examples every n epochs based on what the refined model finds is hard

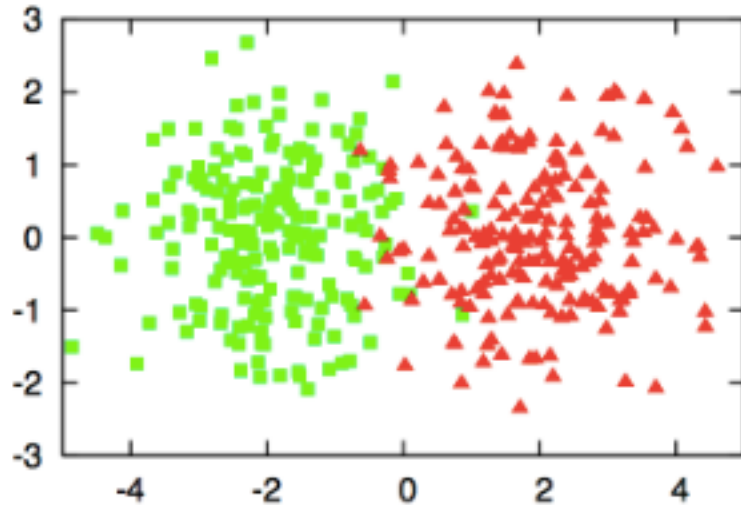
(different from curriculum learning because the added data needs labels to be collected)



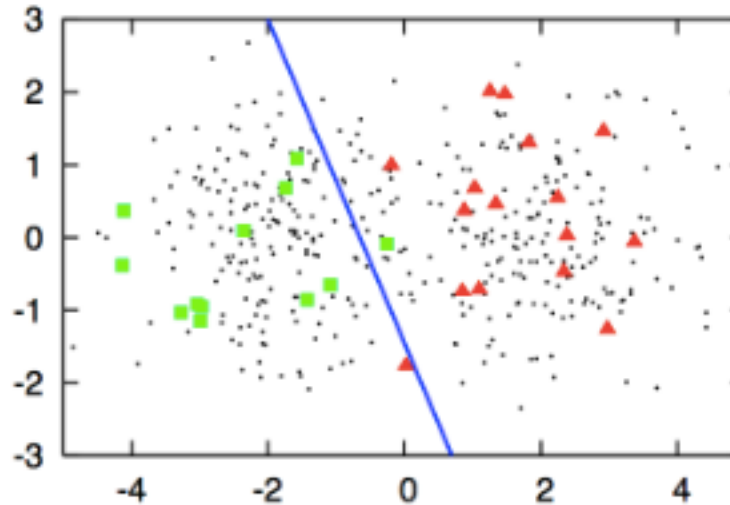
What approach might be effective in identifying the most informative data to label?

Uncertainty Sampling: Label Instance(s) Classifier is Most Uncertain About

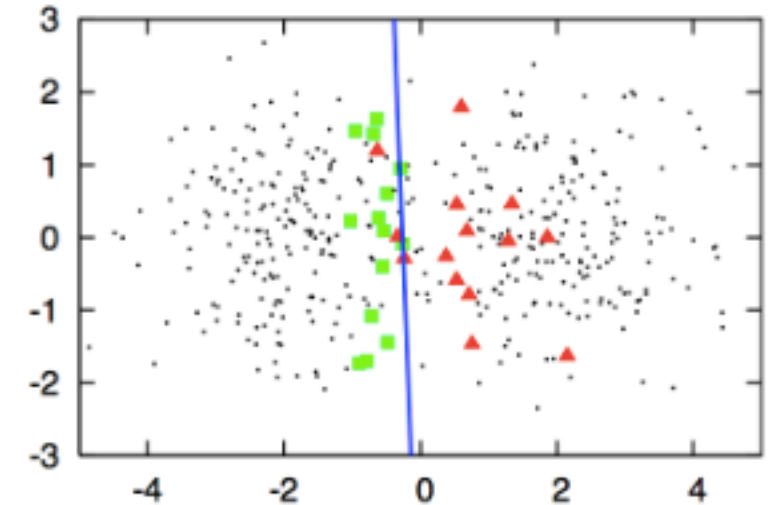
True Representation
(Assume Labels Are
Not Known)



Passive Learner
(Random Selection)



Active Learner
(Uncertainty Sampling)



Uncertainty Estimation for Neural Networks Using Robustness Testing

Use model's predictions on random augmentations of the input to measure consistency/uncertainty; e.g.,

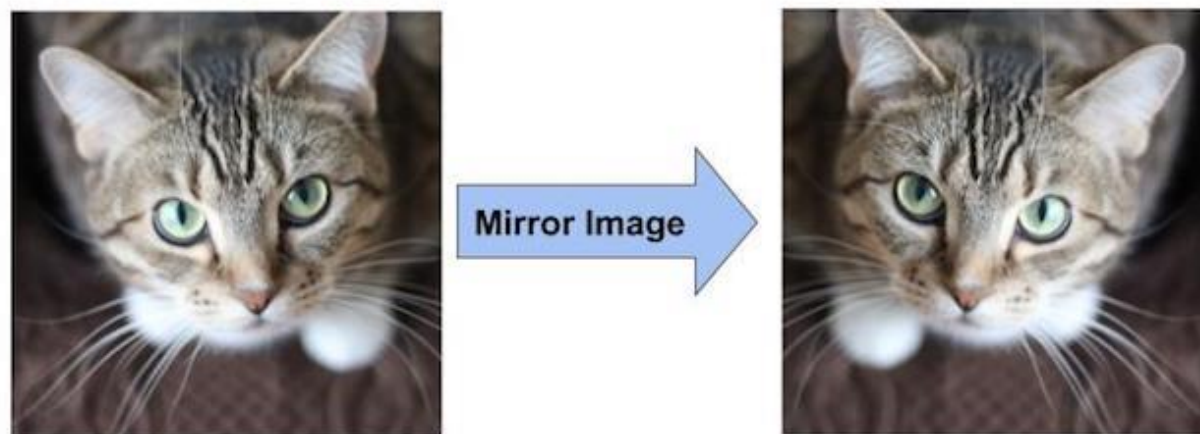
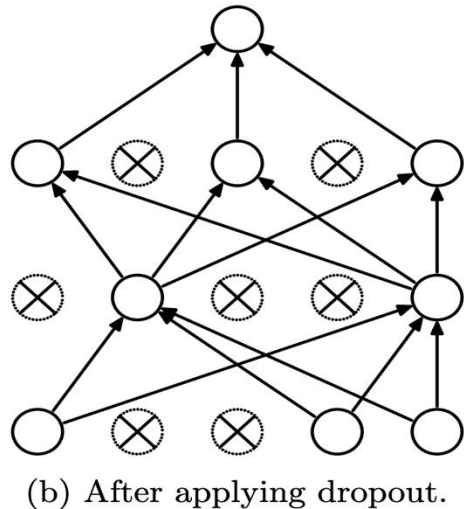


Figure Source: <https://learnopencv.com/understanding-alexnet/>

Uncertainty Estimation for Neural Networks Using Ensembles (Two Approaches)

1. Dropout with different masks at inference time



2. Multiple neural networks

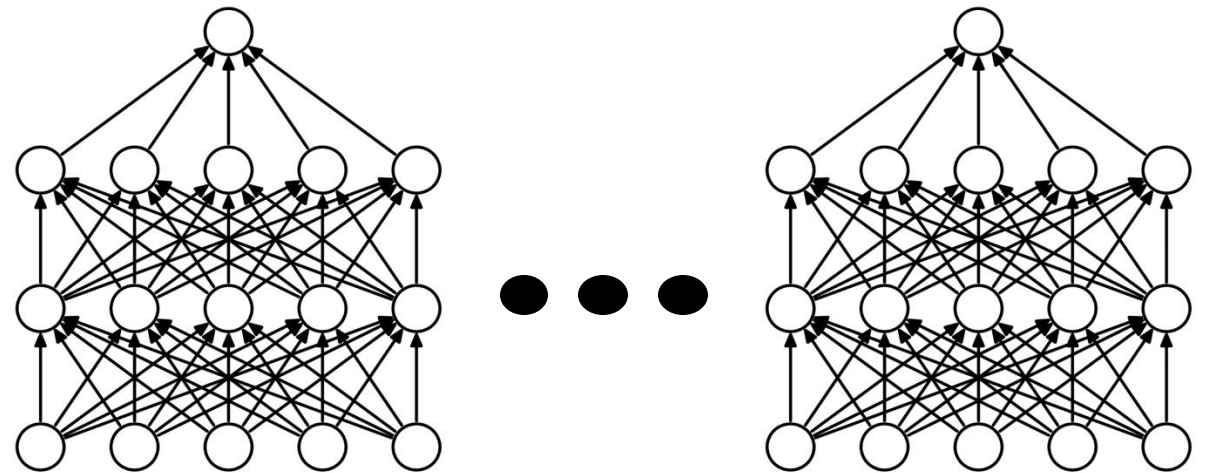
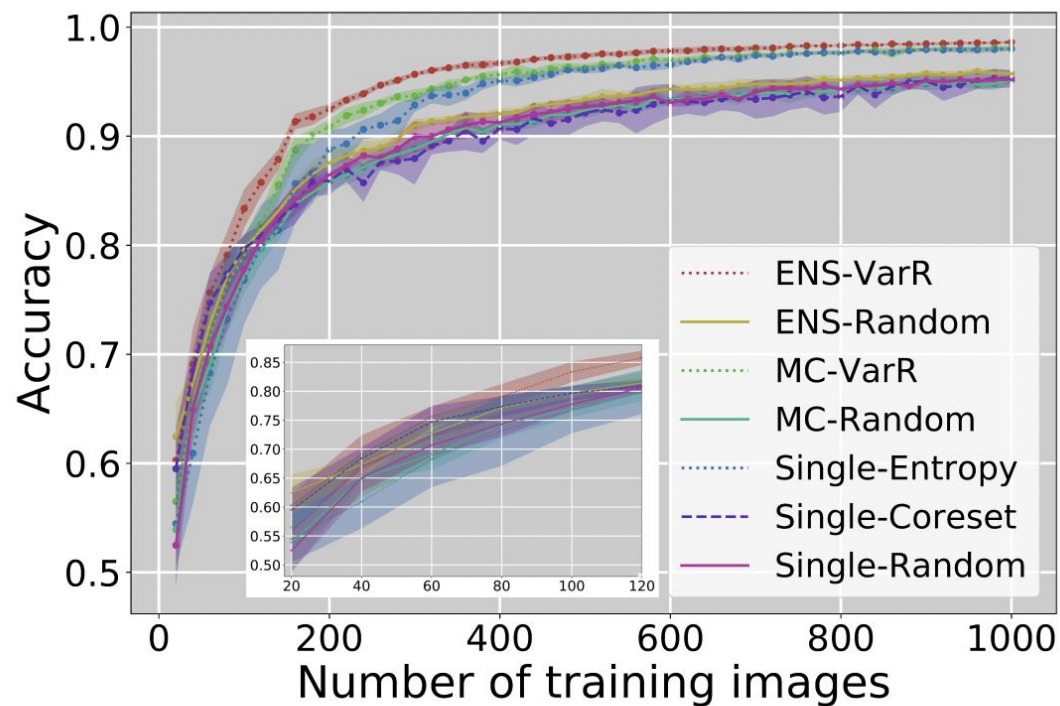


Figure Source: Srivastava et al. Dropout: A Simple Way to Prevent Neural Networks from Overfitting. Journal of Machine Learning Research. 2014

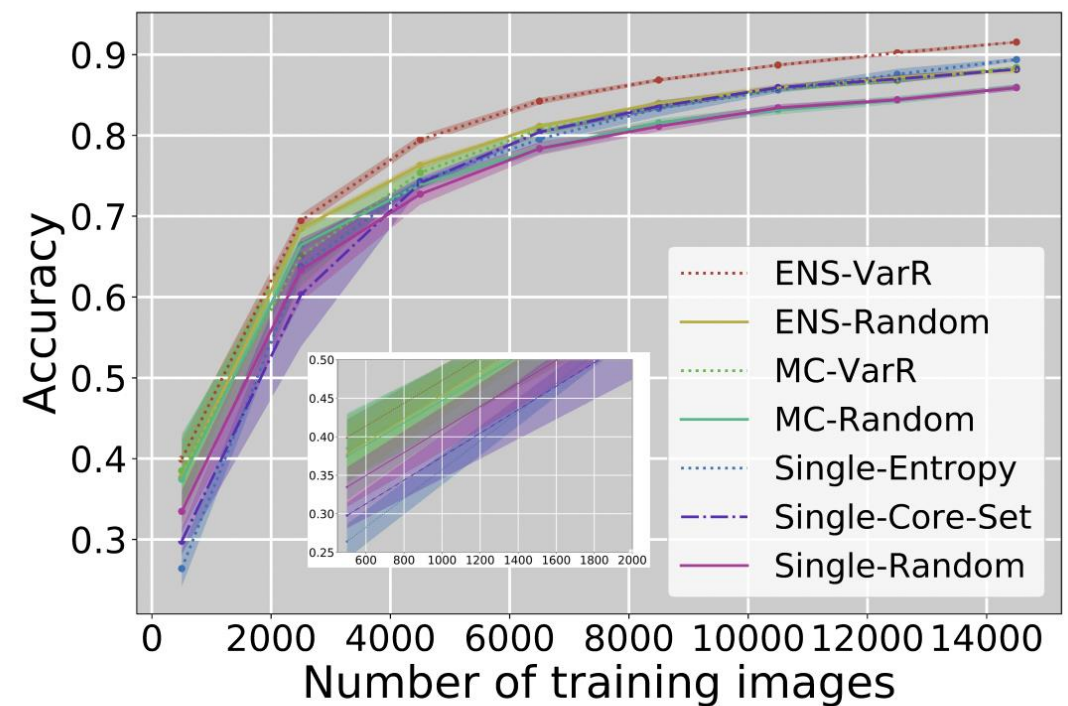
Predicted softmax probabilities used to estimate uncertainty, with average taken across all ensemble's softmax distributions

Uncertainty Estimation for Neural Networks Using Ensembles (Two Approaches)

Active learning methods can lead to **faster learning** and **reduced human annotation effort** than passive (random) learning for two image classification datasets



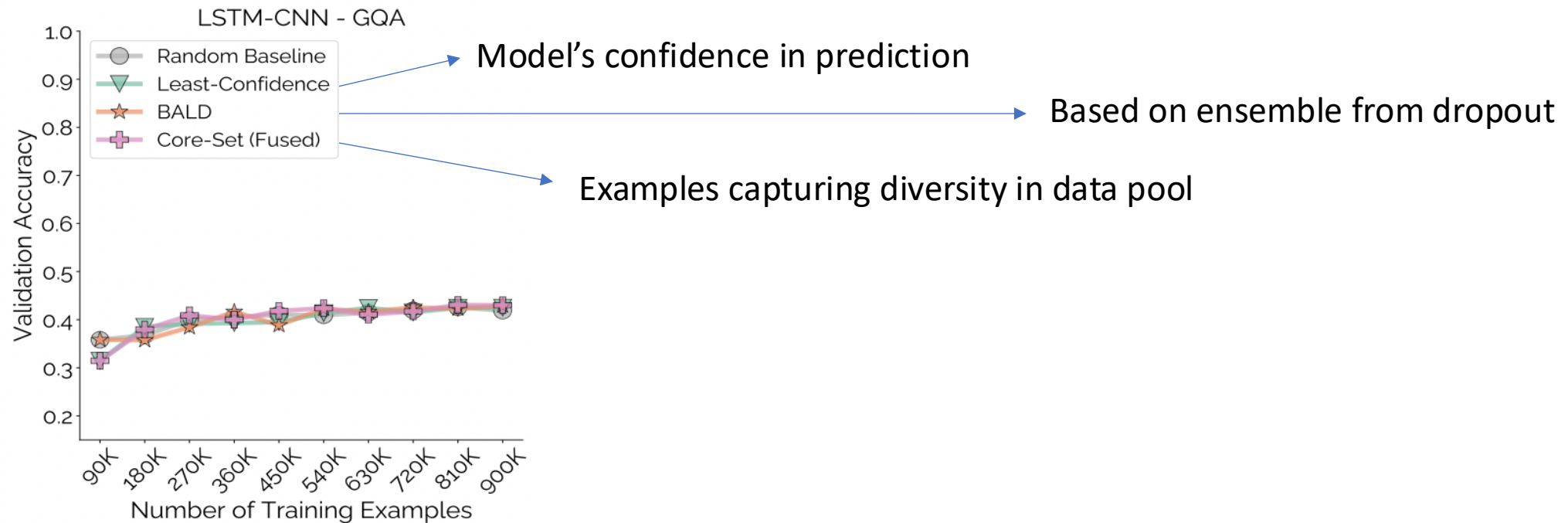
(a) MNIST on S-CNN



(b) CIFAR-10 on DenseNet

Active Learning Techniques Have Mixed Results

- **Successes:** image classification, object detection
- **Failure:** VQA (e.g., AL methods label 10% of overall pool per iteration; initial model trained on 10% of pool)



Active Learning Techniques Have Mixed Results

Why might AL methods perform comparable or worse to random selection?

- Challenging examples to learn are sampled; e.g.,

VQA-2

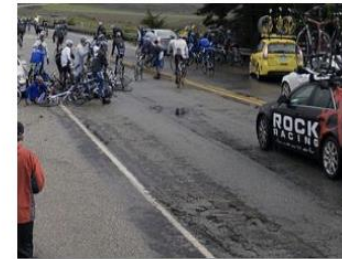


External knowledge:
What does the symbol on the blanket mean?

GQA



Underspecification:
What is on the shelf?



OCR:
What is the first word on the black car?

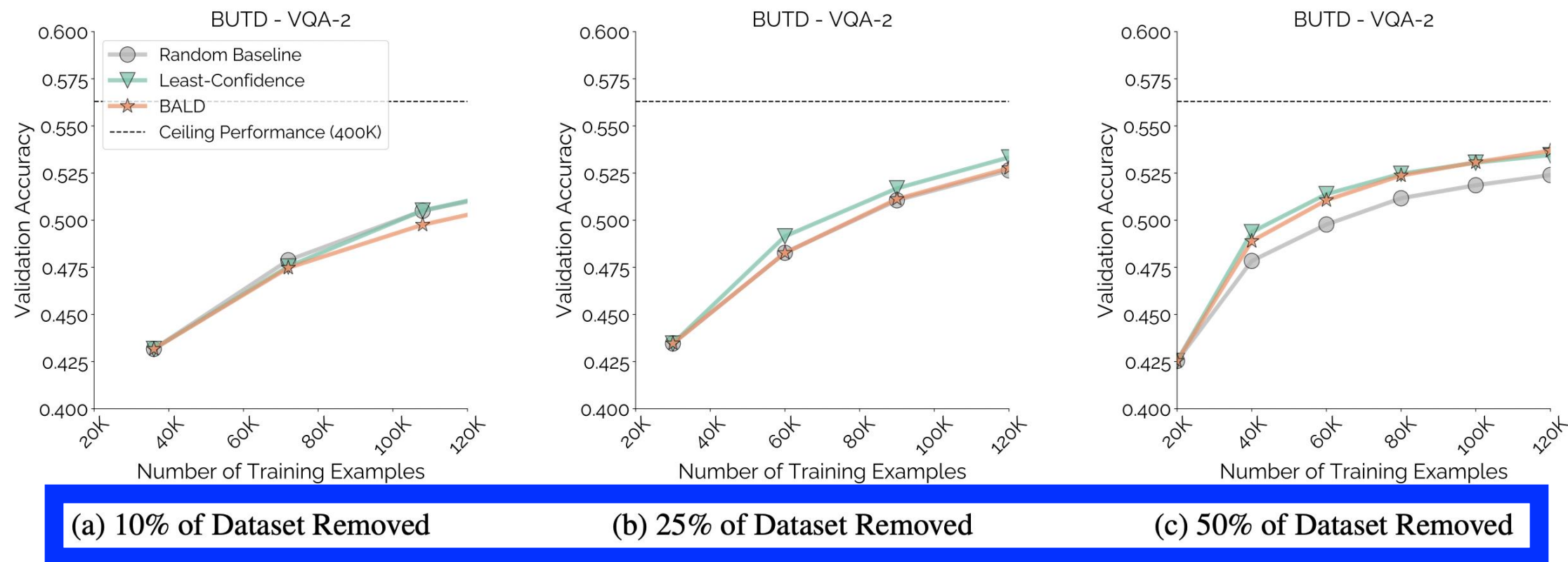


Multi-hop reasoning:
What is the vehicle that is driving down the road the box is on the side of?

Figure 7: Example groups of collective outliers in the VQA-2 and GQA datasets.

Idea: Remove “Unlearnable” Data from Pool

Performance compared to random selection improves for AL approaches when removing “challenging” examples from data pool



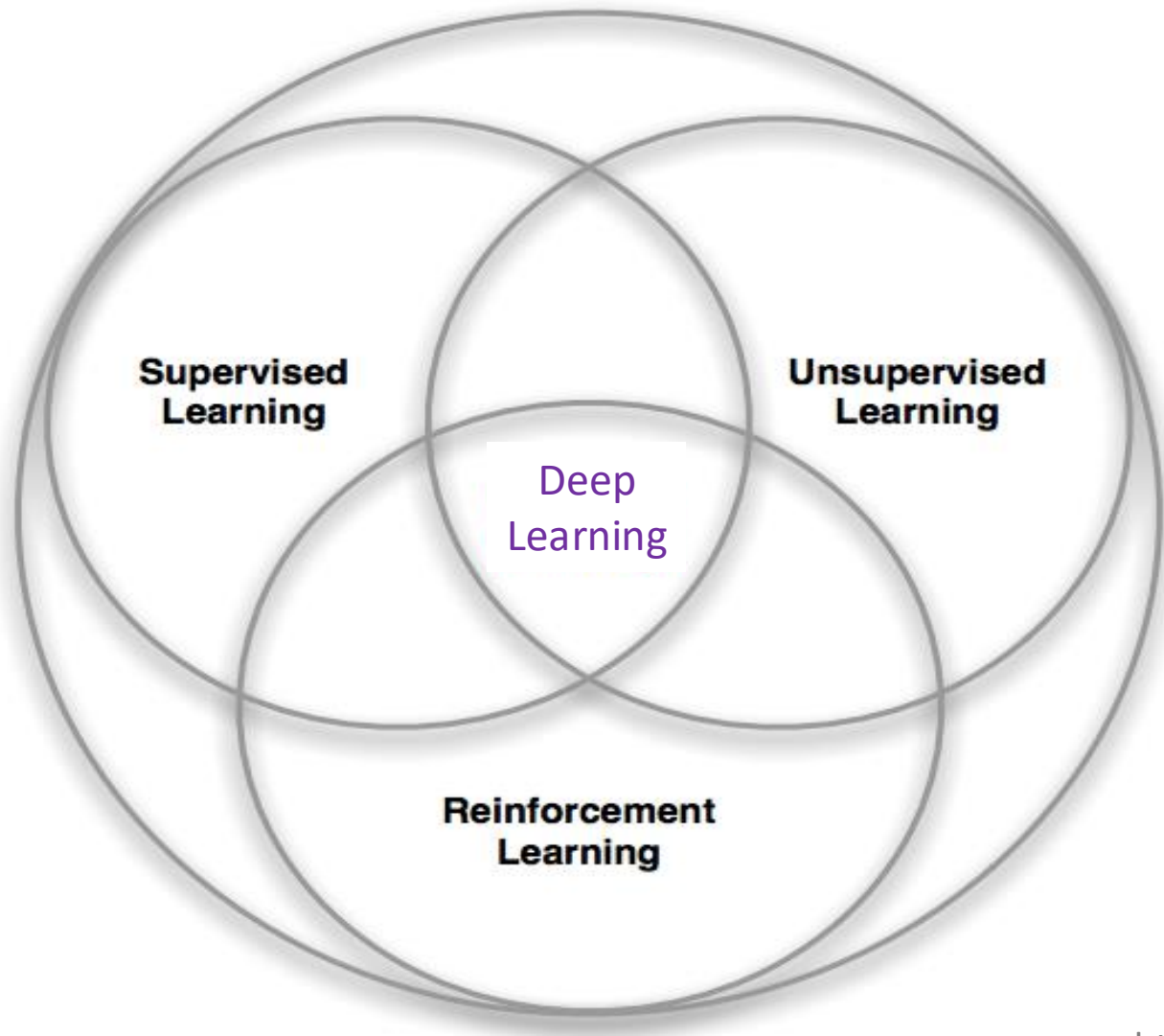
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- And more...

How to provide **coarser** human supervision?



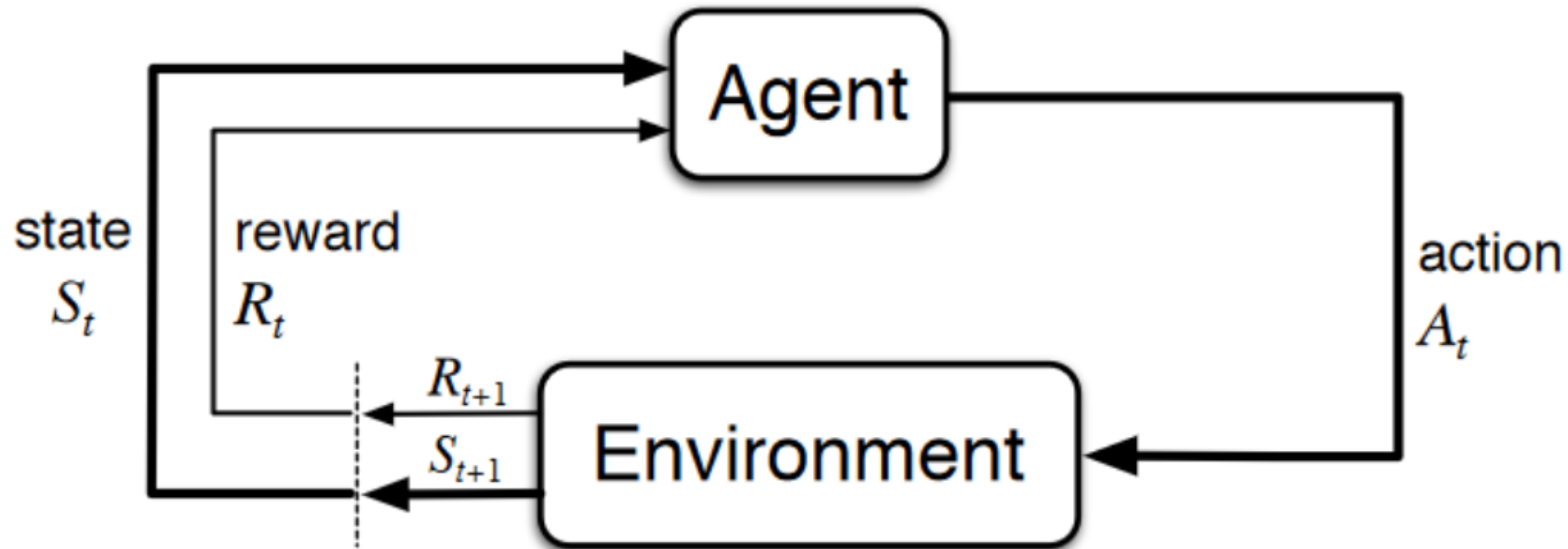
Reinforcement Learning Contextualized



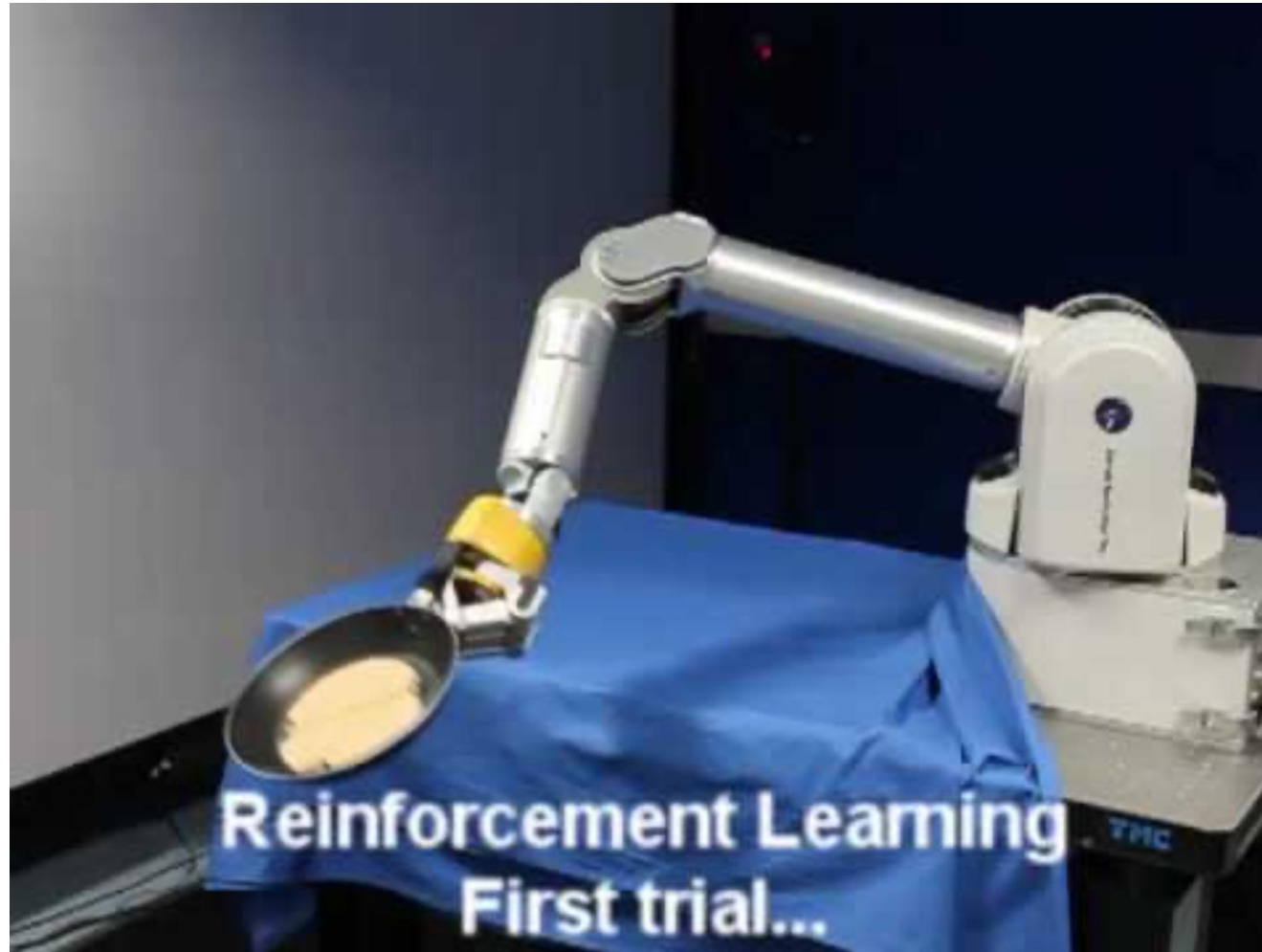
Unsupervised learning involves least amount of human effort followed by **reinforcement learning** with rewards and then **supervised learning** with labels

Reinforcement Learning: Recall From Josh's Lecture On "Tuning Foundation Models"

Agent takes actions in an environment to maximize the total reward

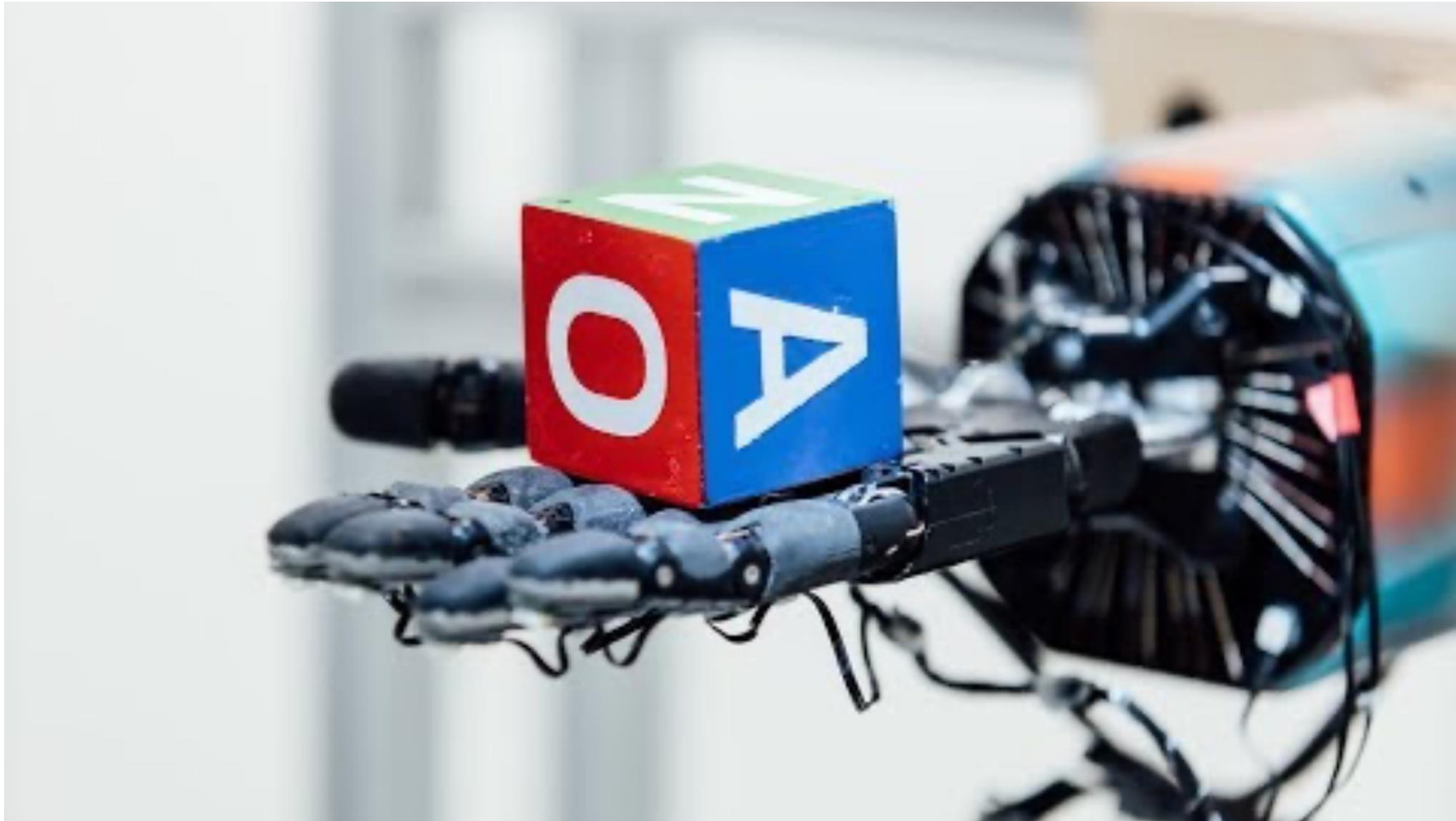


Application: Learning to Flip Pancakes



https://www.youtube.com/watch?v=W_gxLKSSsIE&list=PL5nBAYUyJTrM48dViiby68urttMIUv7e

Application: Learning Dexterity



<https://www.youtube.com/watch?v=jwSbzNHGfIM>

Theoretical Foundation of RL: Markov Decision Processes (MDP)

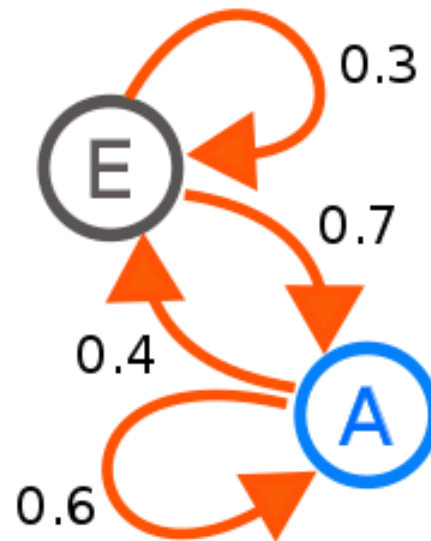
MDP consists of:

Markov process + Markov reward process + Actions

Markov Process (aka – Markov Chain)

- A set of states with transition probabilities defining system dynamics

e.g.,



- How many states are in the above example?
- Markov property: only current state dictates future system dynamics

Theoretical Foundation of RL: Markov Decision Processes (MDP)

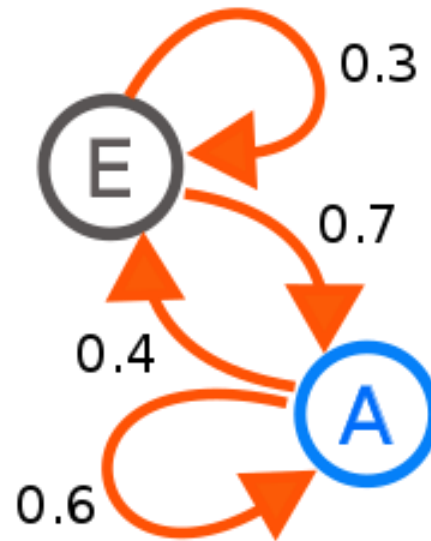
MDP consists of:

Markov process + Markov reward process + Actions

Markov Reward Process

- Additional variable accumulated over time to reflect the reward

e.g.,



- A discount factor between 0 and 1, gamma, indicates how far in the future rewards are considered to estimate a state's expected reward

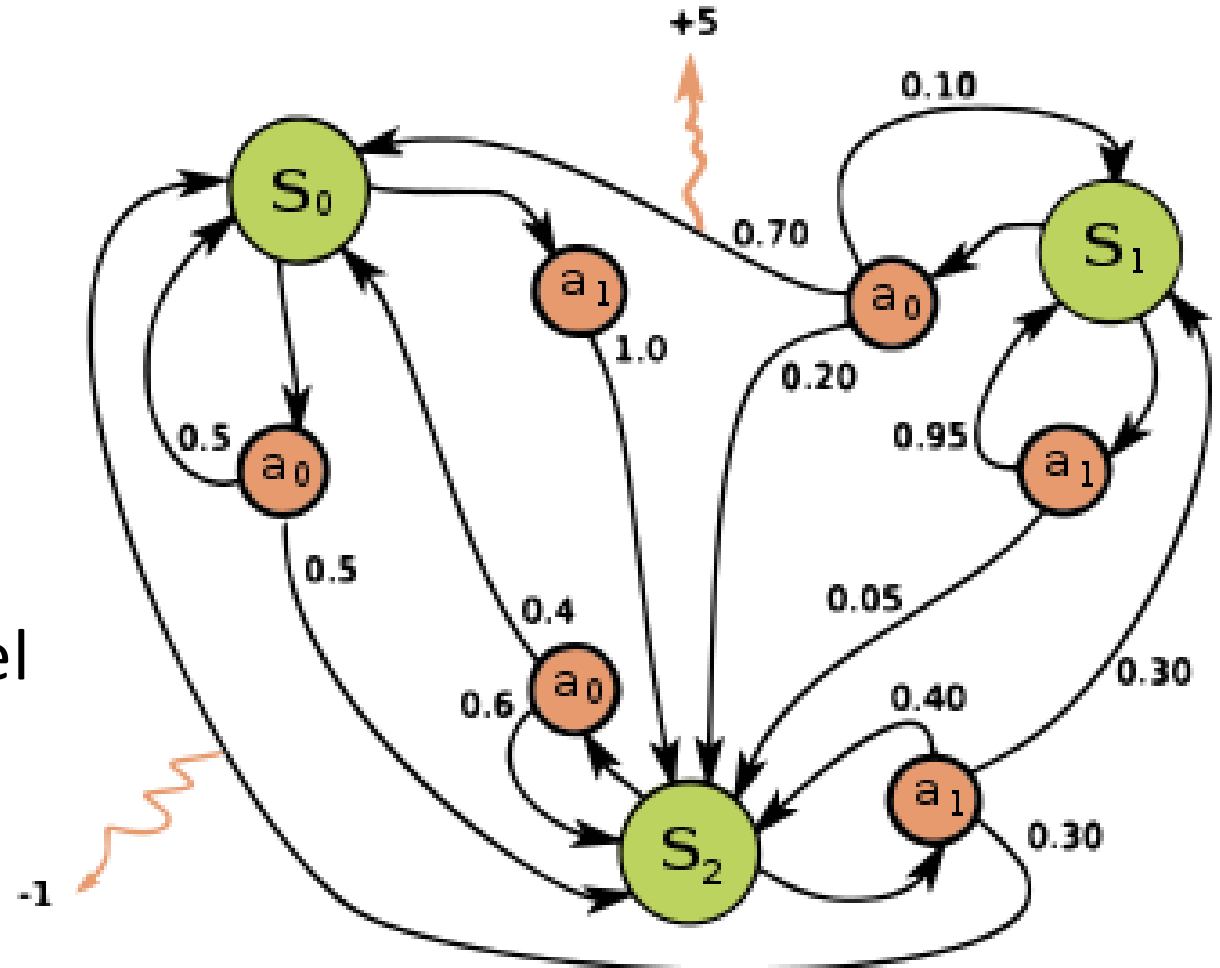
Theoretical Foundation of RL: Markov Decision Processes (MDP)

MDP consists of:

Markov process + Markov reward process - **Actions**

Third Ingredient of “Actions” Leads to a Markov Decision Process

- At each time step, the chosen action influences what will become the next state
- Probability allows for randomness (e.g., turn car wheel to go right on icy patch but car slips and continues straight)



Policy

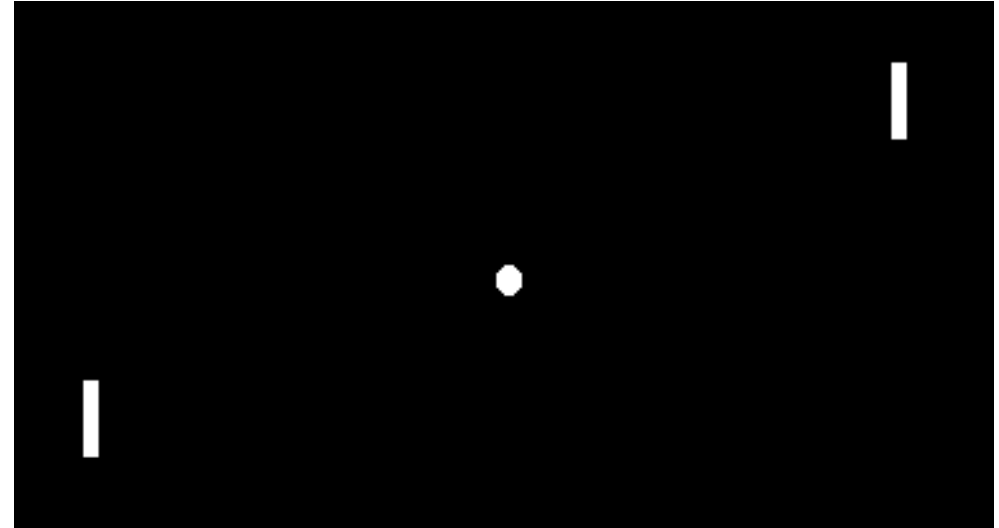
- Rules that dictate how an agent behaves
- Defined using a probability distribution over potential actions so there is randomness in the agent's behavior
- RL goal: find a good policy

Basic Ingredients for RL Methods

1. Observations of environment
2. Possible actions
3. Rewards

Basic Ingredients for RL Methods; e.g., Pong

1. Observations of environment:

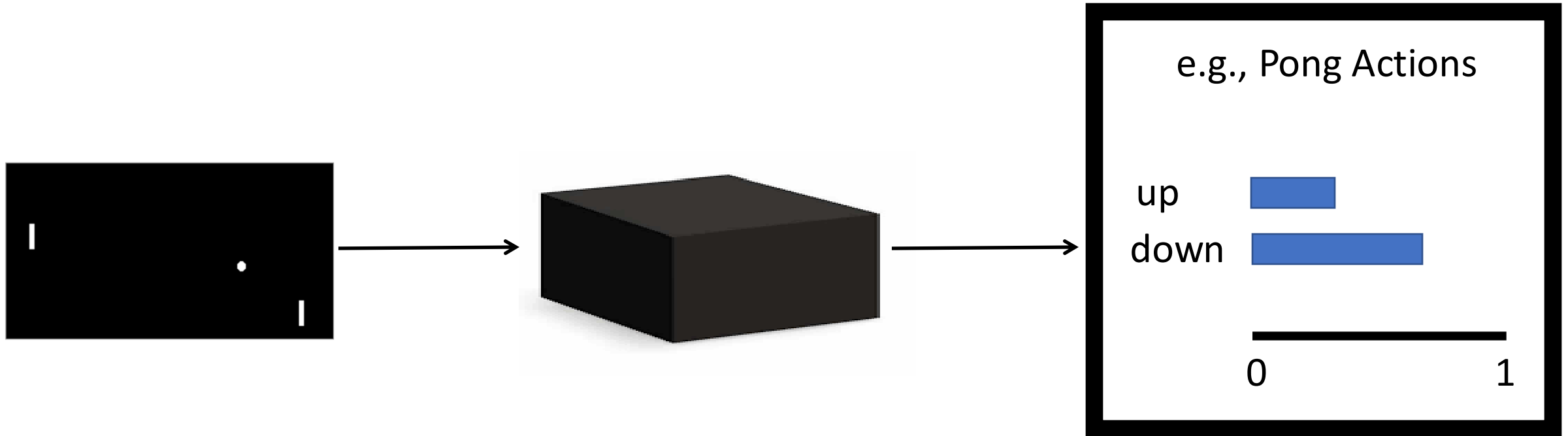


2. Possible actions: “up” and “down” paddle movements

3. Rewards: -1 if missed the ball; +1 reward if ball goes past opponent; 0 otherwise

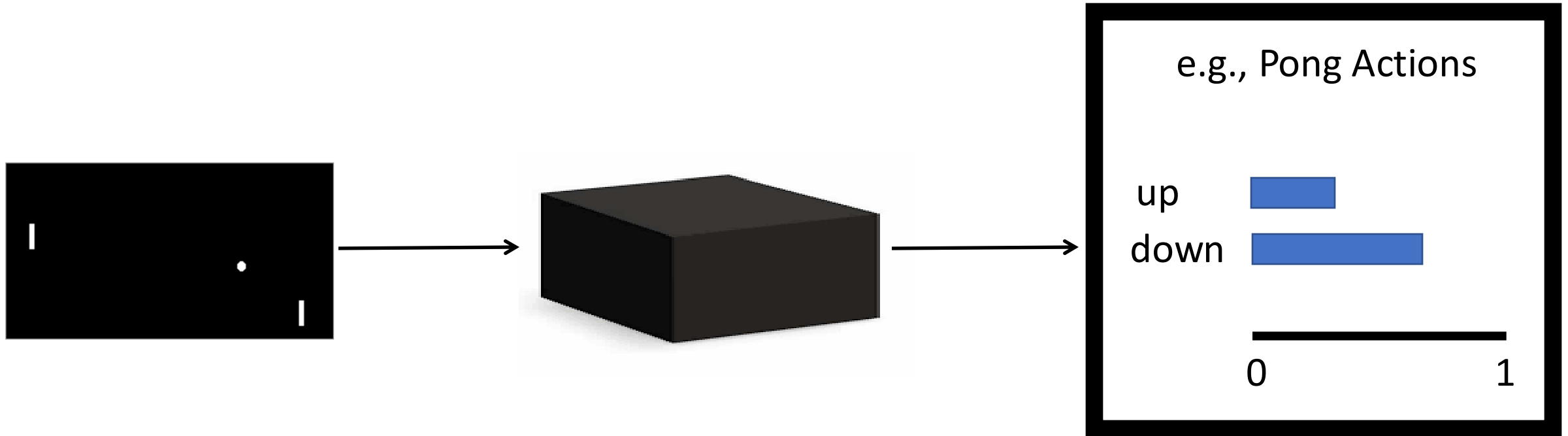
Goal: Maximize rewards computing optimal “up” and “down” paddle movements

Policy Gradients: Approach



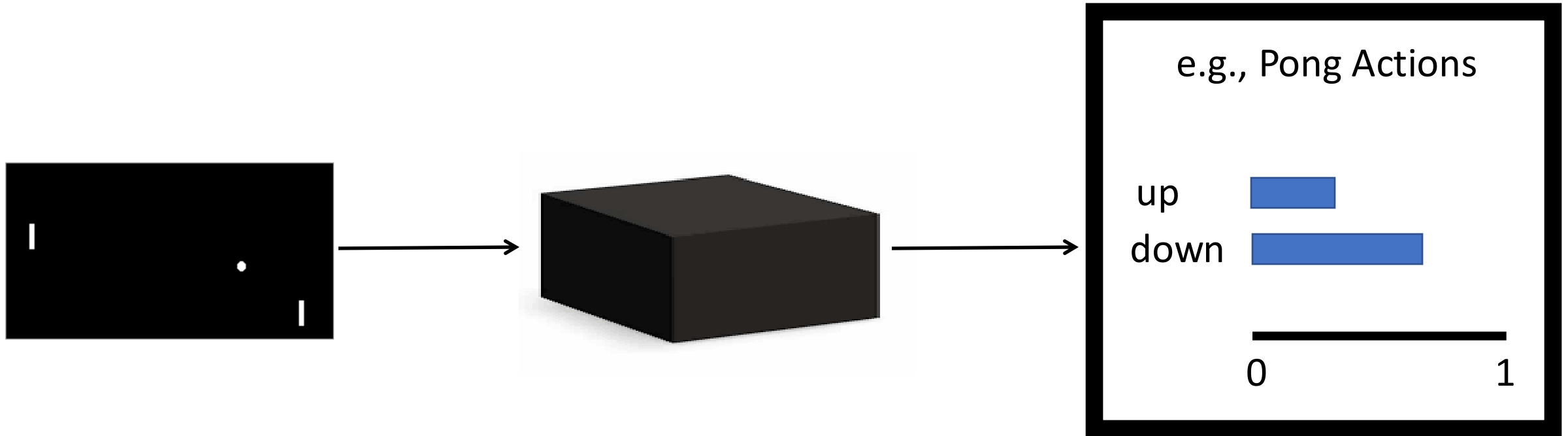
Policies (i.e., rules dictating how an agent behaves) are represented using a probability for each possible action

Policy Gradients: Approach



Neural network trained to increase probability of actions leading to a good total reward and decrease probability of actions leading to a bad total reward

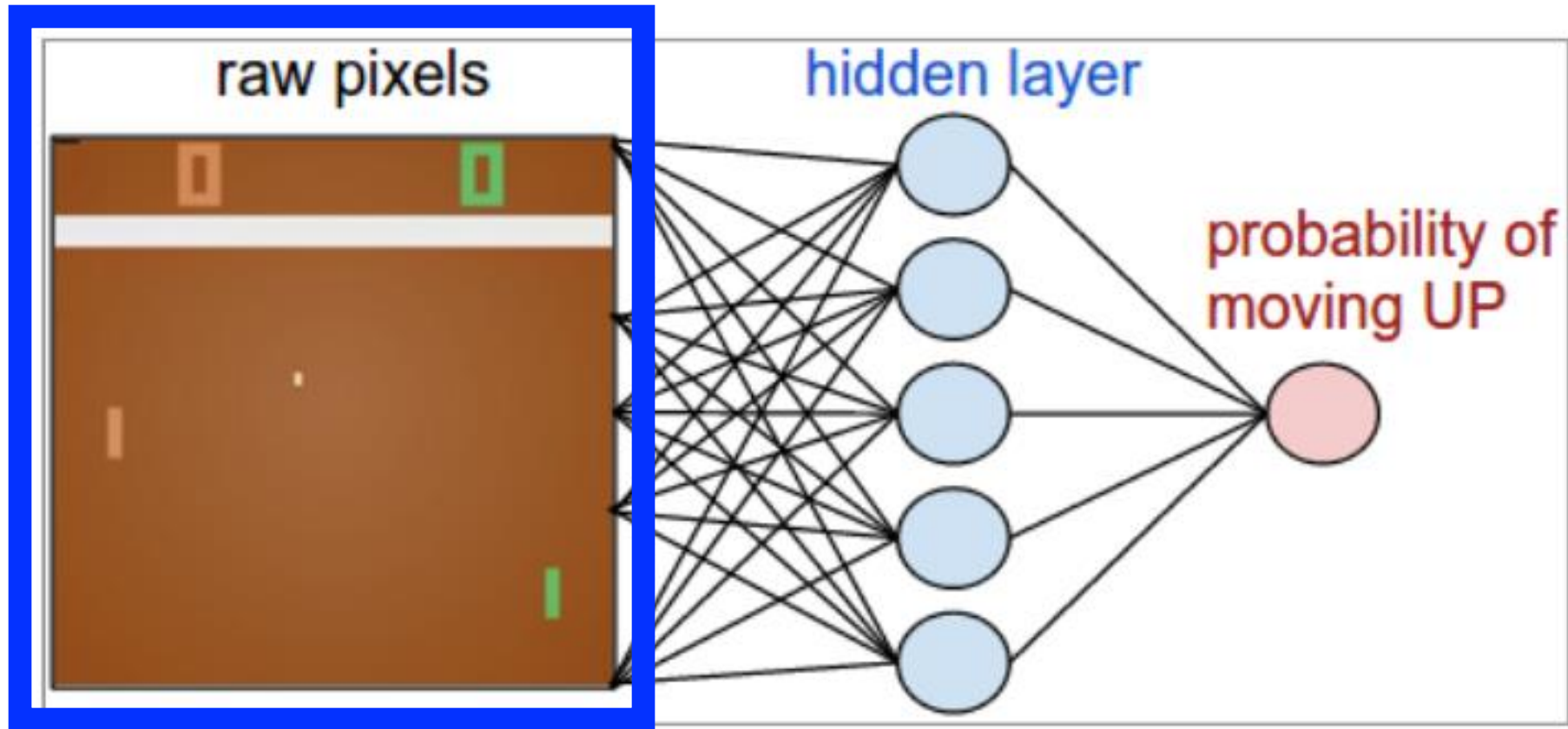
Policy Gradients: Approach



How does this approach support “exploration”?

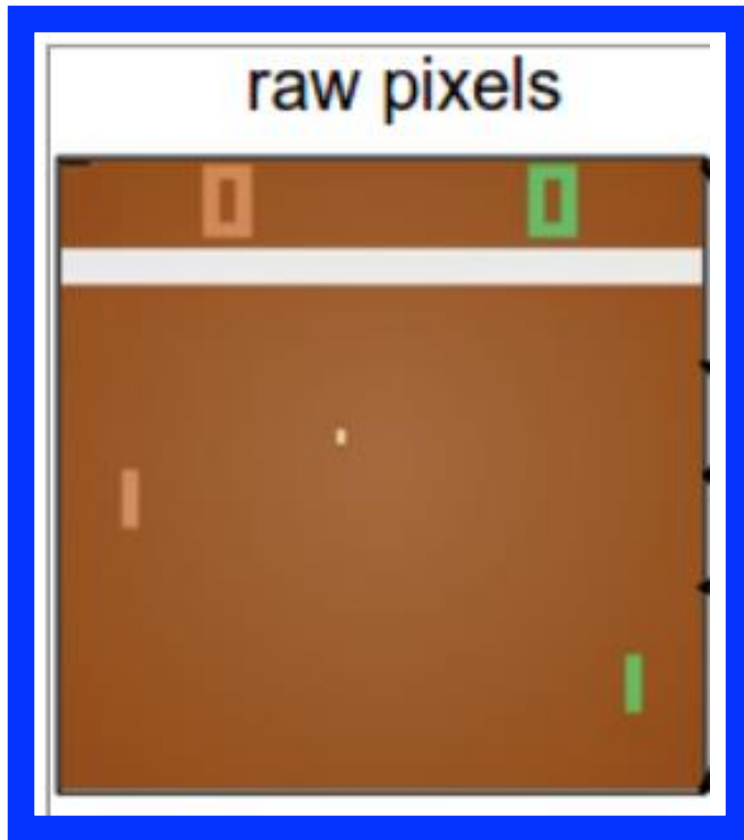
e.g., Learning Pong (2-layer NN with 200 hidden units)

Given **game state (as image)**, decide if to move paddle **up** (vs **down**)

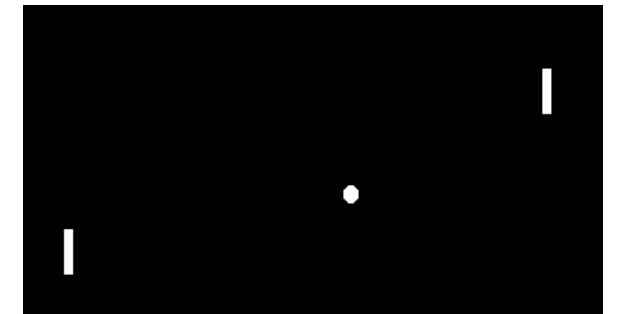
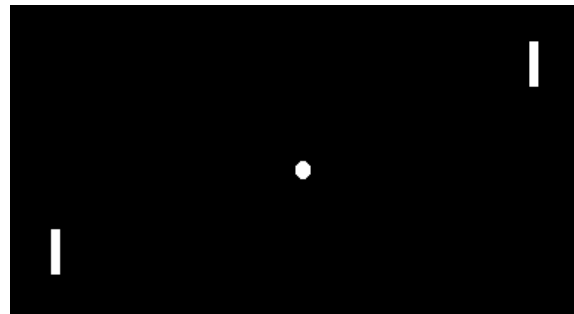


e.g., Learning Pong (2-layer NN with 200 hidden units)

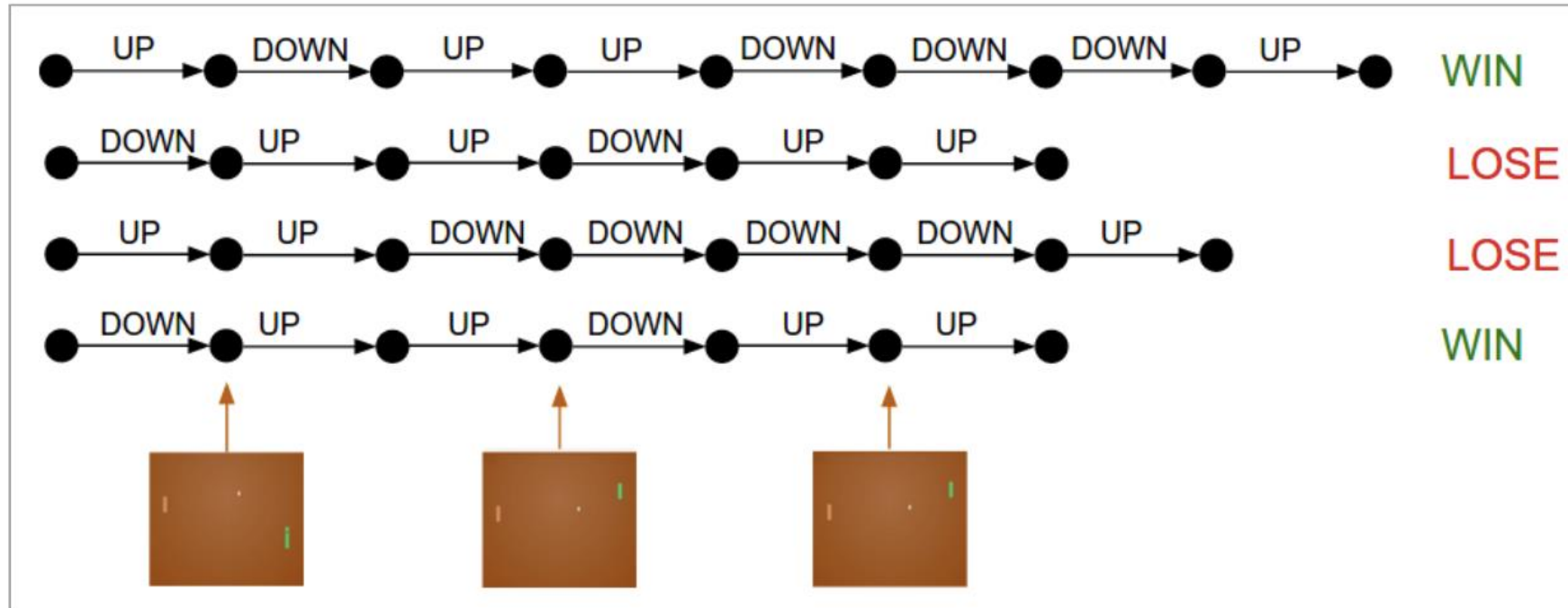
How to capture motion in **game state (i.e., image)**?



Use **difference image**
(i.e., subtract frame current from last frame)



e.g., Learning Pong: Training Protocol

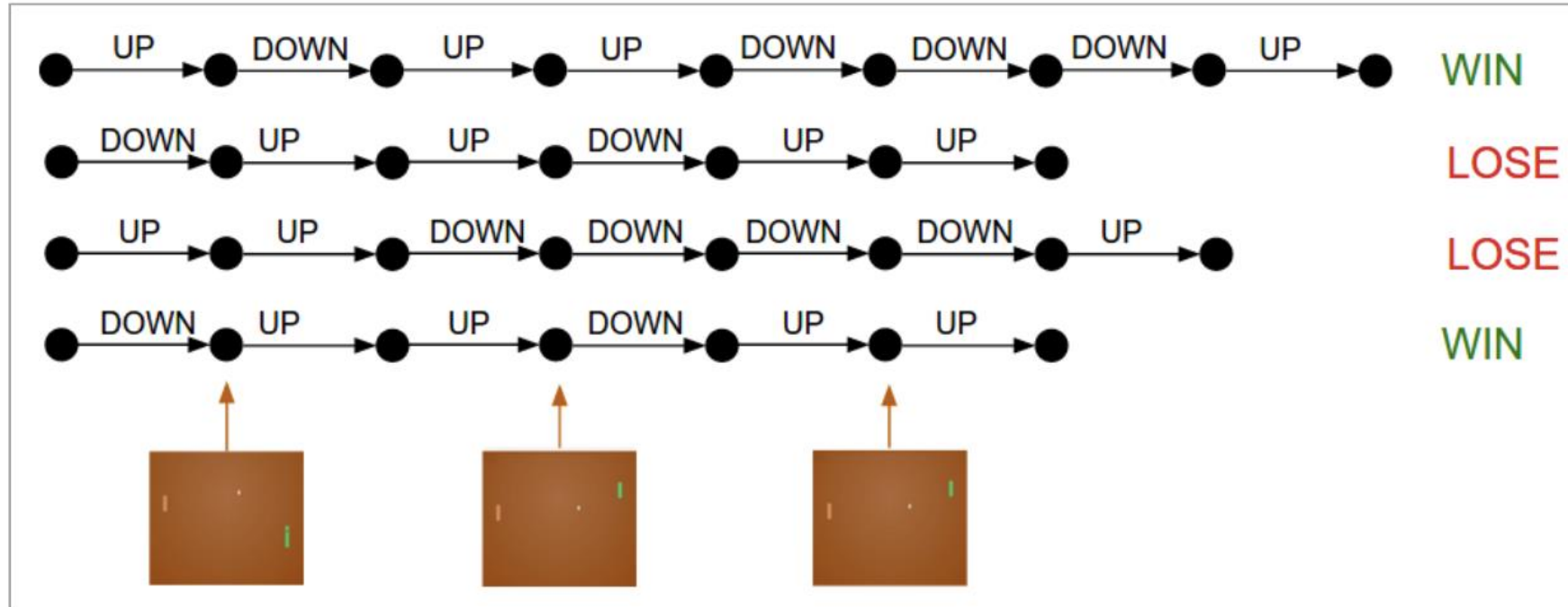


Assume 100 games played with 200 images/game

How many (action) decisions were made?

- 100x199

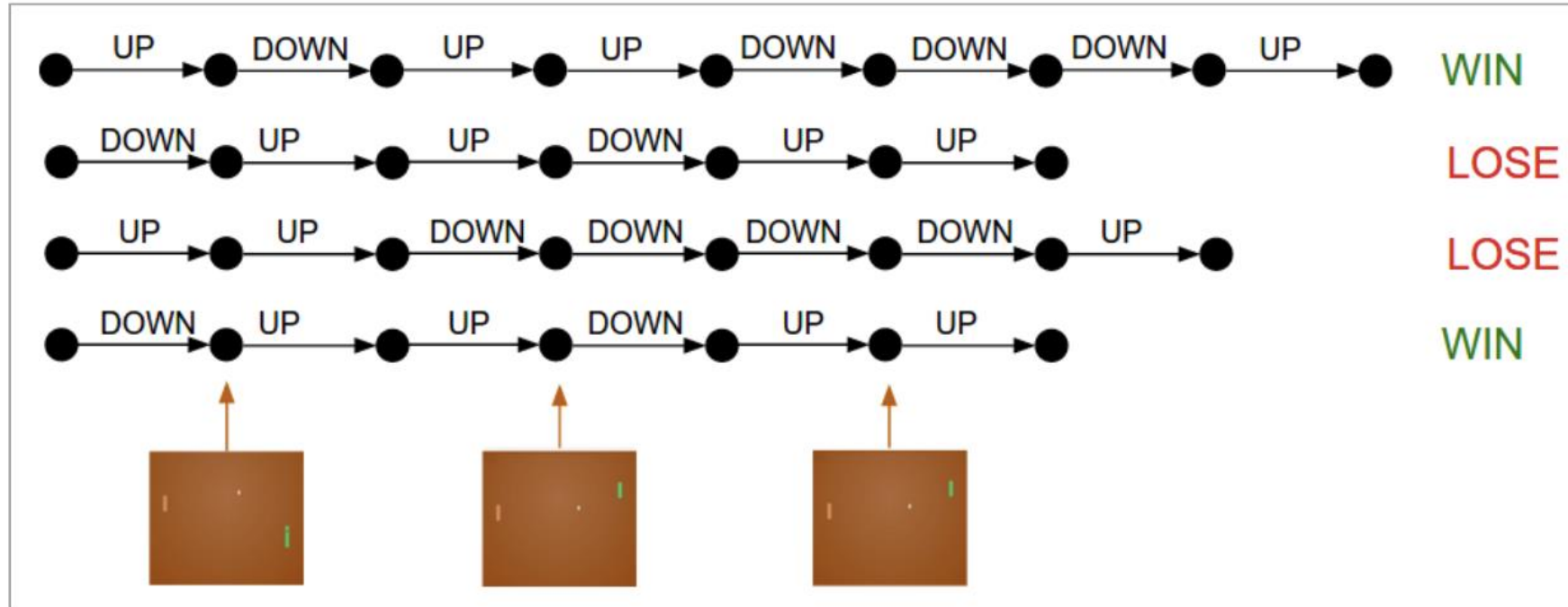
e.g., Learning Pong: Training Protocol



Assume 100 games played with 200 images/game; 12 games won & 88 lost

- How many winning decisions were made?
 - 2,388 (i.e., 12 x 199)
- How many losing decisions were made?
 - 17,512 (i.e., 88 x 199)

e.g., Learning Pong: Training Protocol



After 100 games, gradient updated to **encourage** actions that led to good outcomes (i.e., 2,388 winning up/downs) and **discourage** actions that led to bad outcomes (17,512 losing up/downs)

e.g., Pong Model: RL Model vs Pong's AI Model



<https://www.youtube.com/watch?v=YOW8m2YGtRg&t=16s>

Why Reinforcement Learning is Difficult

- Agent must infer **what it did wrong/right** and so **how performance can be maintained/improved** based on (delayed) rewards; e.g., win/lose pong
- Agent needs to strike the appropriate balance between **exploration** and **exploitation**; e.g., order one's favorite food vs something new

Today's Topics

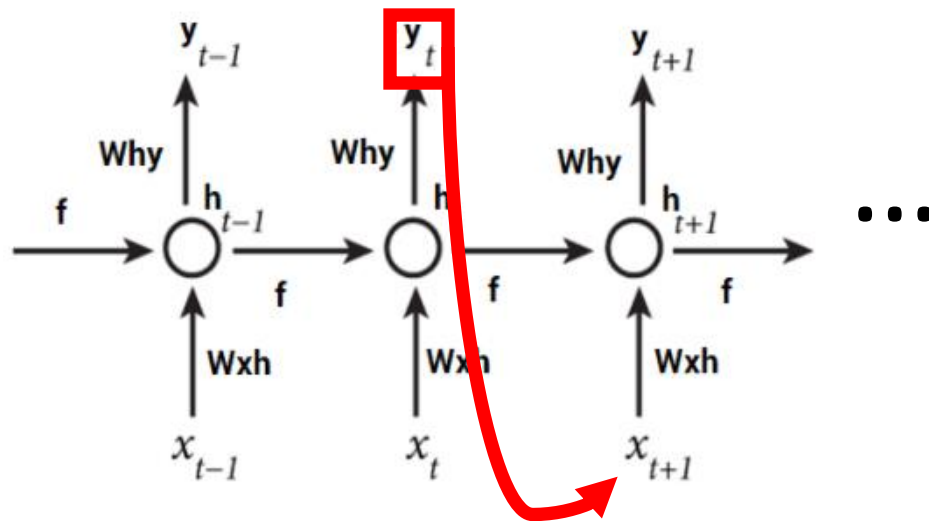
- Motivation
- Active learning
- Reinforcement learning
- Self-supervised learning
- And more...

How to **avoid extra** human supervision?

Recall, self-supervised learning in previous lectures; e.g.,

RNNs

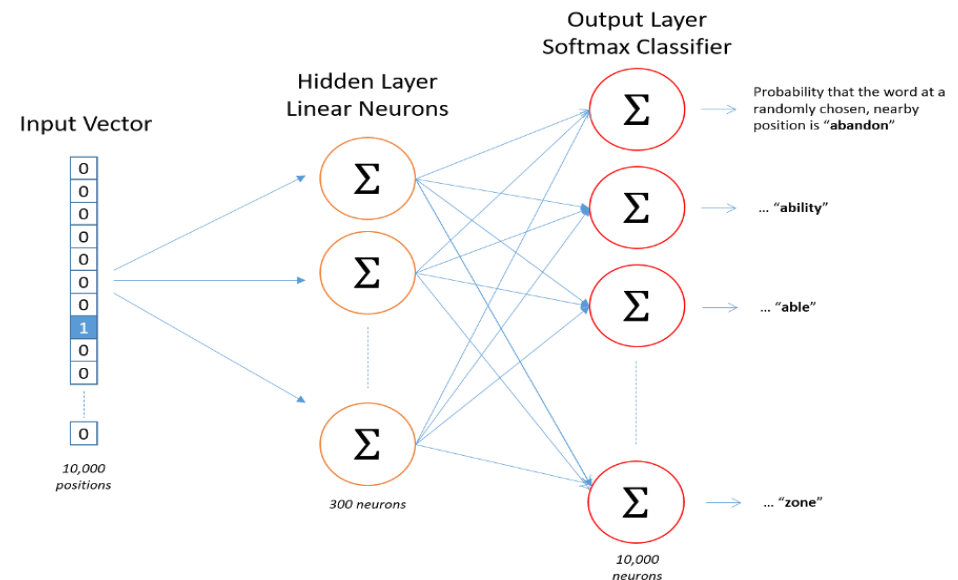
(e.g., predict next character)



<https://www.analyticsvidhya.com/blog/2017/12/introduction-to-recurrent-neural-networks/>

Word embeddings

(e.g., predict nearby word for given word for word2vec)



<https://towardsdatascience.com/word2vec-skip-gram-model-part-1-intuition-78614e4d6e0b>

Self-Supervised Learning: Data Is Supervision

- Relatively Cheap
- Can Collect Data Fast



<https://lovevery.com/community/blog/child-development/the-surprising-learning-power-of-a-household-mirror/>



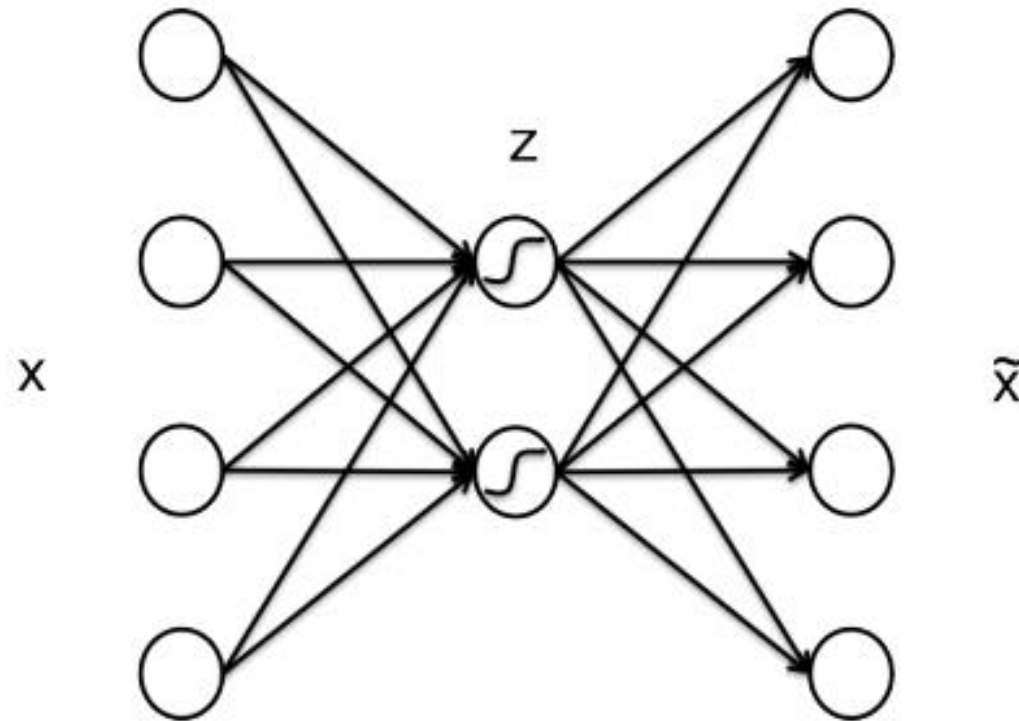
<https://www.rockettes.com/blog/how-to-use-the-mirror-in-dance-class/>

Types of Approaches

- Generative-based methods
- Generative adversarial networks
- Context-based methods

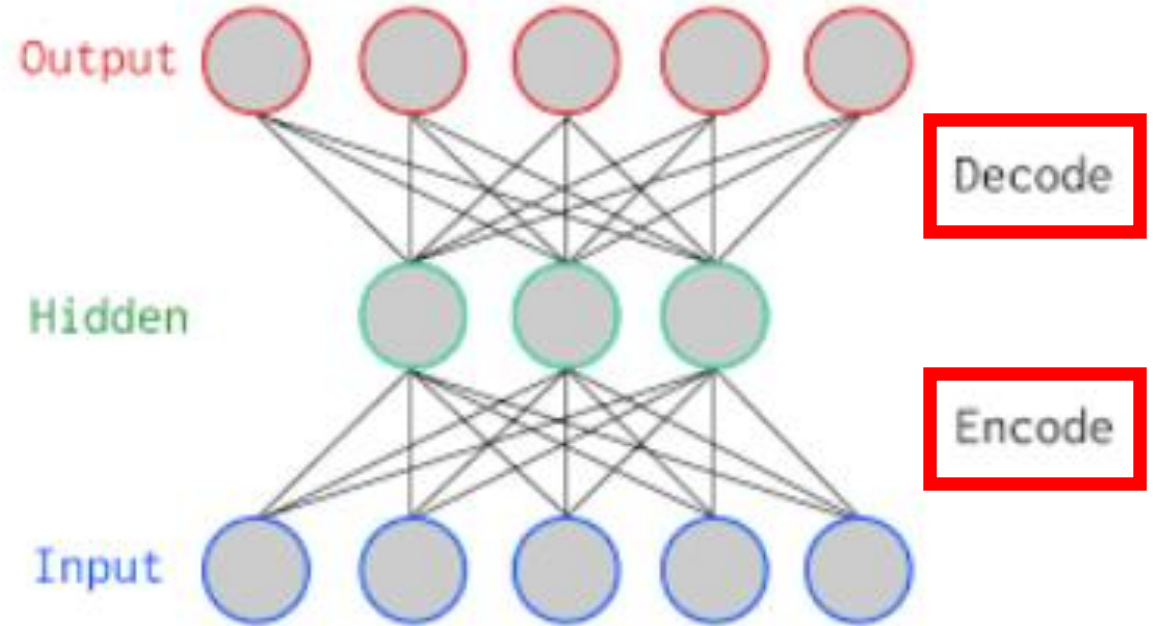
e.g., Image Autoencoder

- Learn to copy the input to the output



e.g., Image Autoencoder Architecture

- Consists of two parts:
 - **Encoder:** compresses inputs to an internal representation
 - **Decoder:** tries to reconstruct the input from the internal representation

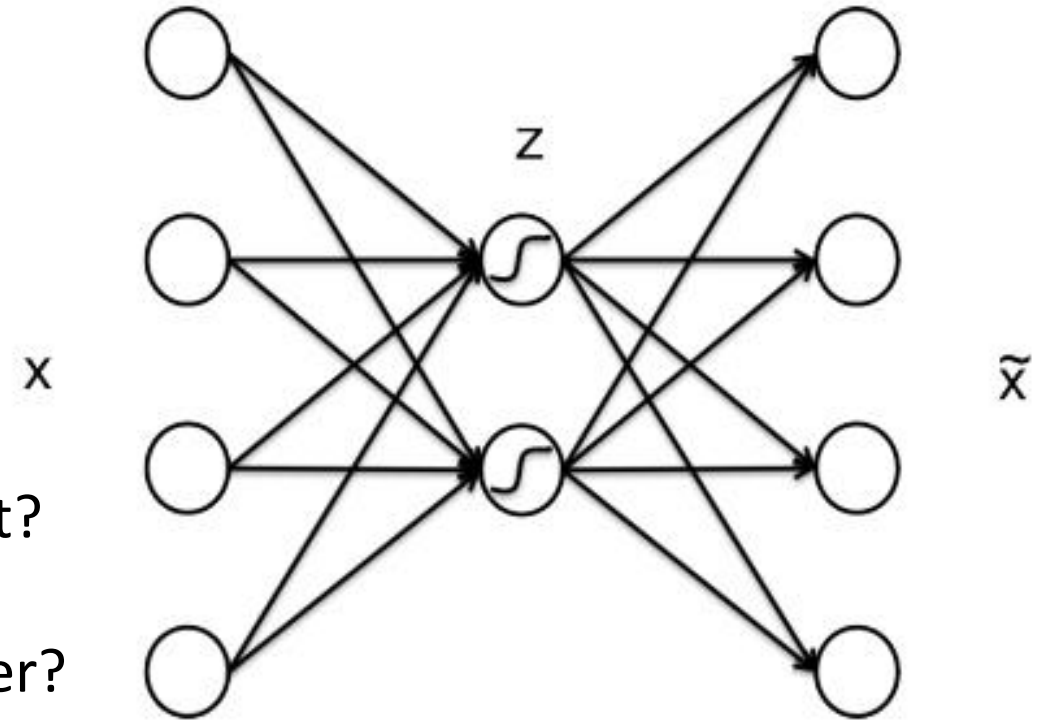


e.g., Image Autoencoder Architecture

- Given this input 620 x 426 image (264,120 pixels):

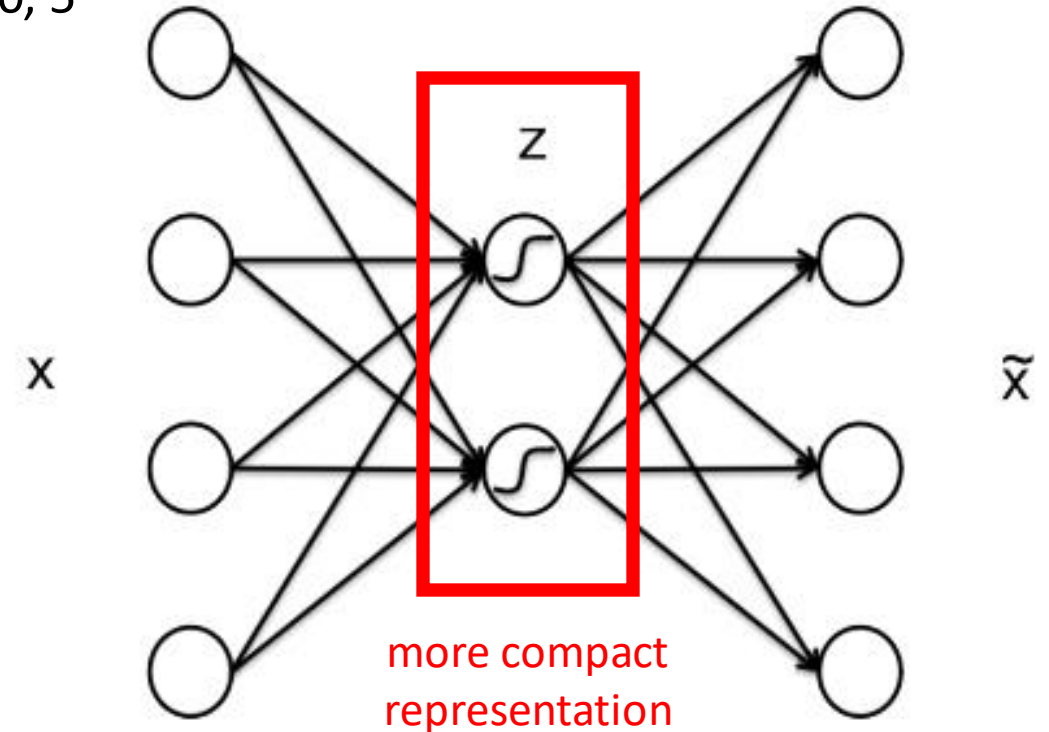


- What would a perfect autoencoder predict?
 - Itself
- What number of nodes are in the final layer?
 - 264,120



e.g., Image Autoencoder Idea

- Intuition: which number sequence is easier to remember?
 - **A:** 30, 27, 22, 11, 6, 8, 7, 2
 - **B:** 30, 15, 46, 23, 70, 35, 106, 53, 160, 80, 40, 20, 10, 5
- **B:** need learn only two rules
 - If even, divide by 2
 - If odd, multiply by 3 and add 1



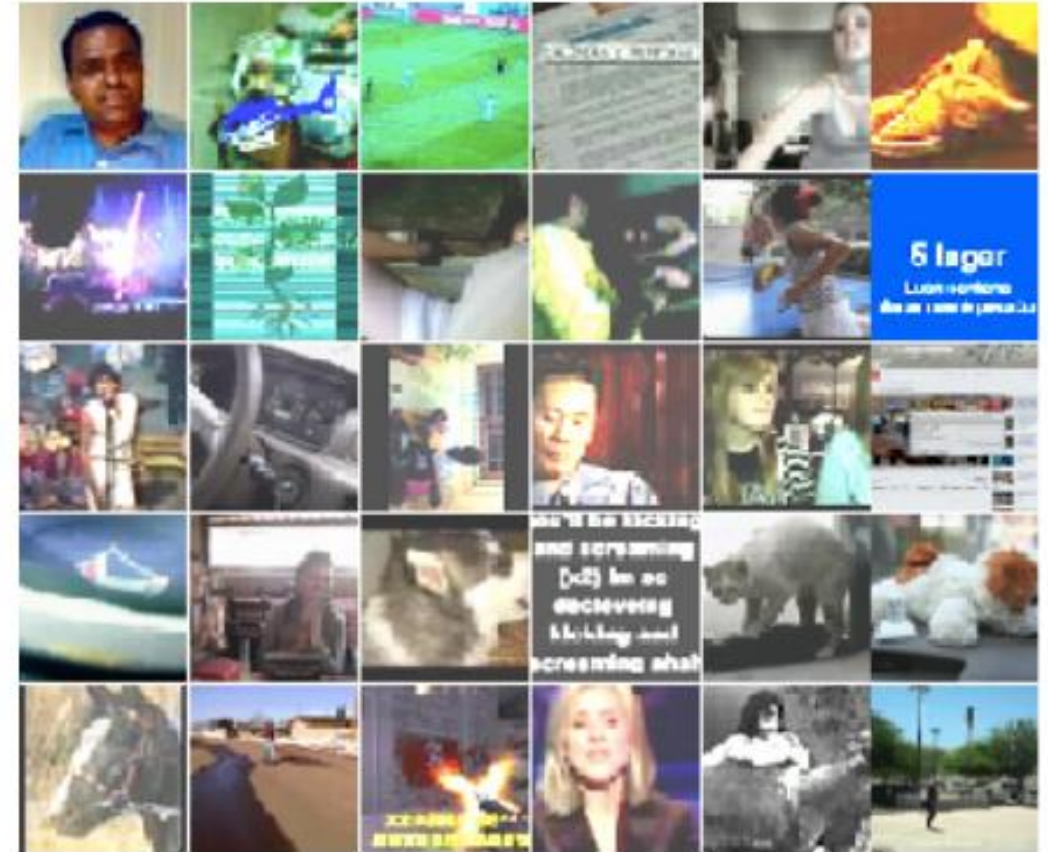
e.g., Image Autoencoder Training

Repeat until stopping criterion met:

1. **Forward pass:** propagate training data through network to make prediction
2. **Backward pass:** using predicted output, calculate error gradients backward
3. Update each weight using calculated gradients

e.g., Image Autoencoder Features

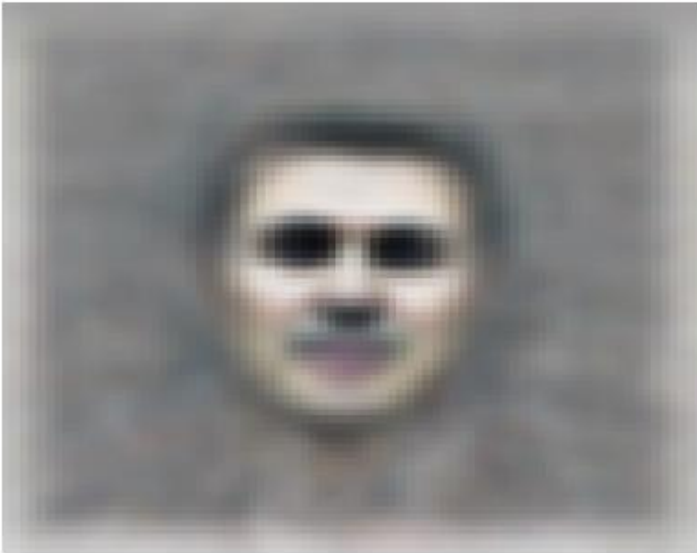
- e.g., training data:
 - 1 image taken from 10 million YouTube videos
 - Each image is in color and 200x200 pixels



- What features do you think it learned?

e.g., Image Autoencoder Features

- Features learned include:



human face



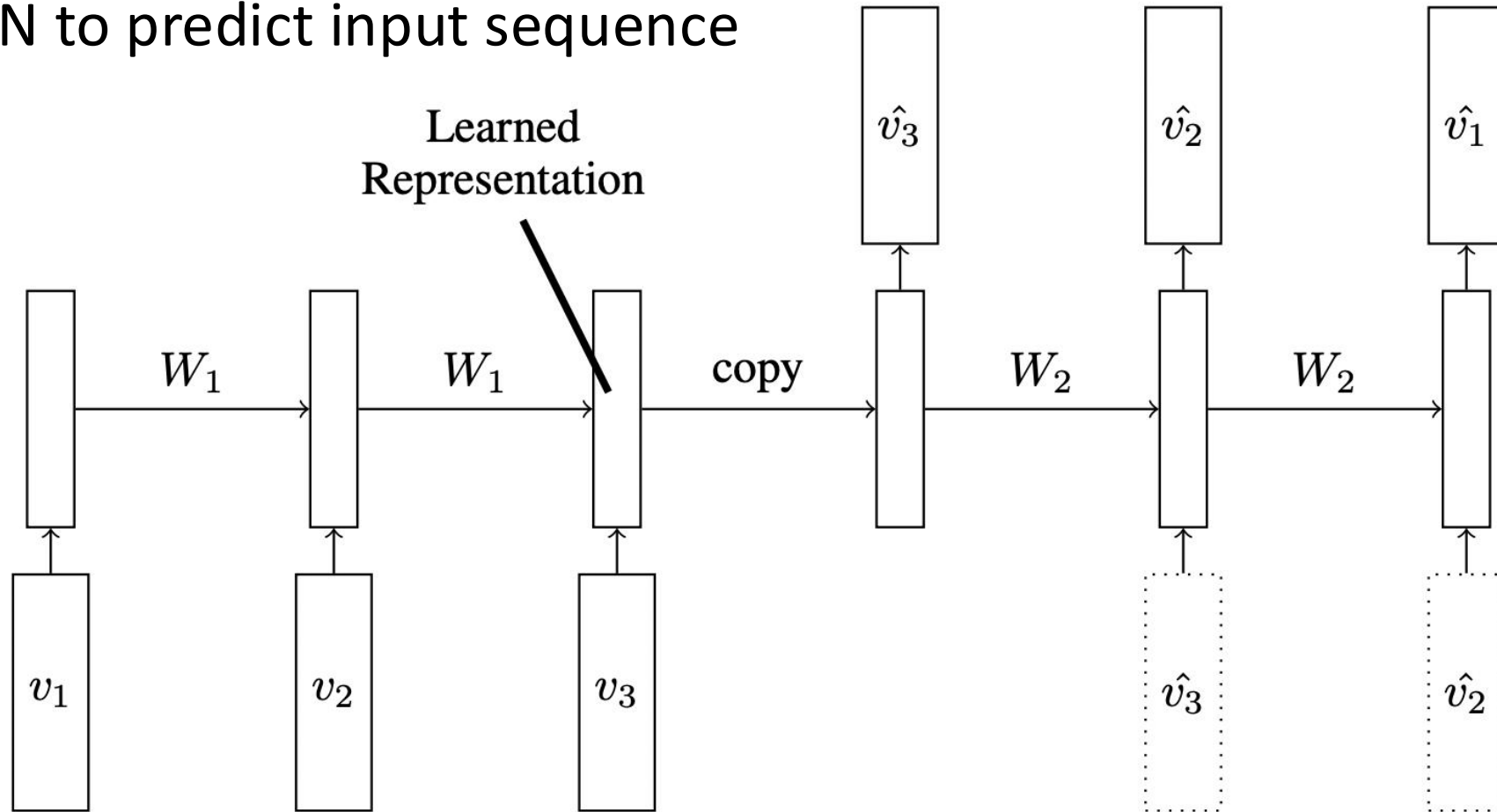
cat face



human body

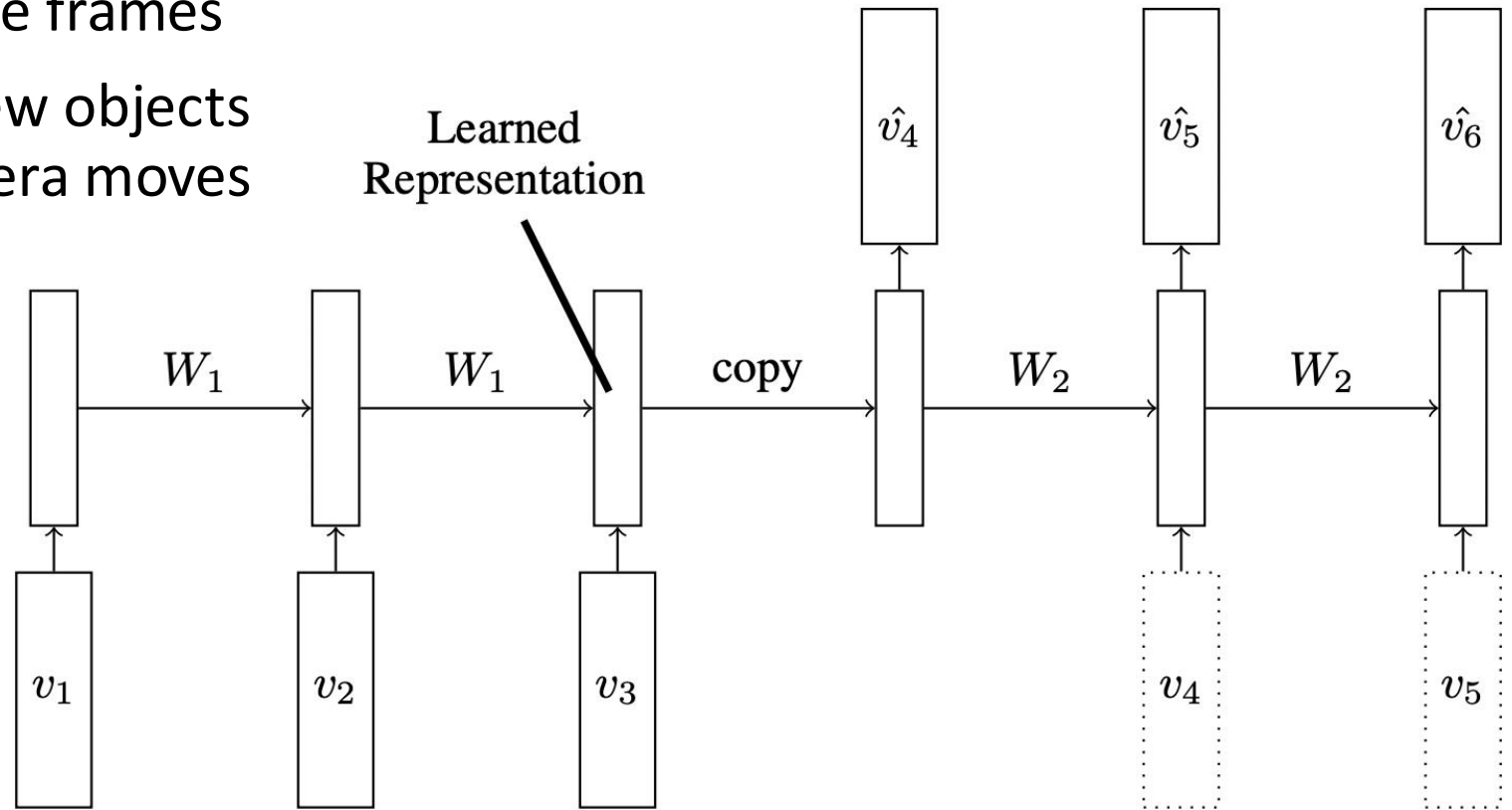
e.g., Video Autoencoder

- Train RNN to predict input sequence



e.g., Video Prediction

- Train RNN to predict future frames
- Limitations: identifying new objects and background as a camera moves

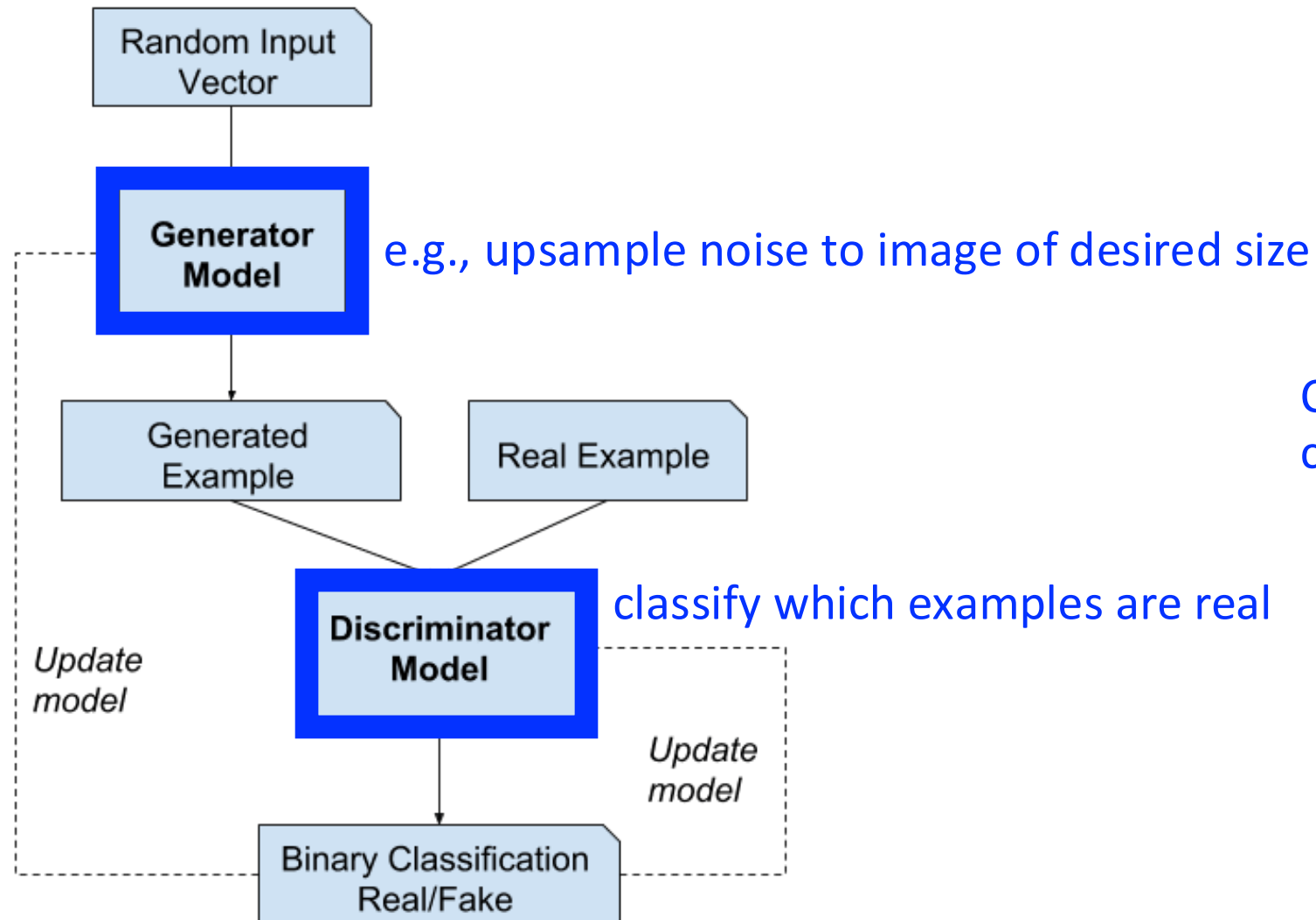


What type of features might be learned?

Types of Approaches

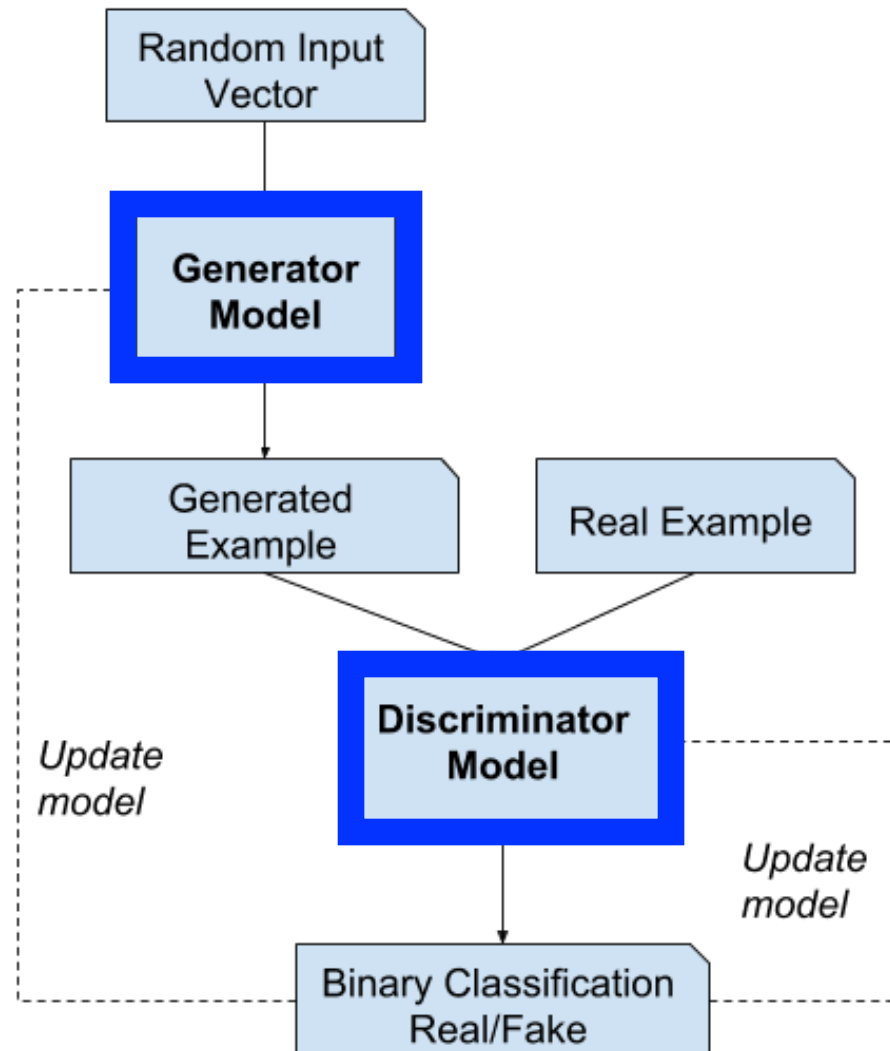
- Generative-based methods
- **Generative adversarial networks**
- Context-based methods

GAN: Basic Architecture



Consists of two models that compete against each other

GAN: Training



The two models are iteratively trained separately

- Train discriminator using fake and real images
- Train generator using just fake images and penalize it when the discriminator recognizes images are fake

GAN: Discriminator Loss Function

Discriminator tries to minimize classification error

$$J^{(D)} = -\frac{1}{2} \mathbb{E}_{\substack{\mathbf{x} \sim p_{\text{data}} \\ \text{Real image}}} \log \boxed{D(\mathbf{x})} - \frac{1}{2} \mathbb{E}_{\substack{\mathbf{z} \\ \text{Input noise}}} \log (1 - \boxed{D(G(\mathbf{z}))})$$

Discriminator wants a value of 1 for real images

Discriminator wants a value of 0 for fake images

GAN: Generator Loss Function

Generator tries to maximize classification error

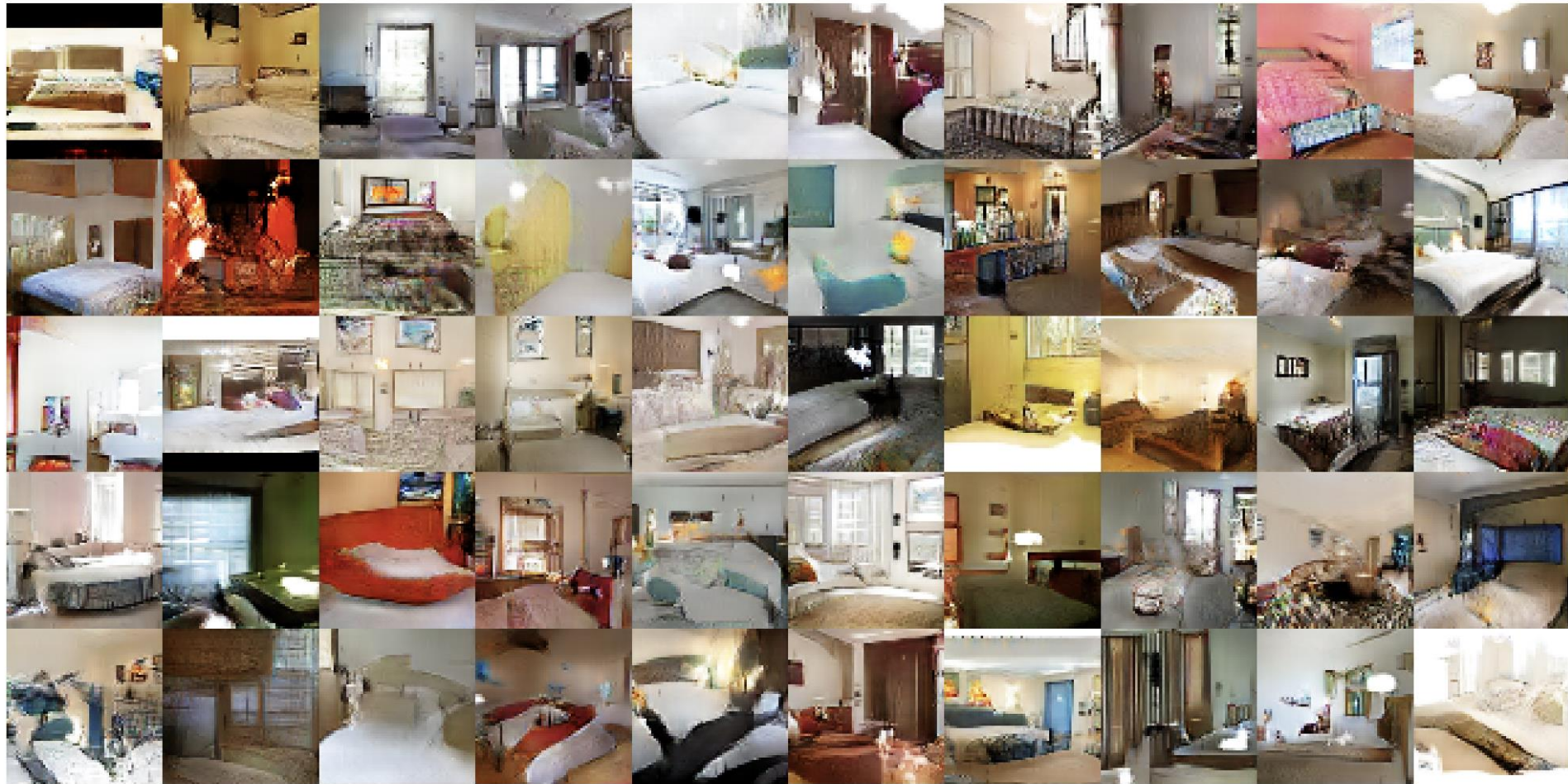
$$J^{(G)} = -J^{(D)}$$

$$J^{(G)} = -\frac{1}{2} \mathbb{E}_{\mathbf{z}} \log D(G(\mathbf{z}))$$

Want the discriminator to mistakenly arrive at a value of 1 for fake images

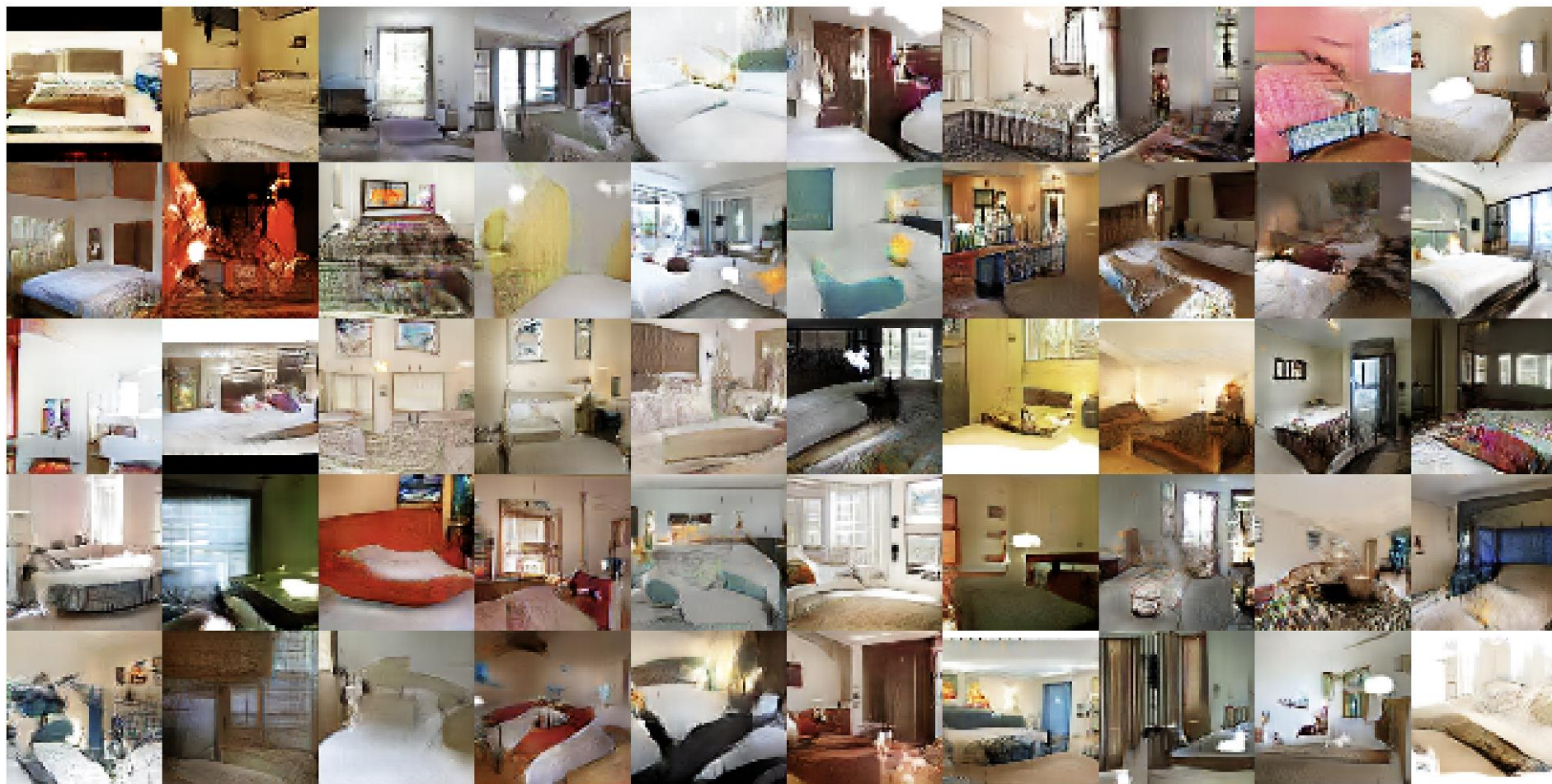
Input noise

DGANs: GANs that Use Convolutional Layers



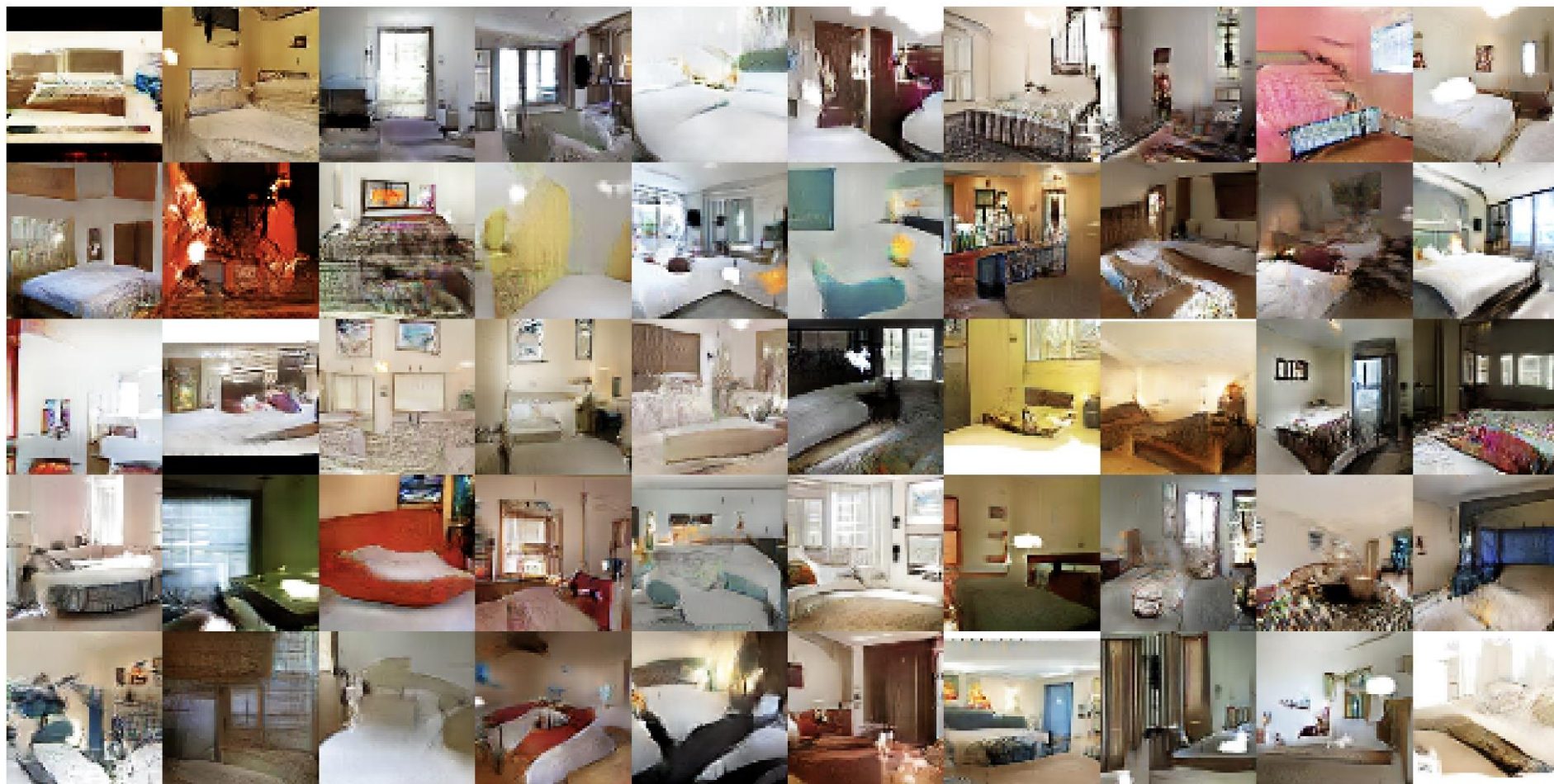
Bedrooms generated by observing over 3M bedroom images

DGANs: GANs that Use Convolutional Layers



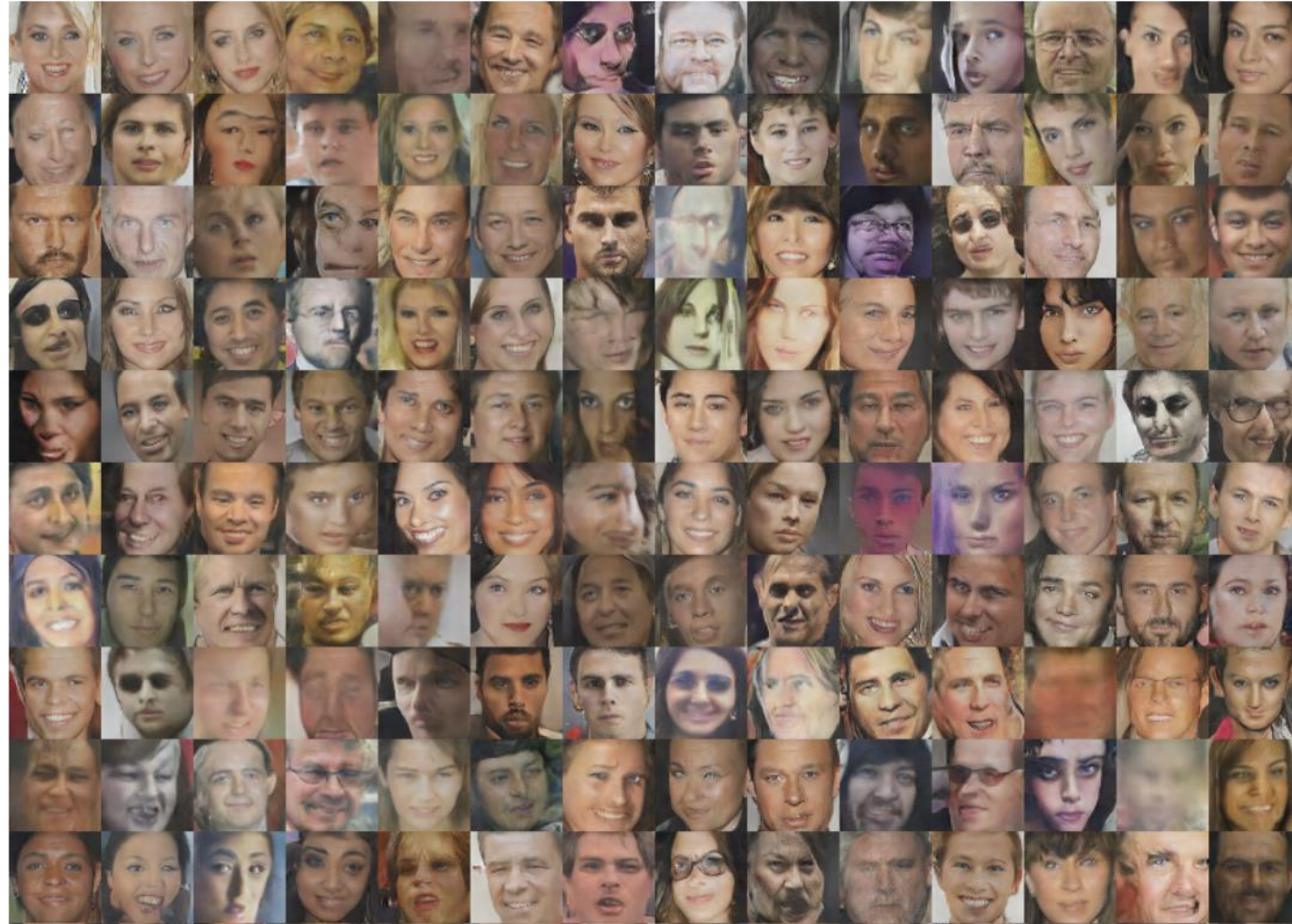
What objects does it learn to generate?

DGANs: GANs that Use Convolutional Layers



What objects may it not have learned to generate?

DGANs: GANs that Use Convolutional Layers



Faces generated by observing over 3M images of 10K people

DGANs: GANs that Use Convolutional Layers



What does it generate poorly or not all?

Another Task: Hole Filling

- What might fit into this hole?

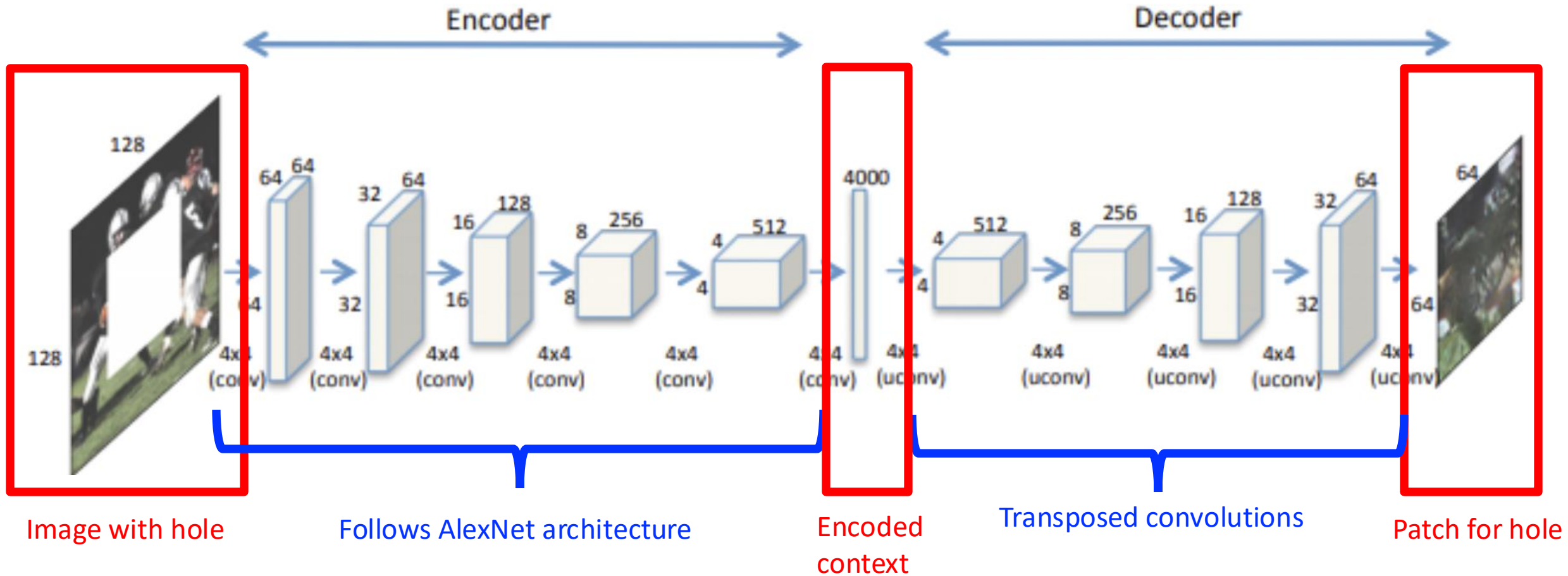


- Many items may plausibly fit into the hole:

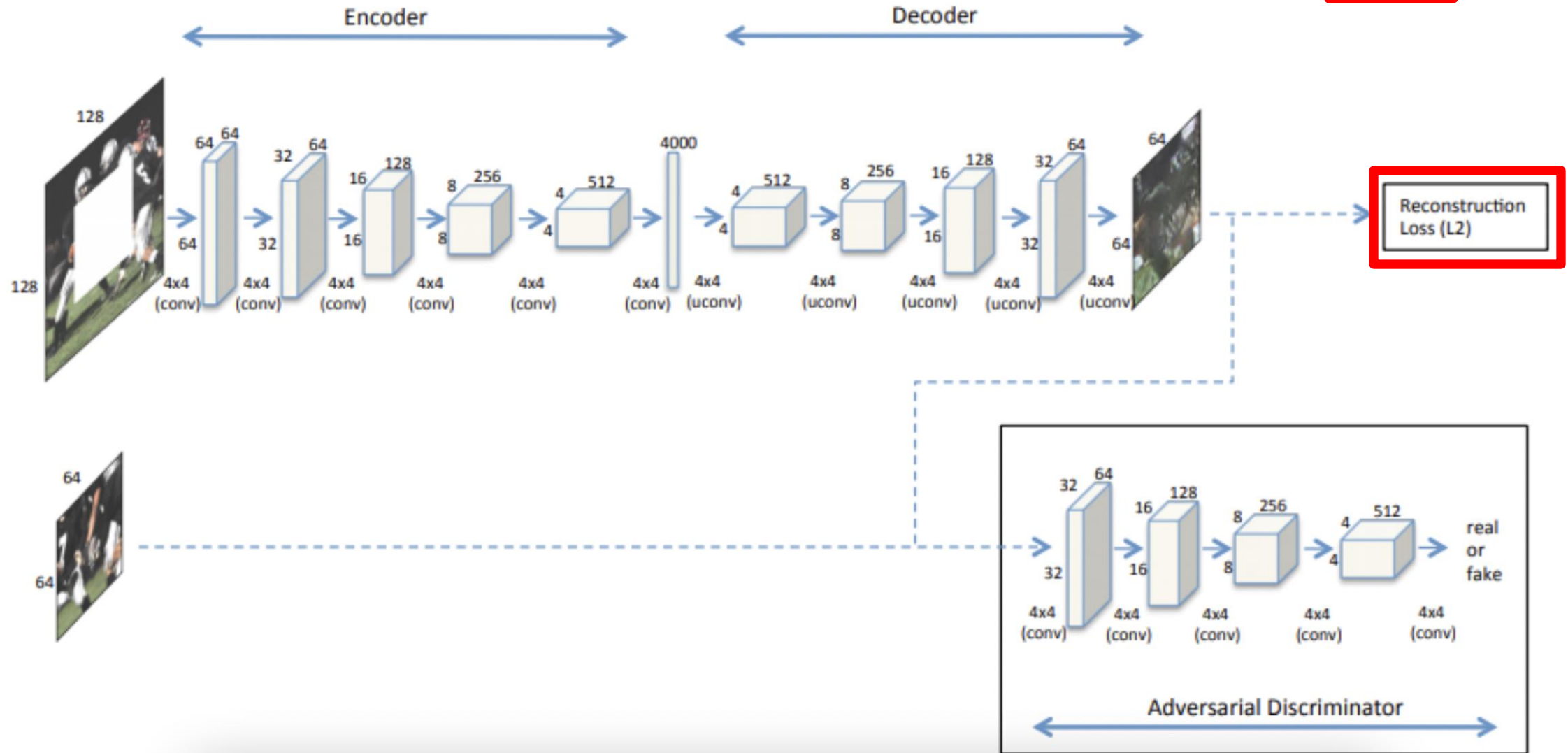


- Challenge: have up to 1 known ground truth region per hole

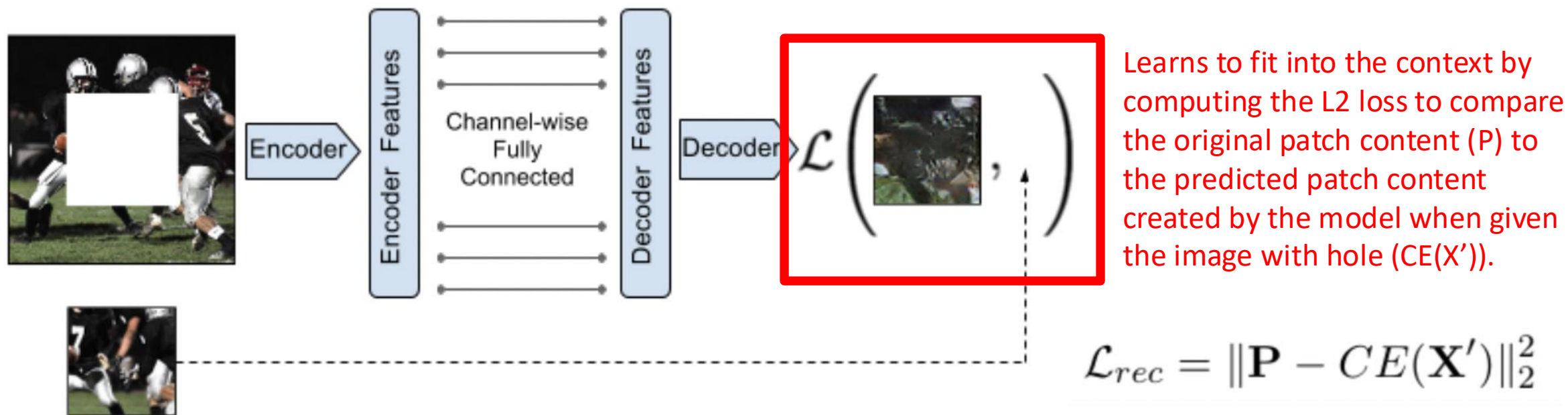
Architecture



Training: Loss Functions ($\mathcal{L} = \lambda_{adv}\mathcal{L}_{adv} + \lambda_{rec}\mathcal{L}_{rec}$)



Training: Reconstruction Loss (i.e., Self-Supervised Learning Approach)



Training: Reconstruction Loss (i.e., Self-Supervised Learning Approach)



(a) Input context

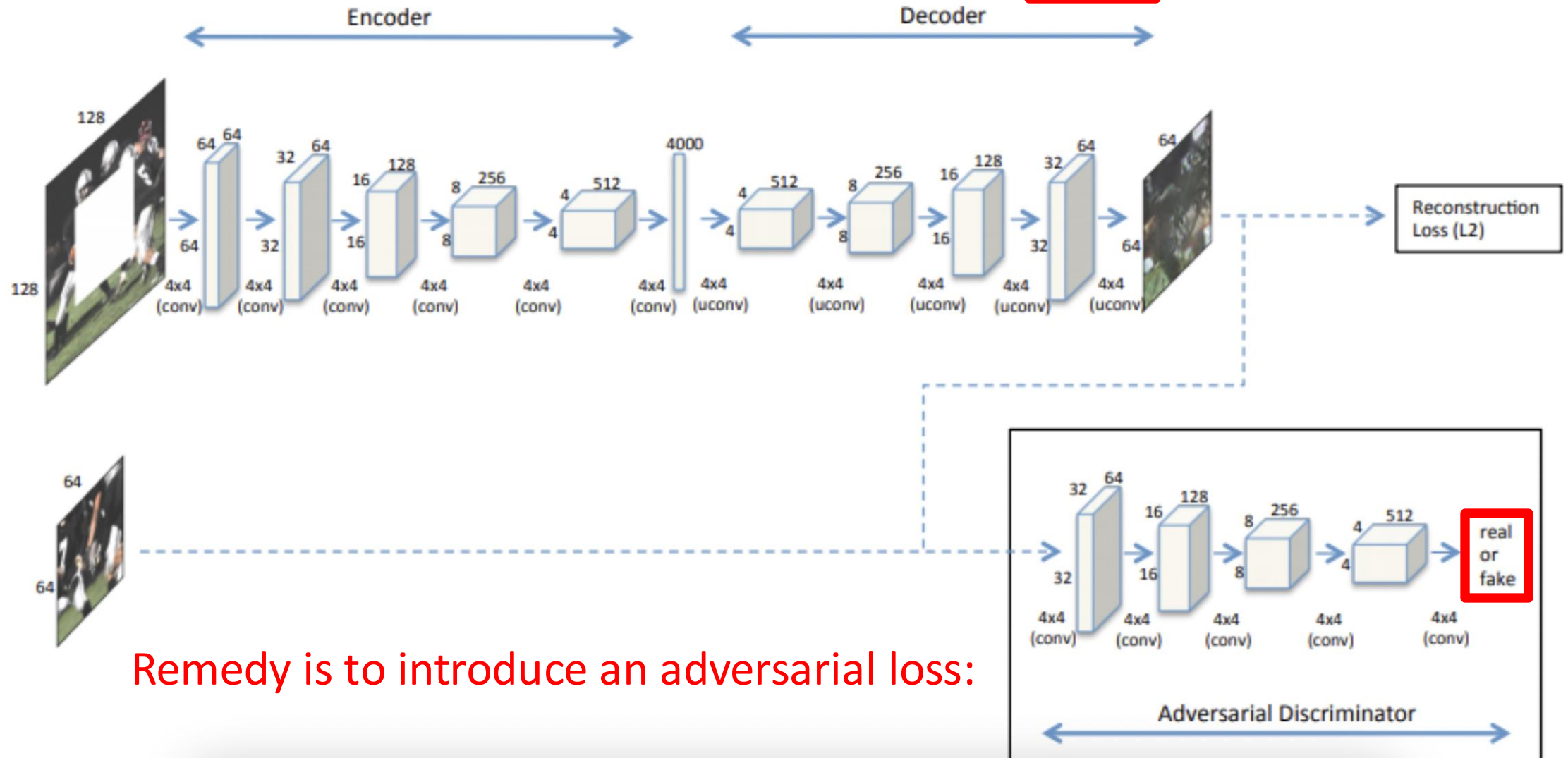


(c) Context Encoder
(L_2 loss)

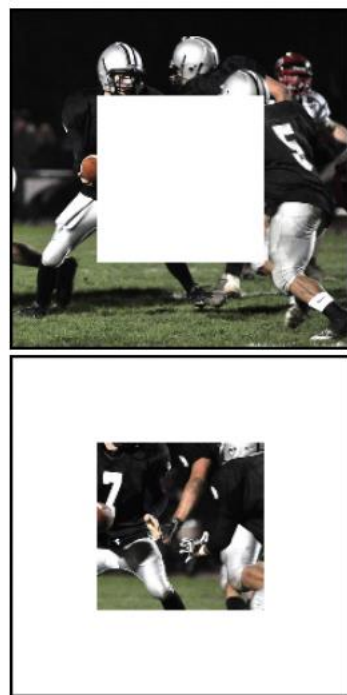
Why might training with this loss function alone lead to blurry results?

- It averages the multiple plausible inpaintings for a hole

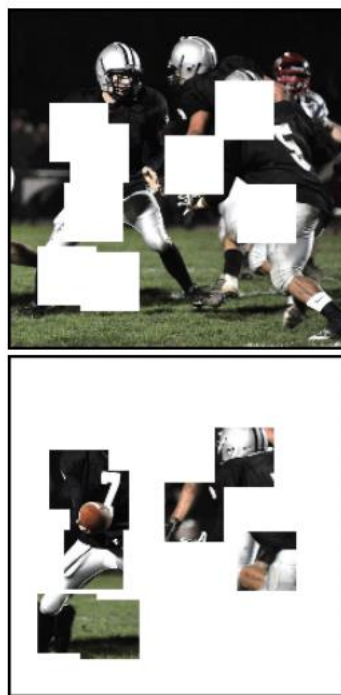
Training: Loss Functions ($\mathcal{L} = \lambda_{adv} \mathcal{L}_{adv} + \lambda_{rec} \mathcal{L}_{rec}$)



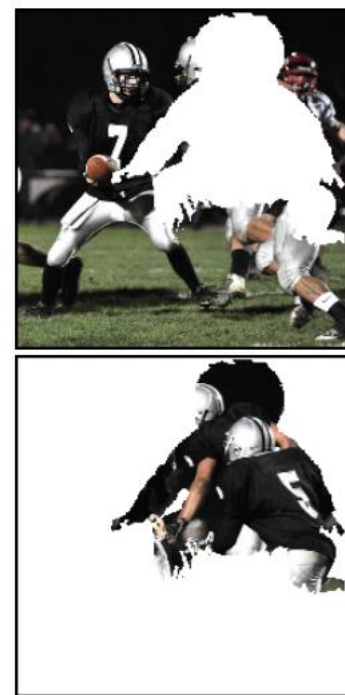
Training: Datasets



(a) Central region



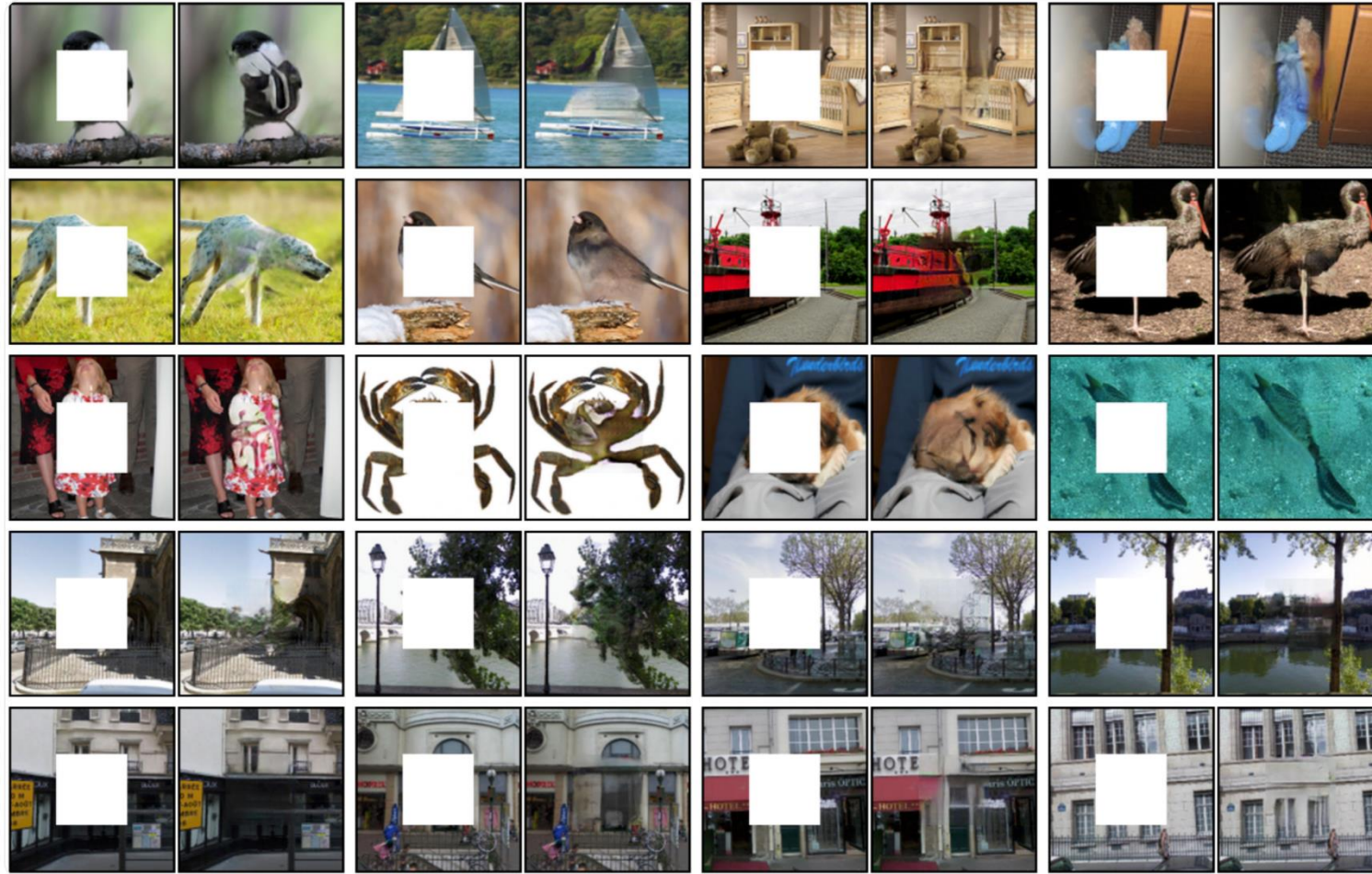
(b) Random block



(c) Random region

Training completed on ImageNet (all 1.2M and a 100K subset) for three hole types

Results: https://www.cs.cmu.edu/~dpathak/context_encoder/

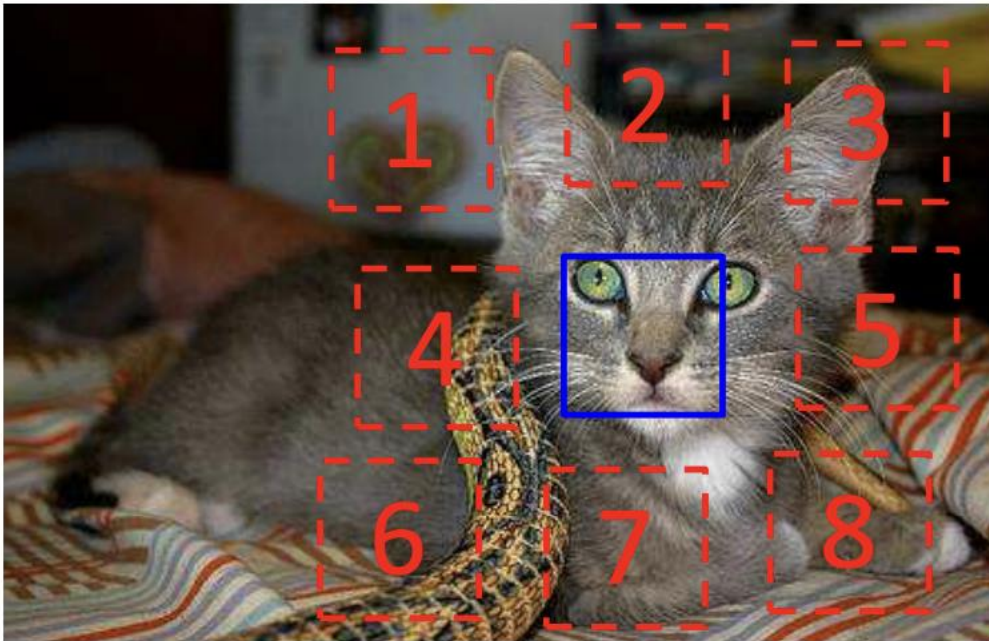


What type of features might be learned?

Types of Approaches

- Generative-based methods
- Generative adversarial networks
- Context-based methods

Spatial Context: Predict Image Index Per Patch

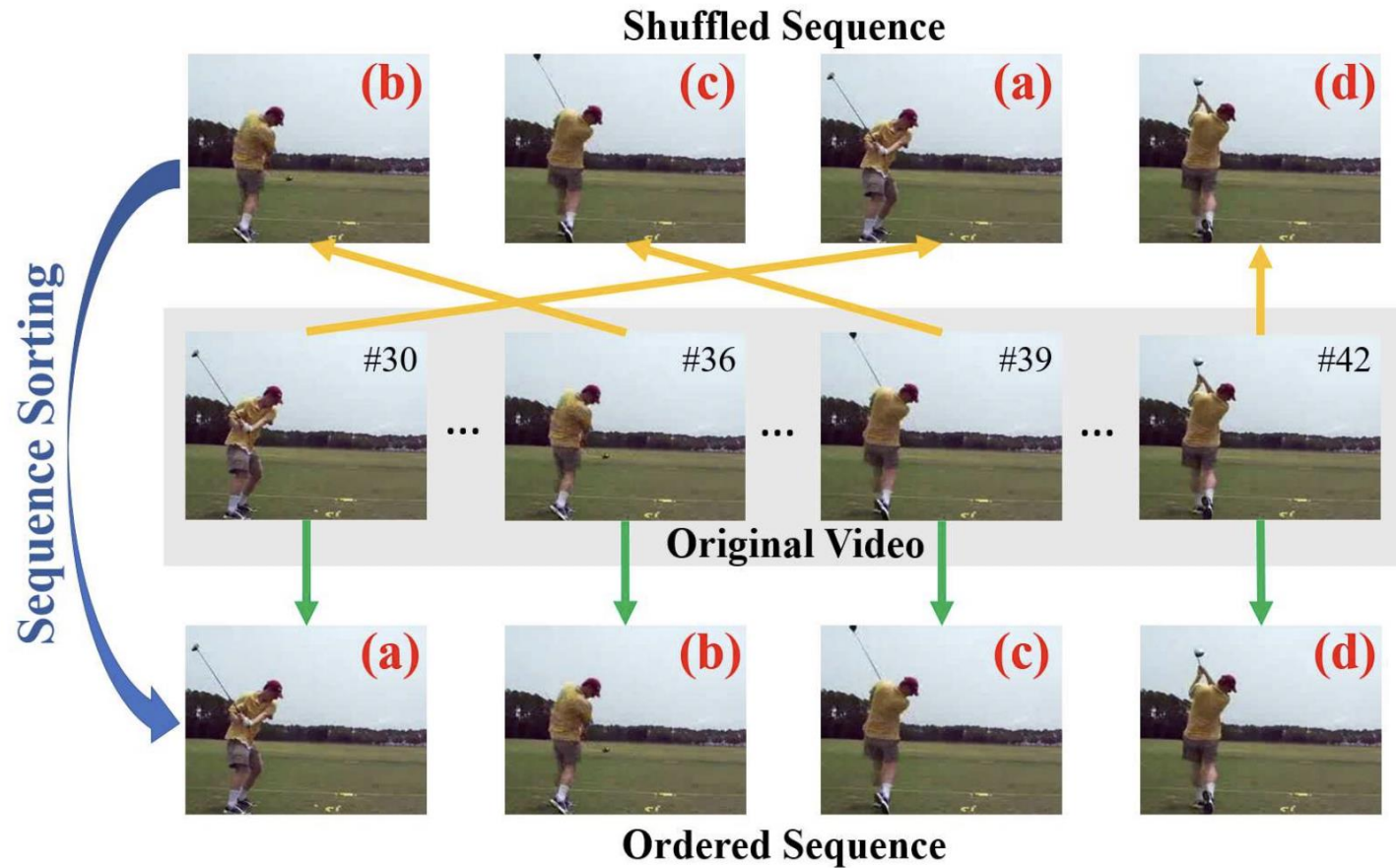


Example:



What type of features might be learned?

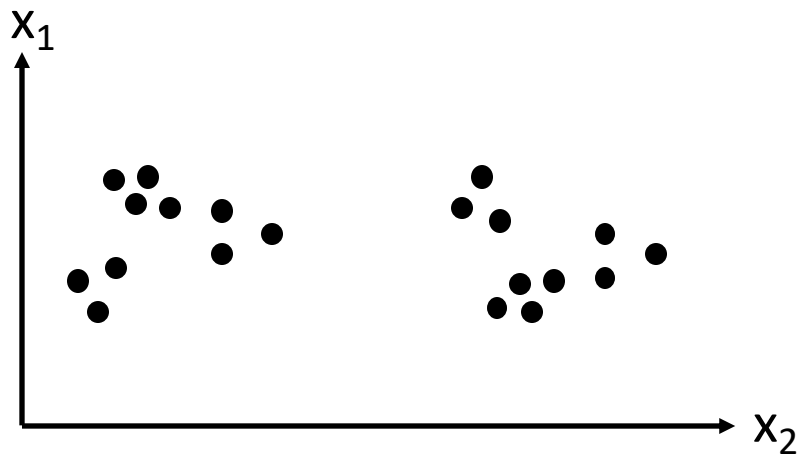
Timing Context : Predict Order of Video Frames



What type of features might be learned?

Similarity Context: Predict Clusters

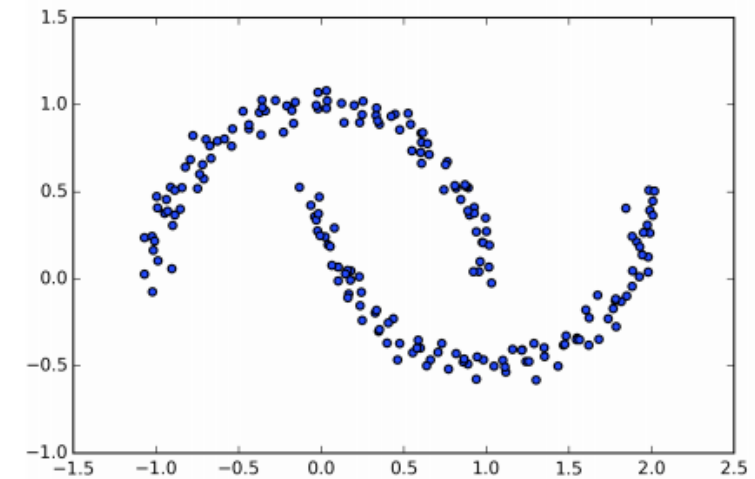
A.



B.



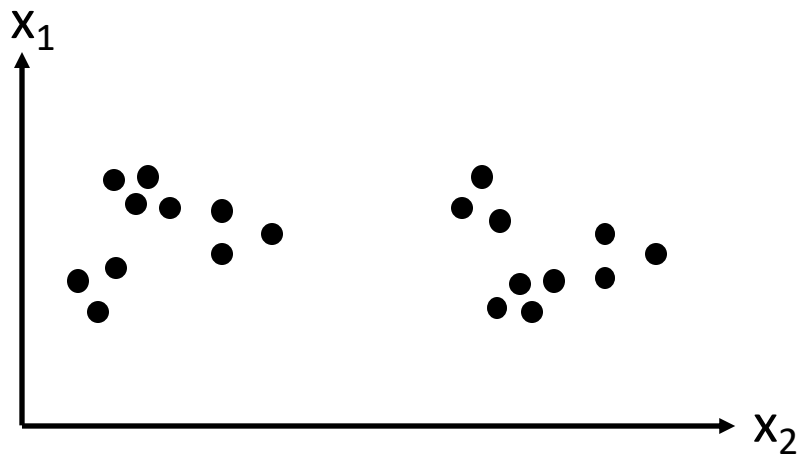
C.



Models trained to identify cluster assignments OR to recognize whether images belong to the same cluster

Clustering

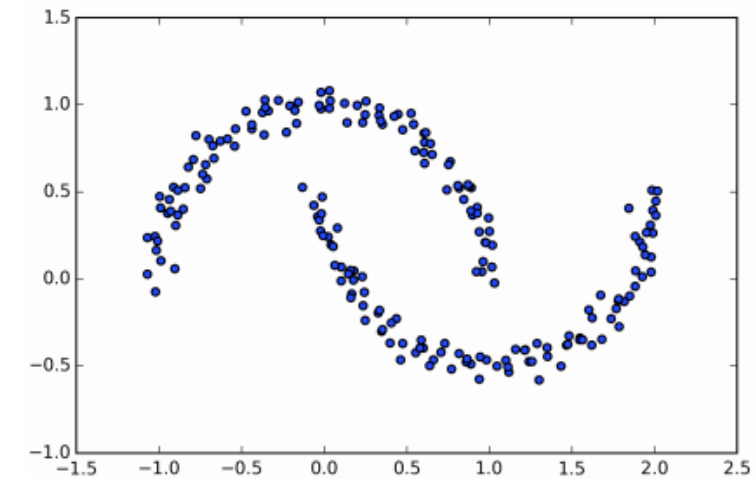
A.



B.



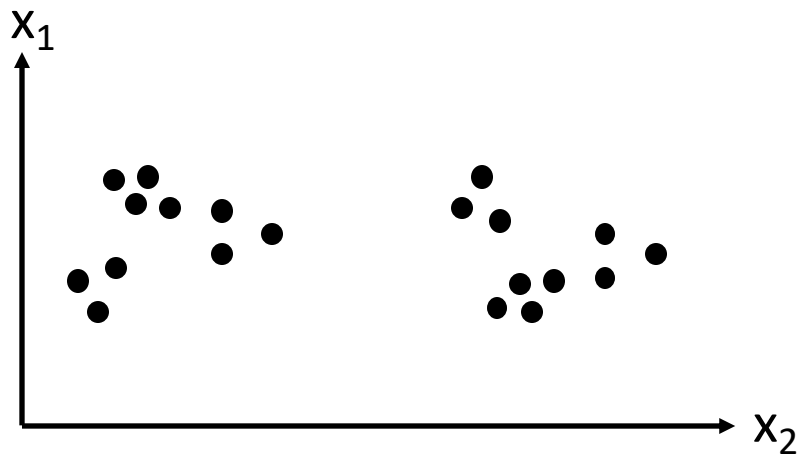
C.



Create groupings so entities in a group will be similar to each other and different from the entities in other groups.

Clustering: Key Questions

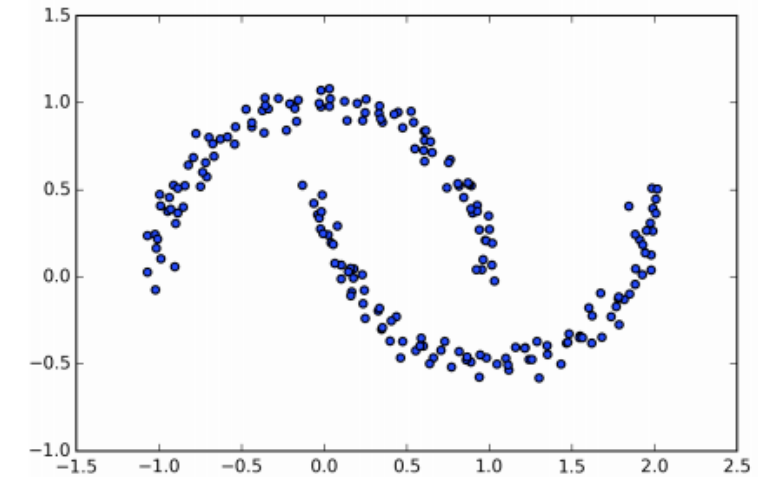
A.



B.



C.

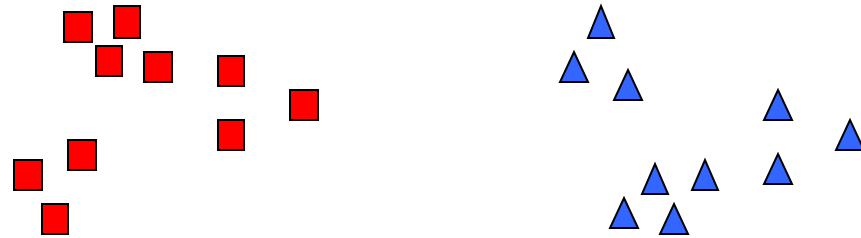


- How many data clusters to create?
- What “algorithm” to use to partition the data?

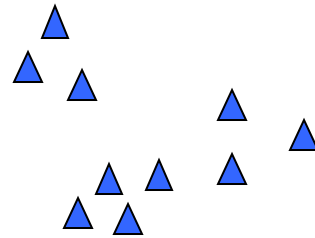
Clustering: How Many Clusters to Create?



Six Clusters



Two Clusters

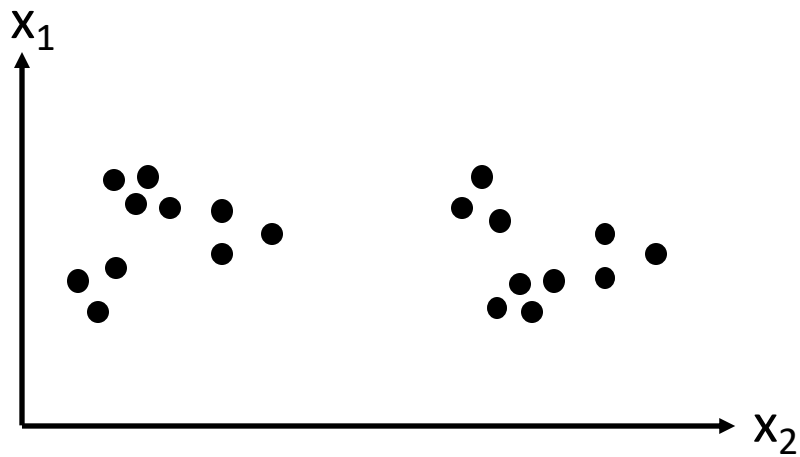


Four Clusters

Number of clusters can be ambiguous

Clustering

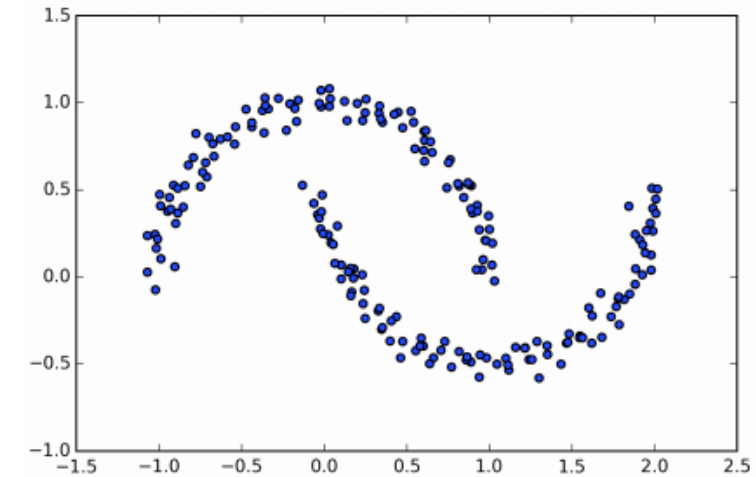
A.



B.



C.



Create groupings so entities in a group will be similar to each other and different from the entities in other groups.

What type of features might be learned?

Summary: Many Types of Approaches

- Generative-based methods
- Generative adversarial networks
- Context-based methods

Today's Topics

- Motivation
- Active learning
- Reinforcement learning
- Self-supervised learning
- And more...

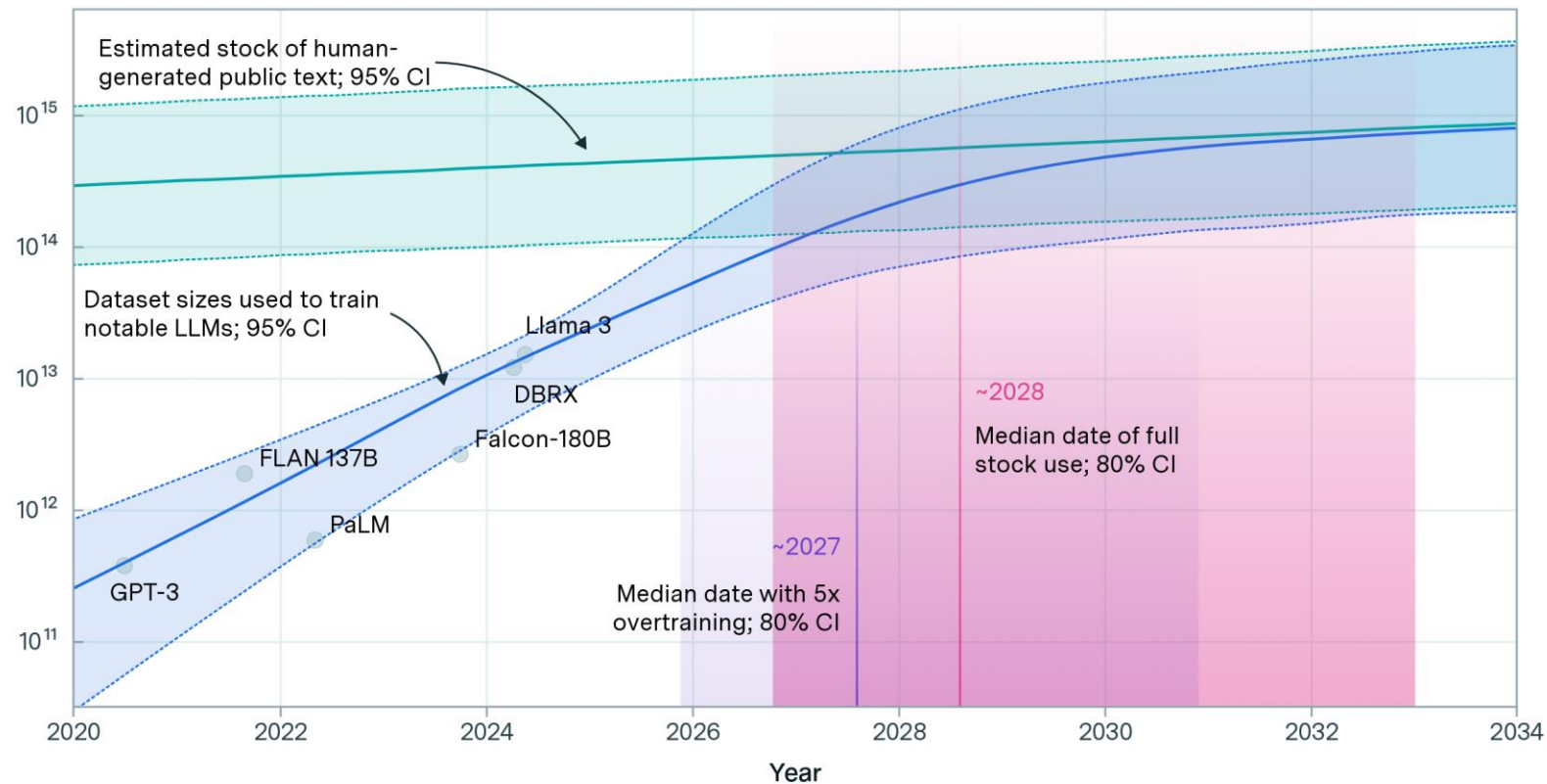
Open Challenge 1: How to Scale When We Saturate Human-Generated Data?

- e.g., All public text data expected to be used some time between 2026 and 2032
- Increasingly popular idea: **synthetic** training data

Projections of the stock of public text and data usage

EPOCH AI

Effective stock (number of tokens)



Open Challenge 2: How to Improve Data Quality?

- Increasingly popular idea: [coreset selection](#) (representative subset of dataset)
- Feb 2025 media-catching example: s1
 - outperformed OpenAI's o1
 - Qwen2.5 base model fine-tuned on [1,000 selected questions](#) and corresponding Gemini-generated answers, which cost ~\$20 to \$50 in cloud compute credits.
 - *It also benefited from appending "Wait", so the model continues with self-review*

Today's Topics

- Motivation
- Active learning
- Coreset selection
- Self-supervised learning
- Synthetic training data



The End